



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting

FISCAL YEAR ENDED MARCH 31, 2021

Office of Operations

Division of Payroll, Accounting and Revenue Services

NYS Comptroller

THOMAS P. DINAPOLI

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**STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR ENDED MARCH 31, 2021**

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DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

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BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2021
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GLOSSARY OF ABBREVIATIONS

ACTION	Accelerated Capacity and Transportation Improvements of the Nineties
ARRA	American Recovery and Reinvestment Act of 2009
CIO	Chief Information Officer
COPs	Certificates of Participation
CUNY	City University of New York
CUTRA	City University Tuition Reimbursement Account
CW/CA	Clean Water / Clean Air
DASNY	Dormitory Authority of the State of New York
DDSO	Developmental Disabilities Services Office
DEC	Department of Environmental Conservation
DMNA	Department of Military and Naval Affairs
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOL	Department of Labor
DOT	Department of Transportation
DSAS	Division of Substance Abuse Services
ECET	Employer Compensation Expense Tax
EFC	Environmental Facilities Corporation
EHS	Employee Health Services
ENCON	Department of Environmental Conservation
EPIC	Elderly Pharmaceutical Insurance Coverage
ERDA	Energy Research and Development Authority
HAF	Housing Assistance Fund
HCR	Housing and Community Renewal
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HFA	Housing Finance Agency
HHC	Health and Hospitals Corporation
HOOCs	Highway Oversize Overweight Credentialing System
HSC	Health Science Center
IOLA	Interest on Lawyer Account
IT	Information Technology
LGAC	New York Local Government Assistance Corporation
LGATF	Local Government Assistance Tax Fund
LLC	Limited Liability Company
MBBA	Municipal Bond Bank Agency
MCTD	Metropolitan Commuter Transportation District
MMIS	Medicaid Management Information System
MSA	Master Settlement Agreement

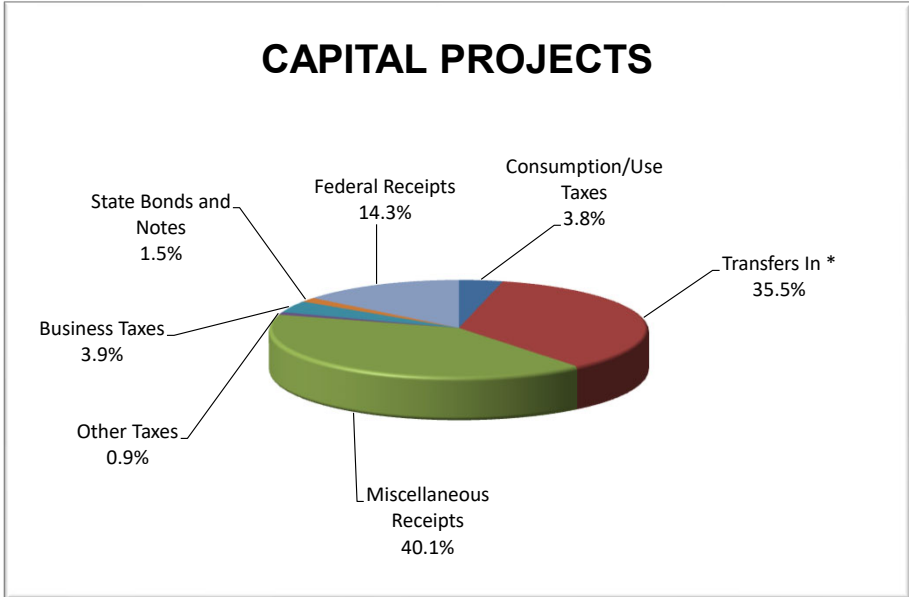
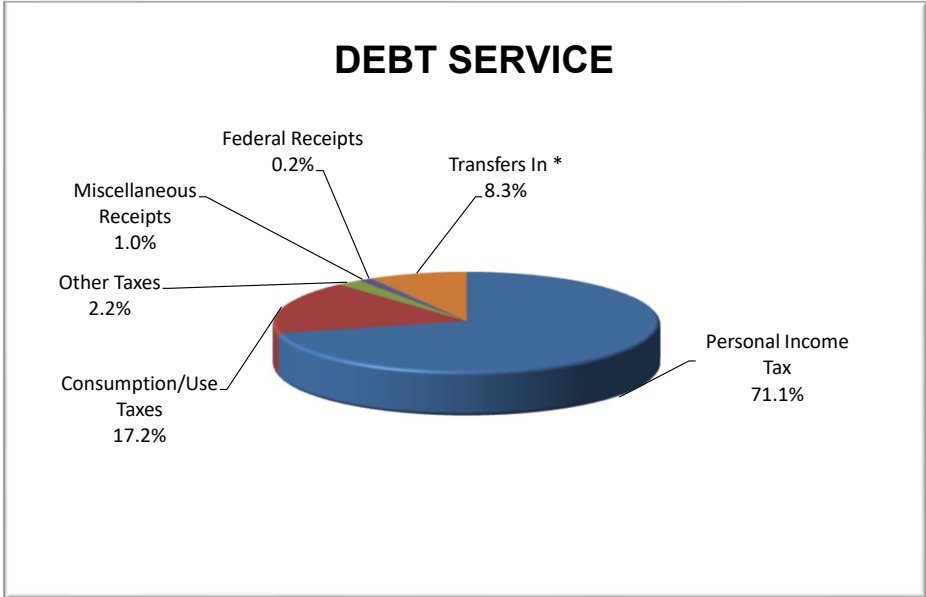
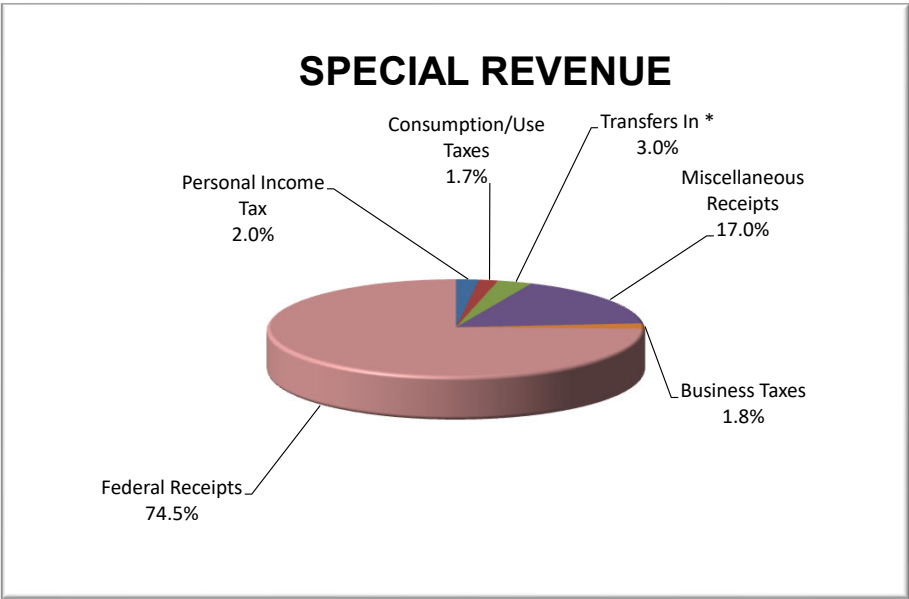
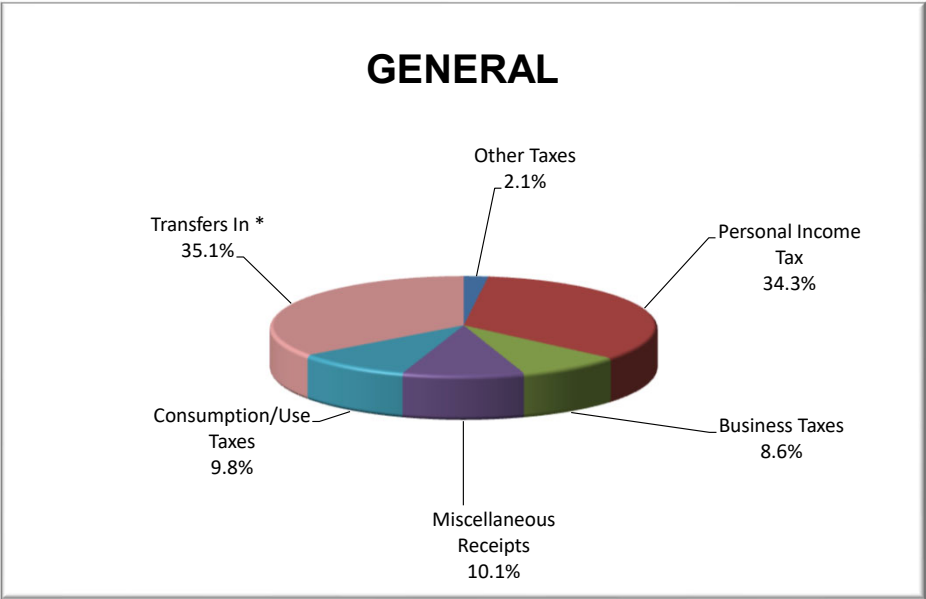
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OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
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COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2021
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GLOSSARY OF ABBREVIATIONS

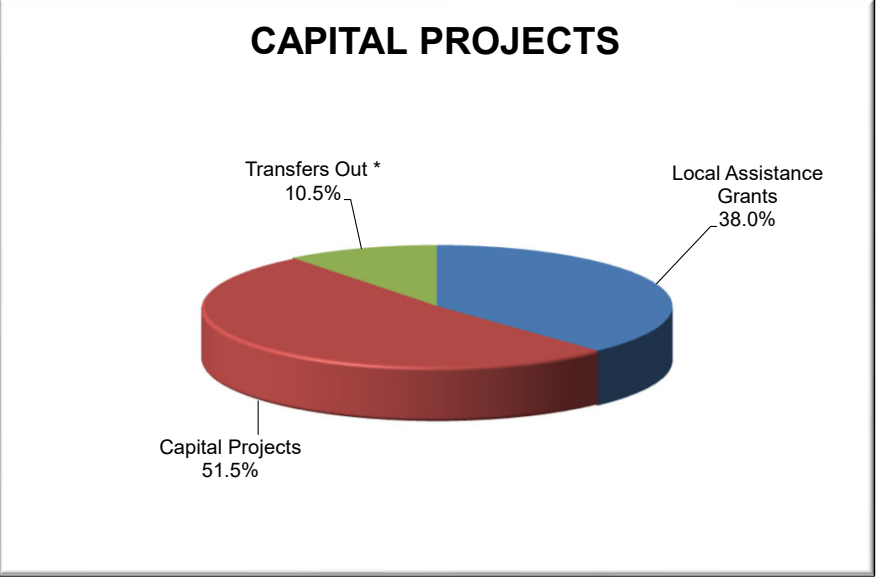
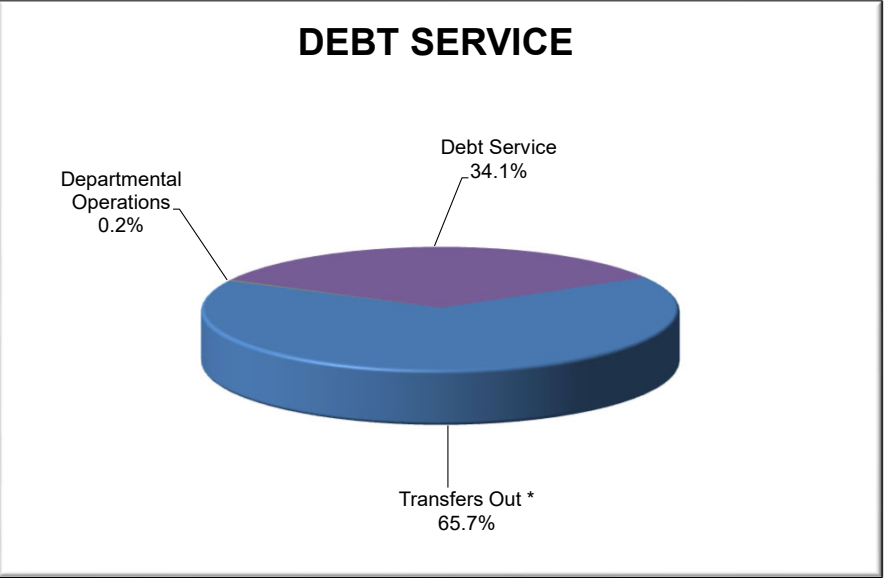
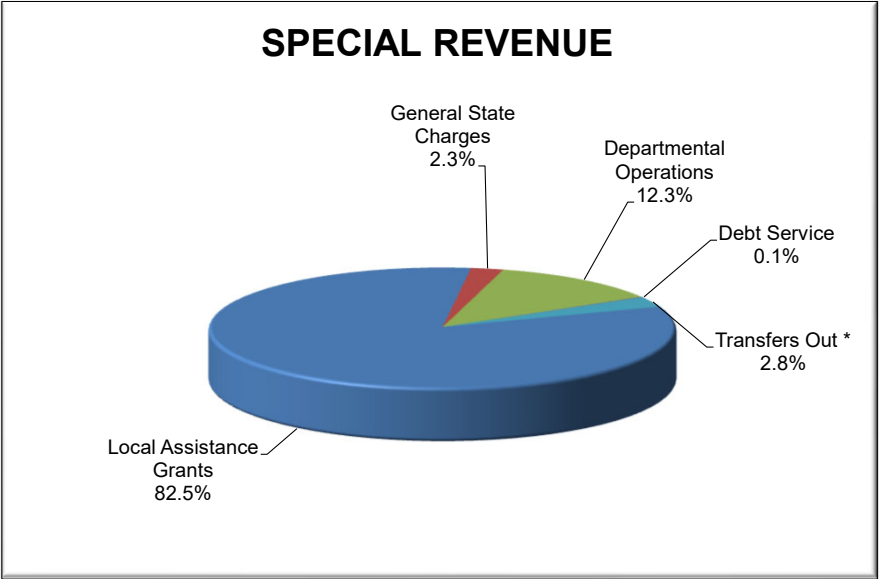
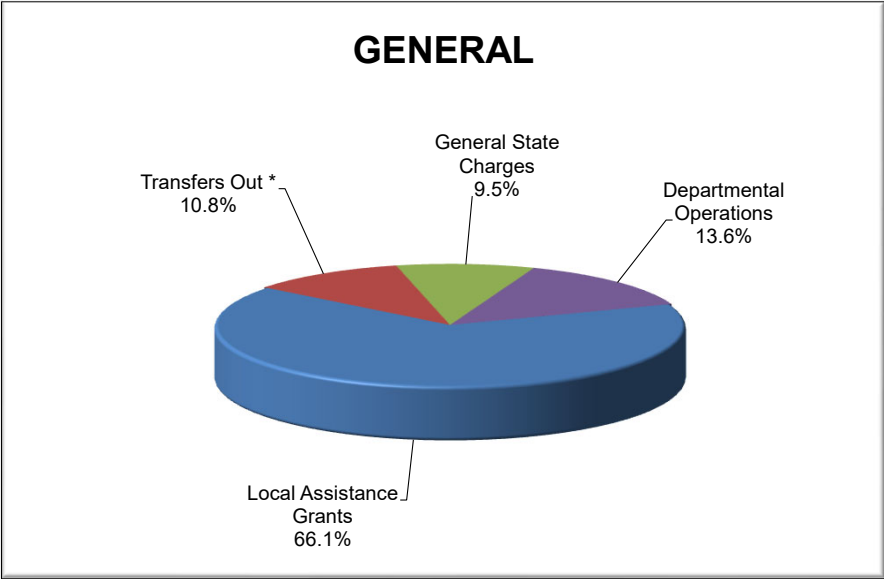
MTA	Metropolitan Transportation Authority
NYC	New York City
NYCCC	New York City County Clerk
NYT	New York Network
OASAS	Office of Addiction Services and Supports
OCT	Office of Counter Terrorism
OGS	Office of General Services
OMH	Office of Mental Health
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OSHA	Occupational Safety and Health Administration
PASNY	Power Authority of the State of New York
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SPARCS	Statewide Planning and Research Cooperative System
SSI	Supplemental Security Income
SSP	New York State Supplement Program
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
STRBTF	Sales Tax Revenue Bond Tax Fund
SUNY	State University of New York
TFA	Transitional Finance Authority
UDC	Urban Development Corporation
USDA	United States Department of Agriculture
VLT	Video Lottery Terminal
WIC	Women, Infants and Children Program

**GOVERNMENTAL FUNDS - RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2021**



*Transfers from Other Funds

**GOVERNMENTAL FUNDS - DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021**



*Transfers to Other Funds

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

EXHIBIT A

	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TOTAL GOVERNMENTAL FUNDS	
					2020-21	2019-20
RECEIPTS:						
Personal Income Tax.....	\$ 25,455,981	\$ 2,027,354	\$ 27,483,335	\$ -	\$ 54,966,670	\$ 53,659,402
Consumption/Use Taxes	7,249,559	1,712,906	6,634,440	520,557	16,117,462	18,021,985
Business Taxes.....	6,420,500	1,836,022	-	535,895	8,792,417	8,995,817
Other Taxes.....	1,548,778	-	831,370	119,100	2,499,248	2,211,865
Miscellaneous Receipts.....	7,515,232	17,375,278	400,852	5,480,838	30,772,200	29,466,372
Federal Receipts.....	437	76,123,874	73,810	1,954,329	78,152,450	65,080,025
Total Receipts.....	48,190,487	99,075,434	35,423,807	8,610,719	191,300,447	177,435,466
DISBURSEMENTS:						
Local Assistance Grants:						
Education.....	28,211,856	8,701,929	-	122,133	37,035,918	37,846,434
Environment and Recreation.....	647	5,776	-	199,147	205,570	426,032
General Government.....	1,010,307	4,391,240	-	615,219	6,016,766	2,171,966
Public Health:						
Medicaid.....	13,821,708	49,779,140	-	-	63,600,848	63,490,463
Other Public Health	2,638,464	7,664,154	-	517,813	10,820,431	10,448,786
Public Safety	127,166	2,178,420	-	52,803	2,358,389	1,853,770
Public Welfare	2,944,700	4,166,047	-	604,599	7,715,346	7,593,504
Support and Regulate Business	119,363	56,285	-	684,988	860,636	1,114,267
Transportation.....	106,588	3,606,777	-	2,444,079	6,157,444	5,173,187
Total Local Assistance Grants.....	48,980,799	80,549,768	-	5,240,781	134,771,348	130,118,409
Departmental Operations:						
Personal Service.....	7,154,447	7,637,635	-	-	14,792,082	14,726,504
Non-Personal Service.....	2,950,301	4,363,969	61,409	-	7,375,679	7,477,130
General State Charges.....	7,031,571	2,227,895	-	-	9,259,466	8,756,793
Debt Service, Including Payments on Financing Agreements.....	-	102,221	13,196,256	-	13,298,477	4,916,091
Capital Projects.....	-	-	-	7,090,029	7,090,029	6,985,439
Total Disbursements.....	66,117,118	94,881,488	13,257,665	12,330,810	186,587,081	172,980,366
Excess (Deficiency) of Receipts over Disbursements.....	(17,926,631)	4,193,946	22,166,142	(3,720,091)	4,713,366	4,455,100
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	202,642	202,642	-
Transfers from Other Funds.....	26,121,450	3,088,425	3,223,925	4,855,098	37,288,898	45,464,700
Transfers to Other Funds.....	(7,978,213)	(2,727,646)	(25,388,448)	(1,446,719)	(37,541,026)	(45,610,072)
Total Other Financing Sources (Uses).....	18,143,237	360,779	(22,164,523)	3,611,021	(49,486)	(145,372)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	216,606	4,554,725	1,619	(109,070)	4,663,880	4,309,728
Fund Balances (Deficits) at April 1.....	8,944,225	6,312,056	63,388	(1,034,927)	14,284,742	9,975,014
Fund Balances (Deficits) at March 31.....	\$ 9,160,831	\$ 10,866,781	\$ 65,007	\$ (1,143,997)	\$ 18,948,622	\$ 14,284,742

See Accompanying Notes

**STATE OF NEW YORK
GOVERNMENTAL FUNDS - STATE OPERATING
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE			TOTAL STATE OPERATING FUNDS	
	GENERAL	SPECIAL REVENUE	DEBT SERVICE	2020-21	2019-20
RECEIPTS:					
Personal Income Tax.....	\$ 25,455,981	\$ 2,027,354	\$ 27,483,335	\$ 54,966,670	\$ 53,659,402
Consumption/Use Taxes.....	7,249,559	1,712,906	6,634,440	15,596,905	17,389,999
Business Taxes.....	6,420,500	1,836,022	-	8,256,522	8,329,453
Other Taxes.....	1,548,778	-	831,370	2,380,148	2,092,765
Miscellaneous Receipts.....	7,515,232	17,193,243	400,852	25,109,327	22,700,563
Federal Receipts.....	437	(13,589)	73,810	60,658	61,164
Total Receipts.....	48,190,487	22,755,936	35,423,807	106,370,230	104,233,346
DISBURSEMENTS:					
Local Assistance Grants:					
Education.....	28,211,856	5,697,895	-	33,909,751	34,142,263
Environment and Recreation.....	647	4,679	-	5,326	7,811
General Government.....	1,010,307	164,935	-	1,175,242	1,267,929
Public Health:					
Medicaid.....	13,821,708	5,470,905	-	19,292,613	23,241,124
Other Public Health.....	2,638,464	974,162	-	3,612,626	3,591,023
Public Safety.....	127,166	202,523	-	329,689	363,657
Public Welfare.....	2,944,700	409	-	2,945,109	2,322,931
Support and Regulate Business.....	119,363	49,060	-	168,423	227,259
Transportation.....	106,588	3,541,533	-	3,648,121	3,488,296
Total Local Assistance Grants.....	48,980,799	16,106,101	-	65,086,900	68,652,293
Departmental Operations:					
Personal Service.....	7,154,447	5,200,246	-	12,354,693	14,089,676
Non-Personal Service.....	2,950,301	2,639,452	61,409	5,651,162	6,078,226
General State Charges.....	7,031,571	886,320	-	7,917,891	8,423,198
Debt Service, Including Payments on Financing Agreements..	-	-	13,196,256	13,196,256	4,916,091
Total Disbursements.....	66,117,118	24,832,119	13,257,665	104,206,902	102,159,484
Excess (Deficiency) of Receipts over Disbursements.....	(17,926,631)	(2,076,183)	22,166,142	2,163,328	2,073,862
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	26,121,450	3,685,387	3,223,925	33,030,762	42,428,495
Transfers to Other Funds.....	(7,978,213)	(1,103,786)	(25,388,448)	(34,470,447)	(42,455,322)
Total Other Financing Sources (Uses).....	18,143,237	2,581,601	(22,164,523)	(1,439,685)	(26,827)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	216,606	505,418	1,619	723,643	2,047,035
Fund Balances at April 1.....	8,944,225	5,400,689	63,388	14,408,302	12,361,267
Fund Balances at March 31.....	\$ 9,160,831	\$ 5,906,107	\$ 65,007	\$ 15,131,945	\$ 14,408,302

See Accompanying Notes

**STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021**
 (amounts in thousands)

EXHIBIT B

	ENTERPRISE	INTERNAL SERVICE	TOTAL PROPRIETARY FUNDS	
			2020-21	2019-20
RECEIPTS:				
Miscellaneous Receipts.....	\$ 67,659	\$ 522,817	\$ 590,476	\$ 670,008
Federal Receipts.....	57,050,013	-	57,050,013	16,826
Unemployment Taxes.....	15,133,805	-	15,133,805	2,284,671
Total Receipts.....	72,251,477	522,817	72,774,294	2,971,505
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	12,538	134,691	147,229	143,335
Non-Personal Service.....	52,662	520,272	572,934	546,740
General State Charges.....	1,647	60,192	61,839	57,913
Unemployment Benefits.....	72,071,714	-	72,071,714	2,303,371
Total Disbursements.....	72,138,561	715,155	72,853,716	3,051,359
Excess (Deficiency) of Receipts over Disbursements.....	112,916	(192,338)	(79,422)	(79,854)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds.....	3,000	133,796	136,796	110,139
Transfers to Other Funds.....	-	(7,456)	(7,456)	(22,045)
Total Other Financing Sources (Uses).....	3,000	126,340	129,340	88,094
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses....	115,916	(65,998)	49,918	8,240
Fund Balances (Deficits) at April 1.....	29,677	(297,523)	(267,846)	(276,086)
Fund Balances (Deficits) at March 31.....	\$ 145,593	\$ (363,521)	\$ (217,928)	\$ (267,846)

See Accompanying Notes

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT C

	PENSION TRUST	PRIVATE PURPOSE TRUST	TOTAL TRUST FUNDS	
			2020-21	2019-20
RECEIPTS:				
Miscellaneous Receipts.....	\$ 151,021	\$ 585	\$ 151,606	\$ 146,703
Total Receipts.....	151,021	585	151,606	146,703
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	77,623	238	77,861	70,645
Non-Personal Service.....	32,431	8	32,439	34,429
General State Charges.....	39,832	142	39,974	38,686
Total Disbursements.....	149,886	388	150,274	143,760
Excess (Deficiency) of Receipts over Disbursements.....	1,135	197	1,332	2,943
Fund Balances (Deficits) at April 1.....	(1,145)	14,293	13,148	10,205
Fund Balances (Deficits) at March 31.....	\$ (10)	\$ 14,490	\$ 14,480	\$ 13,148

See Accompanying Notes

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - GENERAL FUND
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

EXHIBIT D

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 22,450,000	\$ 22,450,000	\$ 24,491,000	\$ 25,456,000	\$ 965,000
Consumption/Use Taxes.....	6,934,000	6,446,000	7,196,000	7,249,500	53,500
Business Taxes.....	6,506,000	6,506,000	5,921,000	6,420,500	499,500
Other Taxes.....	1,115,000	1,115,000	1,225,000	1,548,800	323,800
Miscellaneous Receipts.....	6,373,000	6,896,000	6,913,000	7,515,300	602,300
Federal Receipts.....	-	-	-	400	400
Transfers from Other Funds:					
PIT / ECET in excess of Revenue Bond Debt Service.....	19,154,000	17,609,000	18,068,000	18,578,000	510,000
Sales Tax in excess of LGAC/STRBTF Debt Service.....	5,050,000	4,607,000	5,337,000	4,515,600	(821,400)
Real Estate Taxes in excess of CW/CA Debt Service.....	781,000	781,000	730,000	782,700	52,700
All Other.....	2,579,000	2,760,000	3,159,000	2,245,100	(913,900)
Total Receipts.....	70,942,000	69,170,000	73,040,000	74,311,900	1,271,900
DISBURSEMENTS:					
Local Assistance Grants.....	46,400,000	46,212,000	52,011,000	48,980,800	(3,030,200)
Departmental Operations.....	11,655,000	11,220,000	10,615,000	10,104,700	(510,300)
General State Charges.....	7,249,000	6,999,000	6,084,000	7,031,600	947,600
Transfers to Other Funds:					
Debt Service.....	1,810,000	308,000	309,000	326,000	17,000
Capital Projects.....	3,512,000	3,334,000	2,983,000	4,539,800	1,556,800
State Share of Medicaid.....	-	-	-	270,900	270,900
SUNY Operations.....	1,273,000	1,273,000	1,239,000	1,229,500	(9,500)
Other Purposes.....	1,270,000	1,531,000	1,506,000	1,612,000	106,000
Total Disbursements.....	73,169,000	70,877,000	74,747,000	74,095,300	(651,700)
Excess (Deficiency) of Receipts over Disbursements.....	(2,227,000)	(1,707,000)	(1,707,000)	216,600	1,923,600
Fund Balances (Deficits) at April 1.....	8,944,000	8,944,000	8,944,000	8,944,200	200
Fund Balances (Deficits) at March 31.....	\$ 6,717,000	\$ 7,237,000	\$ 7,237,000	\$ 9,160,800	\$ 1,923,800

See Accompanying Notes

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
 AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT D
 (continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
RECEIPTS:							
Personal Income Tax.....	\$ 2,073,000	\$ 2,073,000	\$ 2,030,000	\$ 2,027,400	\$ -	\$ 2,027,400	\$ (2,600)
Consumption/Use Taxes.....	1,691,000	1,583,000	1,715,000	1,712,900	-	1,712,900	(2,100)
Business Taxes.....	1,866,000	1,862,000	1,706,000	1,836,000	-	1,836,000	130,000
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	15,866,000	15,393,000	15,921,000	17,375,200	-	17,375,200	1,454,200
Federal Receipts.....	70,577,000	80,802,000	81,840,000	76,123,900	-	76,123,900	(5,716,100)
Transfers from Other Funds.....	2,535,000	2,805,000	2,744,000	3,685,400	(597,000)	3,088,400	344,400
Total Receipts.....	94,608,000	104,518,000	105,956,000	102,760,800	(597,000)	102,163,800	(3,792,200)
DISBURSEMENTS:							
Local Assistance Grants.....	76,045,000	85,132,000	84,906,000	80,549,800	-	80,549,800	(4,356,200)
Departmental Operations.....	9,523,000	14,149,000	12,148,000	12,001,600	-	12,001,600	(146,400)
General State Charges.....	1,397,000	1,622,000	2,535,000	2,227,900	-	2,227,900	(307,100)
Debt Service.....	133,000	144,000	144,000	102,200	-	102,200	(41,800)
Capital Projects.....	-	2,000	3,000	-	-	-	(3,000)
Transfers to Other Funds.....	2,852,000	3,023,000	3,422,000	3,324,600	(597,000)	2,727,600	(694,400)
Total Disbursements.....	89,950,000	104,072,000	103,158,000	98,206,100	(597,000)	97,609,100	(5,548,900)
Excess (Deficiency) of Receipts over Disbursements.....	4,658,000	446,000	2,798,000	4,554,700	-	4,554,700	1,756,700
Fund Balances (Deficits) at April 1.....	6,312,000	6,311,000	6,311,000	6,312,100	-	6,312,100	1,100
Fund Balances (Deficits) at March 31.....	\$ 10,970,000	\$ 6,757,000	\$ 9,109,000	\$ 10,866,800	\$ -	\$ 10,866,800	\$ 1,757,800

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 2,073,000	\$ 2,073,000	\$ 2,030,000	\$ 2,027,400	\$ (2,600)
Consumption/Use Taxes.....	1,691,000	1,583,000	1,715,000	1,712,900	(2,100)
Business Taxes.....	1,866,000	1,862,000	1,706,000	1,836,000	130,000
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	15,636,000	15,159,000	15,701,000	17,193,200	1,492,200
Federal Receipts.....	(23,000)	(23,000)	(23,000)	(13,600)	9,400
Transfers from Other Funds.....	2,535,000	2,805,000	2,744,000	3,685,400	941,400
Total Receipts.....	23,778,000	23,459,000	23,873,000	26,441,300	2,568,300
DISBURSEMENTS:					
Local Assistance Grants.....	15,260,000	14,701,000	14,661,000	16,106,100	1,445,100
Departmental Operations.....	7,408,000	7,312,000	7,418,000	7,839,700	421,700
General State Charges.....	1,047,000	1,066,000	1,062,000	886,300	(175,700)
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	798,000	864,000	1,245,000	1,103,800	(141,200)
Total Disbursements.....	24,513,000	23,943,000	24,386,000	25,935,900	1,549,900
Excess (Deficiency) of Receipts over Disbursements.....	(735,000)	(484,000)	(513,000)	505,400	1,018,400
Fund Balances (Deficits) at April 1.....	5,401,000	5,400,000	5,400,000	5,400,700	700
Fund Balances (Deficits) at March 31.....	\$ 4,666,000	\$ 4,916,000	\$ 4,887,000	\$ 5,906,100	\$ 1,019,100

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Miscellaneous Receipts.....	\$ 230,000	\$ 234,000	\$ 220,000	\$ 182,000	\$ (38,000)
Federal Receipts.....	70,600,000	80,825,000	81,863,000	76,137,500	(5,725,500)
Transfers from Other Funds.....	-	-	-	-	-
Total Receipts.....	70,830,000	81,059,000	82,083,000	76,319,500	(5,763,500)
DISBURSEMENTS:					
Local Assistance Grants.....	60,785,000	70,431,000	70,245,000	64,443,700	(5,801,300)
Departmental Operations.....	2,115,000	6,837,000	4,730,000	4,161,900	(568,100)
General State Charges.....	350,000	556,000	1,473,000	1,341,600	(131,400)
Debt Service.....	133,000	144,000	144,000	102,200	(41,800)
Capital Projects.....	-	2,000	3,000	-	(3,000)
Transfers to Other Funds.....	2,054,000	2,159,000	2,177,000	2,220,800	43,800
Total Disbursements.....	65,437,000	80,129,000	78,772,000	72,270,200	(6,501,800)
Excess (Deficiency) of Receipts over Disbursements.....	5,393,000	930,000	3,311,000	4,049,300	738,300
Fund Balances (Deficits) at April 1.....	911,000	911,000	911,000	911,400	400
Fund Balances (Deficits) at March 31.....	\$ 6,304,000	\$ 1,841,000	\$ 4,222,000	\$ 4,960,700	\$ 738,700

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - DEBT SERVICE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Personal Income Tax.....	\$ 24,523,000	\$ 24,523,000	\$ 26,521,000	\$ 27,483,300	\$ 962,300
Consumption/Use Taxes.....	6,292,000	5,850,000	6,578,000	6,634,400	56,400
Other Taxes.....	832,000	832,000	781,000	831,400	50,400
Miscellaneous Receipts.....	381,000	374,000	374,000	400,900	26,900
Federal Receipts.....	74,000	74,000	74,000	73,800	(200)
Transfers from Other Funds.....	4,686,000	3,272,000	3,270,000	3,223,900	(46,100)
Total Receipts.....	36,788,000	34,925,000	37,598,000	38,647,700	1,049,700
DISBURSEMENTS:					
Departmental Operations.....	44,000	44,000	44,000	61,400	17,400
Debt Service.....	10,338,000	10,354,000	11,891,000	13,196,300	1,305,300
Transfers to Other Funds.....	26,413,000	24,532,000	25,668,000	25,388,400	(279,600)
Total Disbursements.....	36,795,000	34,930,000	37,603,000	38,646,100	1,043,100
Excess (Deficiency) of Receipts over Disbursements.....	(7,000)	(5,000)	(5,000)	1,600	6,600
Fund Balances (Deficits) at April 1.....	63,000	63,000	63,000	63,400	400
Fund Balances (Deficits) at March 31.....	\$ 56,000	\$ 58,000	\$ 58,000	\$ 65,000	\$ 7,000

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
RECEIPTS:							
Consumption/Use Taxes.....	\$ 557,000	\$ 525,000	\$ 512,000	\$ 520,600	\$ -	\$ 520,600	\$ 8,600
Business Taxes.....	585,000	577,000	551,000	535,900	-	535,900	(15,100)
Other Taxes.....	119,000	119,000	119,000	119,100	-	119,100	100
Miscellaneous Receipts.....	8,049,000	8,121,000	8,499,000	5,480,800	-	5,480,800	(3,018,200)
Federal Receipts.....	2,182,000	2,182,000	2,182,000	1,954,300	-	1,954,300	(227,700)
Transfers from Other Funds.....	3,904,000	3,714,000	3,363,000	5,187,500	(332,400)	4,855,100	1,492,100
Total Receipts.....	15,396,000	15,238,000	15,226,000	13,798,200	(332,400)	13,465,800	(1,760,200)
DISBURSEMENTS:							
Local Assistance Grants.....	4,982,000	5,121,000	5,407,000	5,240,800	-	5,240,800	(166,200)
Capital Projects.....	9,752,000	8,838,000	8,542,000	7,090,000	-	7,090,000	(1,452,000)
Transfers to Other Funds.....	1,514,000	1,498,000	1,495,000	1,779,100	(332,400)	1,446,700	(48,300)
Total Disbursements.....	16,248,000	15,457,000	15,444,000	14,109,900	(332,400)	13,777,500	(1,666,500)
Excess (Deficiency) of Receipts over Disbursements.....	(852,000)	(219,000)	(218,000)	(311,700)	-	(311,700)	(93,700)
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	850,000	365,000	365,000	202,600	-	202,600	(162,400)
Total Other Financing Sources (Uses).....	850,000	365,000	365,000	202,600	-	202,600	(162,400)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(2,000)	146,000	147,000	(109,100)	-	(109,100)	(256,100)
Fund Balances (Deficits) at April 1.....	(1,035,000)	(1,035,000)	(1,035,000)	(1,034,900)	-	(1,034,900)	100
Fund Balances (Deficits) at March 31.....	\$ (1,037,000)	\$ (889,000)	\$ (888,000)	\$ (1,144,000)	\$ -	\$ (1,144,000)	\$ (256,000)

See Accompanying Notes

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - STATE
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Consumption/Use Taxes.....	\$ 557,000	\$ 525,000	\$ 512,000	\$ 520,600	\$ 8,600
Business Taxes.....	585,000	577,000	551,000	535,900	(15,100)
Other Taxes.....	119,000	119,000	119,000	119,100	100
Miscellaneous Receipts.....	8,049,000	8,121,000	8,499,000	5,479,900	(3,019,100)
Federal Receipts.....	5,000	5,000	5,000	4,200	(800)
Transfers from Other Funds.....	4,306,000	4,116,000	3,764,000	5,187,500	1,423,500
Total Receipts.....	13,621,000	13,463,000	13,450,000	11,847,200	(1,602,800)
DISBURSEMENTS:					
Local Assistance Grants.....	4,276,000	4,415,000	4,701,000	4,701,900	900
Capital Projects.....	8,690,000	7,776,000	7,480,000	5,992,700	(1,487,300)
Transfers to Other Funds.....	1,514,000	1,498,000	1,495,000	1,446,700	(48,300)
Total Disbursements.....	14,480,000	13,689,000	13,676,000	12,141,300	(1,534,700)
Excess (Deficiency) of Receipts over Disbursements.....	(859,000)	(226,000)	(226,000)	(294,100)	(68,100)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	850,000	365,000	365,000	202,600	(162,400)
Total Other Financing Sources (Uses).....	850,000	365,000	365,000	202,600	(162,400)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(9,000)	139,000	139,000	(91,500)	(230,500)
Fund Balances (Deficits) at April 1.....	(471,000)	(471,000)	(471,000)	(472,200)	(1,200)
Fund Balances (Deficits) at March 31.....	<u>\$ (480,000)</u>	<u>\$ (332,000)</u>	<u>\$ (332,000)</u>	<u>\$ (563,700)</u>	<u>\$ (231,700)</u>

STATE OF NEW YORK
GOVERNMENTAL FUNDS
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - FEDERAL
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS
AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT D
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
RECEIPTS:					
Miscellaneous Receipts.....	\$ -	\$ -	\$ -	\$ 900	\$ 900
Federal Receipts.....	2,177,000	2,177,000	2,177,000	1,950,100	(226,900)
Transfers from Other Funds.....	(402,000)	(402,000)	(401,000)	-	401,000
Total Receipts.....	1,775,000	1,775,000	1,776,000	1,951,000	175,000
DISBURSEMENTS:					
Local Assistance Grants.....	706,000	706,000	706,000	538,900	(167,100)
Capital Projects.....	1,062,000	1,062,000	1,062,000	1,097,300	35,300
Transfers to Other Funds.....	-	-	-	332,400	332,400
Total Disbursements.....	1,768,000	1,768,000	1,768,000	1,968,600	200,600
Excess (Deficiency) of Receipts over Disbursements.....	7,000	7,000	8,000	(17,600)	(25,600)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	7,000	7,000	8,000	(17,600)	(25,600)
Fund Balances (Deficits) at April 1.....	(564,000)	(564,000)	(564,000)	(562,700)	1,300
Fund Balances (Deficits) at March 31.....	<u>\$ (557,000)</u>	<u>\$ (557,000)</u>	<u>\$ (556,000)</u>	<u>\$ (580,300)</u>	<u>\$ (24,300)</u>

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 1 - BASIS OF PRESENTATION

As set forth in State Finance Law, this report is prepared on a cash basis of accounting. Accounting and reporting of financial activity on a cash basis results in the recording of receipts at the time money or checks are deposited in the State Treasury and the recording of disbursements at the time a check is drawn, regardless of the fiscal period to which the receipts or disbursements relate. The State Financial Plan sets forth projections of receipts and disbursements in the governmental fund types based initially upon the recommendations in the Executive Budget. After the budget is enacted, the State Financial Plan is adjusted to reflect revenue measures, appropriation bills, and certain related bills enacted by the Legislature. The Financial Plan is updated quarterly, or more frequently when necessary, by the Division of the Budget.

NOTE 2 - FUND TYPES

The State records its transactions in the following fund types:

Governmental Funds:

General - the major operating fund of the State. It accounts for all receipts that are not required by law to be deposited into another fund. The General Fund's income finances disbursements from the Local Assistance Account, the State Operations Account, the Contingency Reserve Fund, the Universal Pre-Kindergarten Reserve Fund, the Refund Reserve Account, the Fringe Benefit Escrow Account, and the Tobacco Revenue Guarantee Fund. Receipts in excess of General Fund requirements in the Local Assistance Account and State Operations Account are transferred to the Tax Stabilization Reserve Fund at year-end (see Schedule 27). Receipts retained in the Rainy Day Reserve Fund may only be used in an economic downturn or catastrophic event as defined in State Finance Law Section 92-cc.

Special Revenue - to account for State receipts of specific revenue sources (other than debt service or major capital projects) such as Federal grants, that are legally restricted to disbursements for specified purposes. These restrictions may be imposed by the State or Federal government.

Debt Service - to account for the accumulation of resources for, and the payment of principal and interest on general long-term debt and State debt under lease/purchase and contractual obligation financing agreements. Debt service in relation to general obligation debt and certain lease/purchase and contractual obligation payments that are paid from the General Debt Service Fund are funded by transfers from the General Fund. Also disbursed from Debt Service Funds are debt service related activities for the Health and Mental Hygiene facilities, for highway construction, reconstruction, reconditioning and preservation, and for certain local assistance payments made under contractual agreements with public authorities. Such activities are primarily funded by dedicated tax receipts and patient income.

Capital Projects - to account for resources used for the acquisition or construction of capital facilities. Capital assistance grants to local governments and advances for capital construction costs reimbursable by public authorities of the State, Federal or local governments are also accounted for in these funds. Financial resources are generated primarily from bond issuances, dedicated taxes and other revenues, reimbursement of advances, Federal grants and transfers from other State Funds.

State Operating Funds:

State Operating Funds - comprise the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

Proprietary Funds:

Enterprise - to account for activities for which a fee is charged to users for goods or services. Enterprise Funds include services provided where either the costs are intended to be recovered primarily through charges to users outside of the State entity, or where the potential exists for significant financing through user charges, even if the legislative intent is not to self-finance the service and instead subsidize it from general governmental resources.

Internal Service - to account for any activity that provides goods or services to other funds, other State departments or agencies of the primary government on a cost-reimbursement basis. Internal Service Funds should be used only if the reporting government is the predominant participant in the activity.

Fiduciary Funds:

Private Purpose Trust - to account for all other trust arrangements where the principal and income benefits individuals, private organizations or other governments.

Pension Trust - to account for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded, since these payments are not required to be appropriated.

Agency - to account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations or other governmental units.

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 3 - DISBURSEMENT DESCRIPTIONS

The State's Cash report includes payments made pursuant to an appropriation, as well as non-appropriated payments from funds held in a fiduciary capacity.

Local Assistance Grants - this category includes payments to counties, cities, towns, villages, school districts, private schools and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Schedule 7 contains further information relating to local assistance disbursements by program.

Departmental Operations - this category includes the payment of salaries and compensation for State employees, miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs of State departments and agencies.

General State Charges - this category includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category include contributions to pension systems, the employer's share of social security contributions, employer contributions toward the cost of medical and dental insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services. Also included are fixed costs for State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately, payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - this category includes debt service on long-term debt and payments on certain lease/purchase or contractual obligation contracts accounted for in Debt Service Funds (see Schedules 14 and 18). Under lease/purchase or contractual obligation financing arrangements, public authorities and certain municipalities have issued debt to finance the acquisition, construction or rehabilitation of State facilities or equipment and expect to receive rental or contractual payments from the State in an amount equal to the debt issued by the authority or municipality.

Capital Projects - this category includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highways, parkways, rail preservation, outdoor recreation, and environmental conservation projects, as well as payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing and environmental quality projects. Advances are made for capital construction costs reimbursable by public benefit corporations, the Federal or local governments, or from the proceeds of State bonds and note sales.

NOTE 4 - OTHER FINANCING SOURCES (USES)

Bond Proceeds - this category includes proceeds from the sale of general obligation bonds. Schedule 14 provides an analysis of State debt activity during the fiscal year.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant General Fund transfers include transfers to the following Funds/Accounts (amounts in millions):

Banking Services	\$ 36.7
Batavia School for the Blind	0.9
Building Administration	9.5
Business Service Center	26.9
Centralized Technology Services	11.5
Certificates of Participation	9.6
Combined Expendable Trust	1.3
Commercial Gaming Revenue	96.2
Correctional Facilities Capital Improvement	102.8
Correctional Industries Revolving	20.8
Court Facilities Incentive Aid	116.2
Dedicated Highway and Bridge Trust	786.0
Dedicated Infrastructure Investment	513.0
Dedicated Mass Transportation - (Non-MTA)	5.1
Dedicated Mass Transportation - Railroad	8.9
Dedicated Mass Transportation - Transit Authority	49.8
Entertainment Diversity Job Training and Development	1.5
Environmental Protection	28.0
Federal Salary Sharing	1.9
General Debt Service	326.0
Hazardous Waste Oversight and Assistance	1.8
Health Insurance Revolving	12.0
Helen Hayes Hospital	26.2
Indigent Legal Services	1.2
Mass Transportation Financial Assistance	244.3
Mass Transportation Operating Assistance	29.6
Medical Marijuana Health Operating and Oversight	6.6
Montrose Veteran's Home	9.3
Neighborhood Works Project	1.0
New York City Central Business District Trust	150.0
New York City County Clerks' Operations Offset	2.8
New York City Veterans - St. Albans	11.1
New York State Veterans Home Oxford	6.6
Recruitment Incentive	2.1
Rome School for the Deaf	1.0

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

Spinal Cord Injury	8.5
State Capital Projects	3,108.2
State Fair Receipts	3.0
State Lottery	692.8
State University Income	1,229.5
Tax Revenue Arrearage	1.5
Western New York Veteran's Batavia	5.3
Total	\$ 7,707.0

In addition to the amounts listed in the prior table, reported General Fund Transfers to Other Funds include transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to the Debt Service Funds (\$8.5m) and the State University Income Fund (\$262.4m).

The Special Revenue Funds, Transfer to Other Funds include transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,444.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$56.3m) and Medicaid Management Information System Escrow Fund (\$122.3m).

Additionally, Special Revenue Funds include Transfers to the General Fund from the following Funds/Accounts (amounts in millions):

Business and Licensing Services	\$ 7.3
Certificate of Need Account	1.1
Charitable Gift Trust Account	96.0
Clean Air Account	2.1
DMNA Radiological Emergency Preparedness Account	3.5
ENCON Special Revenue	8.1
Federal Education	2.4
Federal Health and Human Services	140.4
Federal Operating Grants	5.3
Federal USDA/Food and Nutrition Services	9.6
Fingerprint Identification Technology Account	2.0
Health Care Transformation	200.0
HESC Insurance Premium	5.3
Legal Services Assistance	9.8
Litigation Settlement	7.5
Miscellaneous State Special Revenue	5.1
Motor Vehicle Theft and Insurance Fraud	1.1
MTA Operating Assistance	1.4

New York City Assessment Account	2.4
Parking Account	1.0
Patron Services Account	1.6
Professional Education Services	2.8
Public Service Account	3.6
State Central Register	3.5
State Lottery	8.7
State Police Motor Vehicle Law Enforcement	112.4
Statewide Public Safety Communications	27.5
State University Income	58.4
Surplus Property Account	3.0
System and Technology Account	3.5
Training and Education Program on OSHA	3.5
Transportation Surplus Property Account	1.8
Unemployment Insurance Administration	16.2
Unemployment Insurance Interest and Penalty	13.1
Vital Records Management	2.6
Workers' Compensation Board Account	7.3
Total	\$ 780.9

Also included in Special Revenue Funds transfers are transfers to finance capital projects in the State Capital Projects Fund (\$213.8m), the Mental Hygiene Facilities Capital Improvement Fund (\$3.0m), the Hazardous Waste Remedial Fund (\$9.2m), the SUNY Residence Halls Rehabilitation and Repair Fund (\$17.1m), and the Miscellaneous Capital Projects Fund (\$71.6m).

Debt Service Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Clean Water/Clean Air	\$ 782.7
Local Government Assistance Tax	3,237.8
Mental Health Services	1,396.5
Revenue Bond Tax	18,578.0
Sales Tax Revenue Bond Tax	1,277.8
Total	\$ 25,272.8

Also included in the Debt Service Funds transfers are transfers to Special Revenue Funds representing receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$115.6m).

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

Capital Projects Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):

Dedicated Highway and Bridge Trust	\$	42.8
Hazardous Waste Remedial		19.3
Total	\$	62.1

Also included in Capital Projects Funds transfers are transfers to the General Debt Service Fund - Lease Purchase (\$108.8m) and the Revenue Bond Tax Fund (\$1,275.9m).

Transfer Eliminations:

The Special Revenue Funds and Capital Project Funds include transfers of resources between Federal and State accounts within each fund group. To avoid recording spending twice, initially as a transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds.

NOTE 5 - FUTURE DEBT SERVICE REQUIREMENTS

Future debt service payments reflect gross principal and interest due to bondholders as of March 31, 2021. Actual amounts paid by the State may vary from these estimates, due to offsetting interest earnings, actual variable rate results, related expenses and future bond sales conducted between the fiscal year end date and the date the Annual Report was released.

Schedules 17 and 19 provide a complete detail of future debt service requirements.

NOTE 6 - CAPITAL PROJECTS REIMBURSED DISBURSEMENTS

Disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds from State bonds and notes, and reimbursements received from public authorities and the Federal Government. The following is a list of capital projects spending by agency and sources of financing (amounts in millions):

Source of Financing	2020-21	Percentage of Spending Supported By:
Direct Deposit of Taxes	\$ 119.1	0.97%
Federal Funds	1,954.3	15.85%
Non-Public Authority Receipts	529.4	4.29%
Public Authority Financing	4,561.2	36.99%
Increase in Borrowing from STIP	109.1	0.88%
State Debt	202.6	1.64%
Tax Transfers from General Fund	3,108.2	25.21%
Transfers from Other Funds	1,746.9	14.17%
Total	\$ 12,330.8	100.00%

Capital Projects Spending	2020-21
Addiction Services and Supports	\$ 45.5
Agriculture and Markets	64.7
Children and Family Services	19.5
City University of New York	34.8
Corrections and Community Supervision	309.2
Dormitory Authority	175.1
Education	118.8
Energy Research and Development Authority	28.9
Environmental Conservation and Parks	783.5
General Services, Office of	276.9
Health	604.4
Homeland Security and Emergency Services	152.8
Housing and Community Renewal	552.9
Mental Hygiene	418.0
Metropolitan Transportation Authority	1,570.8
Military and Naval Affairs	216.7
Motor Vehicle (Operating Expense)	309.1
New York State Thruway Authority	55.5
Other	355.3
State	30.8
State Police	40.4
State University of New York	881.1
Temporary and Disability Assistance	65.0
Transportation	4,519.9
UDC (Empire State Development Corp)	701.2
Total	\$ 12,330.8

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

The Comptroller certifies monthly, to the Division of the Budget, the Assembly Ways and Means Committee and the State Finance Committee, capital disbursements that are eligible to be reimbursed by Public Authority financing or State-issued General Obligation Debt.

The unreimbursed capital disbursements are financed in the first instance with General Fund resources or loans from the State's Short-Term Investment Pool. As reimbursements are received, the transfers and loans are repaid.

The amounts shown below represent disbursements as of March 31, 2021 and March 31, 2020, respectively, which are eligible to be reimbursed in the following fiscal year from the funding sources listed below (amounts in millions):

	Unreimbursed Balances	
	2020-21	2019-20
Dormitory Authority (Mental Hygiene)	\$ 426.7	\$ 355.8
Dormitory Authority and State University Income Fund	516.0	758.1
Federal Capital Projects	580.3	562.7
Housing Finance Agency (HFA)	377.4	216.3
Housing Assistance Fund (HAF)	12.9	12.9
State Bond and Note Proceeds	25.6	150.6
Urban Development Corporation (Correctional Facilities)	169.1	316.0
Urban Development Corporation (Youth Facilities)	14.3	21.2
Total	\$ 2,122.3	\$ 2,393.6

NOTE 7 - SCHOOL TAX RELIEF

A portion of Personal Income Tax receipts is transferred to the State Special Revenue - School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate program. School Tax Relief payments were \$2,027.4m as of March 31, 2021.

Schedule 2 provides additional detail regarding Personal Income Tax receipts.

NOTE 8 - EXTRAORDINARY MONETARY SETTLEMENTS

Since fiscal year 2015, the State has received a significant amount of Extraordinary Monetary Settlements related to violations of State laws by major financial institutions and other entities. The Refund Reserve Account balance includes Extraordinary Monetary Settlements the State has received. The Refund Reserve Account is reported in Exhibit A-1.

NOTE 9 - TOBACCO MASTER SETTLEMENT AGREEMENT PROCEEDS

In fiscal year 2018, bonds secured by annual payments from tobacco manufacturers under the Master Settlement Agreement (MSA) were retired, with no remaining debt service requirements to be paid on these bonds. Legislation (Chapter 59, Laws of 2017) included in the fiscal year 2018 Enacted Budget directed these payments in certain instances be used to help defray costs of the State's takeover of certain Medicaid costs from counties and New York City. In fiscal year 2021, payments of \$293.9m received under the Master Settlement Agreement were deposited to the Medicaid Management Information System Escrow Fund and used to offset, without appropriation, the non-Federal share of Medicaid pursuant to the 2018 Enacted Budget.

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 10 - NEW YORK LOCAL GOVERNMENT ASSISTANCE TAX FUND

An amount equal to 25 percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment to the New York Local Government Assistance Corporation to enable it to meet principal and interest on its bonds.

Pursuant to Section 92-r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020 April	May	June	July	August	September	October	November	December	2021 January	February	March	FISCAL YEAR TOTALS
Beginning Balance	\$ -	\$ -	\$ 97,925	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 79,443	\$ -
Sales Tax Receipts	197,076	184,863	286,012	264,852	268,160	354,469	274,909	272,479	353,344	298,870	249,718	312,468	3,317,220
Interest Income	-	-	7	-	-	-	-	-	-	-	-	2	9
Total Receipts	197,076	184,863	286,019	264,852	268,160	354,469	274,909	272,479	353,344	298,870	249,718	312,470	3,317,229
Contractual Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-	-	-	-	-	79,443	79,443
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	197,076	86,938	213,944	264,852	268,160	354,469	274,909	272,479	353,344	298,870	170,275	312,470	3,067,786
Total Disbursements	197,076	86,938	383,944	264,852	268,160	354,469	274,909	272,479	353,344	298,870	170,275	391,913	3,317,229
Ending Balance	\$ -	\$ 97,925	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 79,443	\$ -	\$ -
FY 2019-20													
	2019 April	May	June	July	August	September	October	November	December	2020 January	February	March	FISCAL YEAR TOTALS
Beginning Balance	\$ -	\$ 8,203	\$ 170,539	\$ -	\$ -	\$ 13,439	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 280,021	\$ -
Sales Tax Receipts	269,122	275,498	371,542	289,696	290,722	380,775	289,158	291,973	370,910	317,525	261,063	310,274	3,718,258
Interest Income	2	14	319	-	-	28	-	-	1	-	-	375	739
Total Receipts	269,124	275,512	371,861	289,696	290,722	380,803	289,158	291,973	370,911	317,525	261,063	310,649	3,718,997
Contractual Services	-	-	538	-	-	539	-	-	539	-	-	-	1,616
Debt Service	199	8,203	-	-	-	12,901	-	-	-	-	-	279,482	300,785
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	260,722	104,973	371,862	289,696	277,283	380,802	289,158	291,973	370,372	317,525	(18,958)	311,188	3,246,596
Total Disbursements	260,921	113,176	542,400	289,696	277,283	394,242	289,158	291,973	370,911	317,525	(18,958)	590,670	3,718,997
Ending Balance	\$ 8,203	\$ 170,539	\$ -	\$ -	\$ 13,439	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 280,021	\$ -	\$ -

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 11 - NEW YORK REVENUE BOND TAX FUND

An amount equal to 50 percent of the State's Personal Income Tax (PIT) receipts and Employer Compensation Expense Tax (ECET) receipts, less refunds to taxpayers, is to be deposited in the Revenue Bond Tax Fund (RBTF). The monies of such Fund are reserved for payment of debt service on Personal Income Tax Revenue Bonds.

Pursuant to Section 92-z(5) of the State Finance Law, monies in the RBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the RBTF during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020									2021			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Opening Balance	\$ -	\$ 254	\$ 558	\$ 127	\$ 791,998	\$ 1,476,033	\$ 1,850,127	\$ 2,450,127	\$ 3,219,059	\$ 2,438,440	\$ 5,791,780	\$ 5,768,530	\$ -
PIT Receipts	1,033,117	1,099,586	2,184,227	5,115,337	1,361,506	2,635,745	1,265,822	1,287,335	2,416,417	4,726,515	2,691,928	1,665,800	27,483,335
Employer Compensation Expense Tax	68	(41)	61	132	64	102	166	98	417	360	115	72	1,614
Federal Subsidies (*)	-	-	-	-	-	24,398	-	-	10,903	-	26,064	9,207	70,572
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	2,276	2,650	-	-	-	-	-	51,340	56,266
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	23,192	178,675	-	-	-	-	161,242	912,766	1,275,875
Transfer from - Mental Health Services Fund (**)	-	-	-	-	88,712	-	-	-	-	-	209,509	-	298,221
Transfer from - Centralized Services Fund (**)	-	-	-	-	-	99	-	-	-	-	-	4,043	4,142
Total Receipts	1,033,185	1,099,545	2,184,288	5,115,469	1,475,750	2,841,669	1,265,988	1,287,433	2,427,737	4,726,875	3,088,858	2,643,228	29,190,025
Non-Personal Service	-	328	5,989	-	2,886	1,623	-	-	571	-	8,857	6,749	27,003
Debt Service	-	-	431	-	301,787	576,381	3	-	1,180,474	-	762,010	7,763,872	10,584,958
Transfer to General Fund	1,032,931	1,098,913	2,178,299	4,323,598	487,042	1,889,571	665,985	518,501	2,027,311	1,373,535	2,341,241	641,137	18,578,064
Total Disbursements	1,032,931	1,099,241	2,184,719	4,323,598	791,715	2,467,575	665,988	518,501	3,208,356	1,373,535	3,112,108	8,411,758	29,190,025
Ending Balance	\$ 254	\$ 558	\$ 127	\$ 791,998	\$ 1,476,033	\$ 1,850,127	\$ 2,450,127	\$ 3,219,059	\$ 2,438,440	\$ 5,791,780	\$ 5,768,530	\$ -	\$ -

FY 2019-20													
	2019									2020			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Opening Balance	\$ -	\$ 402	\$ 116,578	\$ 197	\$ 9,424	\$ 128,160	\$ 197	\$ 63	\$ 274,601	\$ 197	\$ 1,501,347	\$ 2,024,796	\$ -
PIT Receipts	4,607,696	1,242,402	2,604,821	1,665,837	1,454,283	2,415,699	1,289,123	1,234,769	2,143,926	4,449,674	1,848,397	1,873,074	26,829,701
Employer Compensation Expense Tax	-	(14)	145	73	82	57	106	50	247	162	35	54	997
Federal Subsidies (*)	-	-	-	1,606	33,539	-	-	-	-	1,611	33,647	-	70,403
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	3,027	3,755	-	-	-	-	33,458	47,960	88,200
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	22,517	190,898	-	-	-	-	58,995	691,897	964,307
Transfer from - Mental Health Services Fund (**)	-	-	-	-	88,181	-	-	-	-	-	191,311	-	279,492
Transfer from - Centralized Services Fund (**)	-	-	-	-	-	404	-	-	-	-	-	12,614	13,018
Total Receipts	4,607,696	1,242,388	2,604,966	1,667,516	1,601,629	2,610,813	1,289,229	1,234,819	2,144,173	4,451,447	2,165,843	2,625,599	28,246,118
Non-Personal Service	-	-	4	-	6,599	517	591	-	95	-	1,299	6,577	15,682
Debt Service	-	-	115,301	-	-	55,445	-	-	271,192	-	592,514	1,333,548	2,368,000
Transfer to General Fund	4,607,294	1,126,212	2,606,042	1,658,289	1,476,294	2,682,814	1,288,772	960,281	2,147,290	2,950,297	1,048,581	3,310,270	25,862,436
Total Disbursements	4,607,294	1,126,212	2,721,347	1,658,289	1,482,893	2,738,776	1,289,363	960,281	2,418,577	2,950,297	1,642,394	4,650,395	28,246,118
Ending Balance	\$ 402	\$ 116,578	\$ 197	\$ 9,424	\$ 128,160	\$ 197	\$ 63	\$ 274,601	\$ 197	\$ 1,501,347	\$ 2,024,796	\$ -	\$ -

(*) Pursuant to Section 73 of the State Finance Law, as added by Chapter 56 of the Laws of 2010 and amended by Chapter 59 of the Laws of 2012, the Federal Subsidies are Federal Interest Subsidy receipts solely used for debt service on Build America Bonds and Qualified School Construction Bonds, as authorized pursuant to ARRA, under Section 54AA and 54F of the Internal Revenue Code.

(**) Transfers represent reimbursements for debt service paid from the Revenue Bond Tax Fund. These reimbursements are made pursuant to State Finance Law Sections 92-dd, 89-b, 97-f, and 97-g.

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 12 - NEW YORK SALES TAX REVENUE BOND FUND

An amount equal to 25 percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Sales Tax Revenue Bond Tax Fund (STRBTF). The monies of such Fund are reserved for payment of debt service on Sales Tax Revenue Bonds.

Pursuant to Section 92-h(5) of the State Finance Law, monies in the STRBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the STRBTF during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020									2021			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ 109,578	\$ 219,155	\$ 328,733	\$ 438,310	\$ 547,888	\$ 376,882	\$ 486,467	\$ 596,053	\$ 705,638	\$ 815,223	\$ 924,809	\$ -
Sales Tax Receipts	197,076	184,863	286,013	264,851	268,160	354,469	274,909	272,479	353,343	298,870	249,719	312,468	3,317,220
Total Receipts	197,076	184,863	286,013	264,851	268,160	354,469	274,909	272,479	353,343	298,870	249,719	312,468	3,317,220
Non-Personal Service	-	-	-	-	-	181	-	-	-	-	-	82	263
Debt Service	-	-	-	-	-	223,442	-	-	-	-	-	1,815,671	2,039,113
Transfer to General Fund	87,498	75,286	176,435	155,274	158,582	301,852	165,324	162,893	243,758	189,285	140,133	(578,476)	1,277,844
Total Disbursements	87,498	75,286	176,435	155,274	158,582	525,475	165,324	162,893	243,758	189,285	140,133	1,237,277	3,317,220
Ending Balance	\$ 109,578	\$ 219,155	\$ 328,733	\$ 438,310	\$ 547,888	\$ 376,882	\$ 486,467	\$ 596,053	\$ 705,638	\$ 815,223	\$ 924,809	\$ -	\$ -

FY 2019-20													
	2019									2020			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ 77,822	\$ 155,644	\$ 233,466	\$ 311,288	\$ 389,110	\$ 163,053	\$ 247,489	\$ 337,283	\$ 424,398	\$ 487,125	\$ 565,857	\$ -
Sales Tax Receipts	269,127	275,493	371,543	289,695	290,722	380,775	289,158	291,973	370,910	317,525	261,063	310,274	3,718,258
Total Receipts	269,127	275,493	371,543	289,695	290,722	380,775	289,158	291,973	370,910	317,525	261,063	310,274	3,718,258
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	5	5
Debt Service	-	-	-	-	-	239,836	-	-	-	-	-	716,508	956,344
Transfer to General Fund	191,305	197,671	293,721	211,873	212,900	366,996	204,722	202,179	283,795	254,798	182,331	159,618	2,761,909
Total Disbursements	191,305	197,671	293,721	211,873	212,900	606,832	204,722	202,179	283,795	254,798	182,331	876,131	3,718,258
Ending Balance	\$ 77,822	\$ 155,644	\$ 233,466	\$ 311,288	\$ 389,110	\$ 163,053	\$ 247,489	\$ 337,283	\$ 424,398	\$ 487,125	\$ 565,857	\$ -	\$ -

NOTES TO THE COMPTROLLER’S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 13 - MOBILITY TAX TRUST ACCOUNT

Section 11 of Part UU of Chapter 59 of the Laws of 2018 amended Section 805(b) of the Tax Law, whereby the receipts from the Metropolitan Commuter Transportation Mobility Tax will be paid into the Metropolitan Transportation Authority Finance Fund pursuant to statute but without appropriation. The result is that neither the mobility receipts nor the related grant disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Mobility Tax Trust Account during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020									2021			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ 140,545	\$ 116,770	\$ 111,938	\$ 103,516	\$ 147,772	\$ 95,793	\$ 120,957	\$ 118,596	\$ 102,514	\$ 39,572	\$ 199,184	\$ 182,774	\$ 140,545
MCTD Mobility Tax Receipts	116,770	111,938	103,516	147,772	95,794	120,957	118,595	102,514	138,519	199,184	182,774	156,620	1,594,953
Interest Income	167	92	19	9	10	10	9	8	8	10	10	13	365
Total Receipts	116,937	112,030	103,535	147,781	95,804	120,967	118,604	102,522	138,527	199,194	182,784	156,633	1,595,318
Payments to MTA	140,712	116,862	111,957	103,525	147,783	95,803	120,965	118,604	201,469	39,582	199,194	182,787	1,579,243
Total Disbursements	140,712	116,862	111,957	103,525	147,783	95,803	120,965	118,604	201,469	39,582	199,194	182,787	1,579,243
Ending Balance	\$ 116,770	\$ 111,938	\$ 103,516	\$ 147,772	\$ 95,793	\$ 120,957	\$ 118,596	\$ 102,514	\$ 39,572	\$ 199,184	\$ 182,774	\$ 156,620	\$ 156,620
FY 2019-20													
	2019									2020			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ 124,970	\$ 165,812	\$ 117,476	\$ 109,766	\$ 126,087	\$ 104,633	\$ 112,719	\$ 130,989	\$ 98,282	\$ 32,836	\$ 211,153	\$ 158,705	\$ 124,970
MCTD Mobility Tax Receipts	165,812	117,474	109,765	126,077	104,621	112,709	130,961	98,279	128,176	211,151	158,704	140,544	1,604,273
Interest Income	268	204	241	281	195	285	192	128	170	111	130	199	2,404
Total Receipts	166,080	117,678	110,006	126,358	104,816	112,994	131,153	98,407	128,346	211,262	158,834	140,743	1,606,677
Payments to MTA	125,238	166,014	117,716	110,037	126,270	104,908	112,883	131,114	193,792	32,945	211,282	158,903	1,591,102
Total Disbursements	125,238	166,014	117,716	110,037	126,270	104,908	112,883	131,114	193,792	32,945	211,282	158,903	1,591,102
Ending Balance	\$ 165,812	\$ 117,476	\$ 109,766	\$ 126,087	\$ 104,633	\$ 112,719	\$ 130,989	\$ 98,282	\$ 32,836	\$ 211,153	\$ 158,705	\$ 140,545	\$ 140,545

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 14 - CONGESTION SURCHARGE

Section 2 of Part NNN of Chapter 59 of the Laws of 2018 added Article 29-c of the Tax Law, whereby the receipts from the Congestion Surcharge will be paid to the MTA for deposit into the NYC Transportation Assistance Fund pursuant to statute but without appropriation. The result is that neither the surcharge receipts nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Congestion Surcharge Account during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020												2021
	April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR TOTALS
Beginning Balance	\$ 32,959	\$ 15,011	\$ 4,103	\$ 2,078	\$ 7,347	\$ 10,101	\$ 12,298	\$ 14,126	\$ 16,779	\$ 15,476	\$ 14,245	\$ 15,167	\$ 32,959
Business / Professional Fees	15,003	3,412	865	10,017	9,401	11,587	13,414	16,081	14,325	13,101	13,483	14,990	135,679
Interest Income	41	17	3	-	1	1	1	1	2	1	1	1	70
Total Receipts	15,044	3,429	868	10,017	9,402	11,588	13,415	16,082	14,327	13,102	13,484	14,991	135,749
Grants	-	-	-	-	-	-	-	-	-	-	-	2,387	2,387
Payments to MTA	32,992	14,337	2,893	4,748	6,648	9,391	11,587	13,429	15,630	14,333	12,562	13,494	152,044
Total Disbursements	32,992	14,337	2,893	4,748	6,648	9,391	11,587	13,429	15,630	14,333	12,562	15,881	154,431
Ending Balance	\$ 15,011	\$ 4,103	\$ 2,078	\$ 7,347	\$ 10,101	\$ 12,298	\$ 14,126	\$ 16,779	\$ 15,476	\$ 14,245	\$ 15,167	\$ 14,277	\$ 14,277
FY 2019-20													
	2019												2020
	April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR TOTALS
Beginning Balance	\$ 34,422	\$ 76,188	\$ 39,406	\$ 40,697	\$ 38,150	\$ 36,613	\$ 35,242	\$ 37,638	\$ 41,438	\$ 39,761	\$ 40,104	\$ 38,716	\$ 34,422
Business / Professional Fees	41,754	38,671	38,683	37,773	35,242	33,849	36,384	40,312	38,498	37,952	35,643	32,982	447,743
Interest Income	12	89	109	78	75	71	61	60	57	56	61	50	779
Total Receipts	41,766	38,760	38,792	37,851	35,317	33,920	36,445	40,372	38,555	38,008	35,704	33,032	448,522
Grants	-	-	-	-	-	-	-	-	-	-	-	3,086	3,086
Payments to MTA	-	75,542	37,501	40,398	36,854	35,291	34,049	36,572	40,232	37,665	37,092	35,703	446,899
Total Disbursements	-	75,542	37,501	40,398	36,854	35,291	34,049	36,572	40,232	37,665	37,092	38,789	449,985
Ending Balance	\$ 76,188	\$ 39,406	\$ 40,697	\$ 38,150	\$ 36,613	\$ 35,242	\$ 37,638	\$ 41,438	\$ 39,761	\$ 40,104	\$ 38,716	\$ 32,959	\$ 32,959

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 15 - MTA AID TRUST FUND REFORMS

Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of Section 503 of the Vehicle and Traffic Law, Article 29-a of the Tax Law, article 17-c of the Vehicle and Traffic Law and Section 1166-a of the Tax Law, whereby the receipts from the various taxes and fees will be paid into the Metropolitan Transportation Authority Special Assistance Fund pursuant to statute but without appropriation. The result is that neither the revenue nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the MTA Aid Trust Fund Reforms Account during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-21													
	2020			2021			2021			2021			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ 8,653	\$ 31,256	\$ 40,575	\$ 7,768	\$ 25,513	\$ 43,579	\$ 11,683	\$ 30,587	\$ 48,155	\$ 12,538	\$ 30,939	\$ 47,006	\$ 8,653
MCTD Taxicab Trip Tax Receipts	3,065	89	402	780	119	163	1,287	151	1,134	1,964	191	491	9,836
MTA Passenger Car Rentals Tax	4,124	34	7,356	45	16	11,521	116	28	11,320	4	50	8,900	43,514
Motor Vehicle Fees	15,366	9,179	12,640	16,917	17,929	16,332	17,496	17,387	15,643	16,513	15,824	14,560	185,786
Interest Income	48	17	6	3	2	4	5	2	4	4	2	4	101
Total Receipts	22,603	9,319	20,404	17,745	18,066	28,020	18,904	17,568	28,101	18,485	16,067	23,955	239,237
Payments to MTA	-	-	53,211	-	-	59,916	-	-	63,718	84	-	61,571	238,500
Total Disbursements	-	-	53,211	-	-	59,916	-	-	63,718	84	-	61,571	238,500
Ending Balance	\$ 31,256	\$ 40,575	\$ 7,768	\$ 25,513	\$ 43,579	\$ 11,683	\$ 30,587	\$ 48,155	\$ 12,538	\$ 30,939	\$ 47,006	\$ 9,390	\$ 9,390
FY 2019-20													
	2019			2020			2020			2020			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ 31,034	\$ 49,893	\$ 2,584	\$ 28,955	\$ 47,000	\$ 10,456	\$ 37,285	\$ 54,409	\$ 15,597	\$ 39,524	\$ 56,034	\$ -
MCTD Taxicab Trip Tax Receipts	10,704	249	219	9,958	518	564	10,446	1,726	701	9,924	617	343	45,969
MTA Passenger Car Rentals Tax	1,609	76	11,575	19	36	19,103	(3)	4	14,897	12	5	8,300	55,633
Motor Vehicle Fees	18,721	18,534	18,285	16,394	17,491	16,521	16,386	15,361	14,130	13,933	15,850	15,912	197,518
Interest Income	-	-	-	-	-	-	-	33	42	58	38	64	235
Total Receipts	31,034	18,859	30,079	26,371	18,045	36,188	26,829	17,124	29,770	23,927	16,510	24,619	299,355
Payments to MTA	-	-	77,388	-	-	72,732	-	-	68,582	-	-	72,000	290,702
Total Disbursements	-	-	77,388	-	-	72,732	-	-	68,582	-	-	72,000	290,702
Ending Balance	\$ 31,034	\$ 49,893	\$ 2,584	\$ 28,955	\$ 47,000	\$ 10,456	\$ 37,285	\$ 54,409	\$ 15,597	\$ 39,524	\$ 56,034	\$ 8,653	\$ 8,653

NOTES TO THE COMPTROLLER'S 2021 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

NOTE 16 - ADDITIONAL REAL ESTATE TRANSFER TAX

Part 000 of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the Tax Law was, amended directing these taxes be remitted to the MTA for deposit into the Central District Tolling Capital Lockbox Fund, pursuant to statute but without appropriation. The result is that neither this real estate transfer tax nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Additional Real Estate Tax Account during Fiscal Years 2020-21 and 2019-20 (amounts in thousands):

FY 2020-2021													
	2020				2021				2021				FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ 25,855	\$ 13,975	\$ 9,711	\$ 11,565	\$ 11,074	\$ 10,913	\$ 12,093	\$ 9,981	\$ 12,874	\$ 18,607	\$ 29,754	\$ 17,094	\$ 25,855
Real Estate Transfer Tax Receipts	13,975	9,711	11,564	11,074	10,913	12,093	9,981	12,874	18,607	29,754	17,093	15,854	173,493
Interest Income	4	11	-	-	-	-	-	-	-	-	-	1	16
Total Receipts	13,979	9,722	11,564	11,074	10,913	12,093	9,981	12,874	18,607	29,754	17,093	15,855	173,509
Payments to MTA	25,859	13,986	9,710	11,565	11,074	10,913	12,093	9,981	12,874	18,607	29,753	17,095	183,510
Total Disbursements	25,859	13,986	9,710	11,565	11,074	10,913	12,093	9,981	12,874	18,607	29,753	17,095	183,510
Ending Balance	\$ 13,975	\$ 9,711	\$ 11,565	\$ 11,074	\$ 10,913	\$ 12,093	\$ 9,981	\$ 12,874	\$ 18,607	\$ 29,754	\$ 17,094	\$ 15,854	\$ 15,854
FY 2019-20													
	2019				2020				2020				FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ -
Real Estate Transfer Tax Receipts	-	-	-	-	6,132	13,922	15,437	22,493	15,739	25,091	17,521	25,855	142,190
Interest Income	-	-	-	-	-	-	7	22	40	43	3	2	117
Total Receipts	-	-	-	-	6,132	13,922	15,444	22,515	15,779	25,134	17,524	25,857	142,307
Payments to MTA	-	-	-	-	-	-	-	-	58,053	15,781	25,095	17,523	116,452
Total Disbursements	-	-	-	-	-	-	-	-	58,053	15,781	25,095	17,523	116,452
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ 25,855	\$ 25,855

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT A-1

(amounts in thousands)

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	TAX STABILIZATION RESERVE (10100-10149)	CONTINGENCY RESERVE (10150-10199)	UNIVERSAL PRE-KINDERGARTEN RESERVE (10200-10249)	COMMUNITY PROJECTS (10250-10299)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ 25,455,981	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes (*).....	-	7,249,559	-	-	-	-
Business Taxes.....	-	6,420,500	-	-	-	-
Other Taxes.....	-	1,548,778	-	-	-	-
Miscellaneous Receipts.....	250,352	7,264,880	-	-	-	-
Federal Receipts.....	-	437	-	-	-	-
Total Receipts.....	250,352	47,940,135	-	-	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	28,211,856	-	-	-	-	-
Environment and Recreation.....	647	-	-	-	-	-
General Government.....	1,009,091	-	-	-	-	1,216
Public Health:						
Medicaid.....	13,821,708	-	-	-	-	-
Other Public Health.....	2,638,464	-	-	-	-	-
Public Safety.....	127,166	-	-	-	-	-
Public Welfare.....	2,944,700	-	-	-	-	-
Support and Regulate Business.....	119,363	-	-	-	-	-
Transportation.....	106,588	-	-	-	-	-
Total Local Assistance Grants.....	48,979,583	-	-	-	-	1,216
Departmental Operations:						
Personal Service.....	-	7,154,447	-	-	-	-
Non-Personal Service.....	-	2,950,301	-	-	-	-
General State Charges.....	-	7,031,571	-	-	-	-
Total Disbursements.....	48,979,583	17,136,319	-	-	-	1,216
Excess (Deficiency) of Receipts over Disbursements.....	(48,729,231)	30,803,816	-	-	-	(1,216)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds (*).....	50,679,922	33,800,836	-	-	-	-
Transfers to Other Funds (*).....	(1,950,691)	(64,604,652)	-	-	-	-
Total Other Financing Sources (Uses).....	48,729,231	(30,803,816)	-	-	-	-
Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	-	-	-	(1,216)
Fund Balances at April 1.....	-	-	1,257,763	20,624	-	30,655
Fund Balances at March 31.....	\$ -	\$ -	\$ 1,257,763	\$ 20,624	\$ -	\$ 29,439

(*) See Accompanying Notes

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-1
(continued)

	RAINY DAY RESERVE (10300-10349)	REFUND RESERVE ACCOUNT (*) (10400-10449)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	TOBACCO REVENUE GUARANTEE (10550-10599)	ELIMINATIONS	COMBINED TOTALS	
						2020-21	2019-20
RECEIPTS:							
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,455,981	\$ 24,646,013
Consumption/Use Taxes (*).....	-	-	-	-	-	7,249,559	8,037,776
Business Taxes.....	-	-	-	-	-	6,420,500	6,369,844
Other Taxes.....	-	-	-	-	-	1,548,778	1,087,102
Miscellaneous Receipts.....	-	-	-	-	-	7,515,232	3,159,259
Federal Receipts.....	-	-	-	-	-	437	285
Total Receipts.....	-	-	-	-	-	48,190,487	43,300,279
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	28,211,856	28,101,508
Environment and Recreation.....	-	-	-	-	-	647	3,182
General Government.....	-	-	-	-	-	1,010,307	1,048,889
Public Health:							
Medicaid.....	-	-	-	-	-	13,821,708	17,566,451
Other Public Health	-	-	-	-	-	2,638,464	2,366,621
Public Safety	-	-	-	-	-	127,166	176,821
Public Welfare	-	-	-	-	-	2,944,700	2,318,853
Support and Regulate Business	-	-	-	-	-	119,363	170,772
Transportation.....	-	-	-	-	-	106,588	110,219
Total Local Assistance Grants.....	-	-	-	-	-	48,980,799	51,863,316
Departmental Operations:							
Personal Service	-	-	-	-	-	7,154,447	8,939,781
Non-Personal Service	-	-	-	-	-	2,950,301	3,113,937
General State Charges.....	-	-	-	-	-	7,031,571	7,453,706
Total Disbursements.....	-	-	-	-	-	66,117,118	71,370,740
Excess (Deficiency) of Receipts over Disbursements.....	-	-	-	-	-	(17,926,631)	(28,070,461)
OTHER FINANCING SOURCES (USES):							
Transfers from Other Funds (*).....	-	6,635,461	-	-	(64,994,769)	26,121,450	35,906,769
Transfers to Other Funds (*).....	-	(6,417,639)	-	-	64,994,769	(7,978,213)	(6,097,816)
Total Other Financing Sources (Uses).....	-	217,822	-	-	-	18,143,237	29,808,953
Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	217,822	-	-	-	216,606	1,738,492
Fund Balances at April 1.....	1,217,544	6,417,639	-	-	-	8,944,225	7,205,733
Fund Balances at March 31.....	\$ 1,217,544	\$ 6,635,461	\$ -	\$ -	\$ -	\$ 9,160,831	\$ 8,944,225

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2

	ARTS CAPITAL GRANTS (21850-21899)	BIODIVERSITY STEWARDSHIP AND RESEARCH (21600-21649)	CHARITABLE GIFTS TRUST (24900-24949)	CHARTER SCHOOL STIMULUS (20600-20649)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	CHILD PERFORMER'S PROTECTION (20400-20449)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	14	-	314	14	3,274	41
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	14	-	314	14	3,274	41
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	337	-	-	1,345	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	337	-	-	1,345	-	-
Departmental Operations:						
Personal Service	-	-	-	495	-	255
Non-Personal Service	-	-	-	3,683	4,783	55
General State Charges.....	-	-	-	-	-	156
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	337	-	-	5,523	4,783	466
Excess (Deficiency) of Receipts over Disbursements.....	(323)	-	314	(5,509)	(1,509)	(425)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	-	-	-	600
Transfers to Other Funds (*).....	-	-	(95,959)	-	(2,995)	(8)
Total Other Financing Sources (Uses).....	-	-	(95,959)	-	(2,995)	592
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(323)	-	(95,645)	(5,509)	(4,504)	167
Fund Balances (Deficits) at April 1.....	982	-	95,645	6,087	10,138	50
Fund Balances (Deficits) at March 31.....	\$ 659	\$ -	\$ -	\$ 578	\$ 5,634	\$ 217

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	CLEAN AIR (21450-21499)	COMBINED EXPENDABLE TRUST (20100-20299)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	COMBINED STUDENT LOAN (20950-20999)	COMMERCIAL GAMING REVENUE (23700-23749)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	68,318	37,570	5,312	2	20,169	80,475
Federal Receipts.....	-	-	-	-	(20,774)	-
Total Receipts.....	68,318	37,570	5,312	2	(605)	80,475
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	30	-	-	159,390
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	16,232
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	2,878	-	-	3,001
Public Safety	-	-	398	-	-	-
Public Welfare	-	-	(15)	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	3,291	-	-	178,623
Departmental Operations:						
Personal Service	68,577	20,040	218	-	-	2,516
Non-Personal Service	32,221	3,275	2,475	-	6,850	223
General State Charges.....	-	11,969	131	-	-	1,516
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	100,798	35,284	6,115	-	6,850	182,878
Excess (Deficiency) of Receipts over Disbursements.....	(32,480)	2,286	(803)	2	(7,455)	(102,403)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	1,291	-	-	96,172
Transfers to Other Funds (*).....	-	(2,067)	(254)	-	-	-
Total Other Financing Sources (Uses).....	-	(2,067)	1,037	-	-	96,172
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(32,480)	219	234	2	(7,455)	(6,231)
Fund Balances (Deficits) at April 1.....	204,315	(33,300)	70,029	467	18,433	15,999
Fund Balances (Deficits) at March 31.....	\$ 171,835	\$ (33,081)	\$ 70,263	\$ 469	\$ 10,978	\$ 9,768

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	CONSERVATION (21150-21199)	COURT FACILITIES INCENTIVE AID (22500-22549)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	DEDICATED MISCELLANEOUS STATE SPECIAL REVENUE (23800-23899)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	EMPLOYMENT TRAINING (22550-22599)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	90,746	456	-	-
Business Taxes.....	-	-	306,466	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	50,435	79	129,834	2,335	-	1
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	50,435	79	527,046	2,791	-	1
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	104,332	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	576,239	-	-	-
Total Local Assistance Grants.....	-	104,332	576,239	-	-	-
Departmental Operations:						
Personal Service	18,881	2,028	-	620	-	-
Non-Personal Service	5,066	4	-	83	-	-
General State Charges.....	11,314	872	-	381	-	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	35,261	107,236	576,239	1,084	-	-
Excess (Deficiency) of Receipts over Disbursements.....	15,174	(107,157)	(49,193)	1,707	-	1
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	2,482	116,191	63,820	-	-	-
Transfers to Other Funds (*).....	(1,835)	-	-	(15)	-	-
Total Other Financing Sources (Uses).....	647	116,191	63,820	(15)	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	15,821	9,034	14,627	1,692	-	1
Fund Balances (Deficits) at April 1.....	83,267	8,523	84,828	2,856	(5,351)	52
Fund Balances (Deficits) at March 31.....	\$ 99,088	\$ 17,557	\$ 99,455	\$ 4,548	\$ (5,351)	\$ 53

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	ENCON SPECIAL REVENUE (21050-21149)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HEALTH CARE TRANSFORMATION (24850-24899)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	728,193	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	79,553	36,967	17	-	5,104,383	139,342
Federal Receipts.....	1	-	-	-	-	-
Total Receipts.....	79,554	36,967	17	-	5,832,576	139,342
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	4,637,061	-
Other Public Health	-	-	-	-	950,748	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	-	5,587,809	-
Departmental Operations:						
Personal Service	51,869	12,181	-	-	11,967	-
Non-Personal Service	13,307	710	34	-	52,902	-
General State Charges.....	25,235	7,256	-	-	7,130	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	90,411	20,147	34	-	5,659,808	-
Excess (Deficiency) of Receipts over Disbursements.....	(10,857)	16,820	(17)	-	172,768	139,342
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	15,802	-	-	-	-	-
Transfers to Other Funds (*).....	(8,950)	(31,838)	-	-	(172,609)	(200,000)
Total Other Financing Sources (Uses).....	6,852	(31,838)	-	-	(172,609)	(200,000)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(4,005)	(15,018)	(17)	-	159	(60,658)
Fund Balances (Deficits) at April 1.....	5,693	26,556	543	24	15,705	315,297
Fund Balances (Deficits) at March 31.....	\$ 1,688	\$ 11,538	\$ 526	\$ 24	\$ 15,864	\$ 254,639

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	HOUSING DEVELOPMENT (22950-22999)	INDIGENT LEGAL SERVICES (23550-23599)	INTERACTIVE FANTASY SPORTS (24950-24999)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	LAKE GEORGE PARK TRUST (22750-22799)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	574	219,255	5,614	50,664	1,566	9,223
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	574	219,255	5,614	50,664	1,566	9,223
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	115,497	-	-	-	-
Public Welfare	155	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	155	115,497	-	-	-	-
Departmental Operations:						
Personal Service	-	2,820	63	26,967	714	611
Non-Personal Service	-	19,847	25	-	110	8,983
General State Charges.....	-	1,682	41	10,965	393	238
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	155	139,846	129	37,932	1,217	9,832
Excess (Deficiency) of Receipts over Disbursements.....	419	79,409	5,485	12,732	349	(609)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	1,190	-	-	-	-
Transfers to Other Funds (*).....	-	-	(5,000)	-	-	-
Total Other Financing Sources (Uses).....	-	1,190	(5,000)	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	419	80,599	485	12,732	349	(609)
Fund Balances (Deficits) at April 1.....	10,618	451,789	19,590	53,467	170	11,432
Fund Balances (Deficits) at March 31.....	\$ 11,037	\$ 532,388	\$ 20,075	\$ 66,199	\$ 519	\$ 10,823

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	LEGISLATIVE COMPUTER SERVICES (21550-21599)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	885,100	8,411	-	-
Business Taxes.....	-	-	1,529,556	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	1,311	8,812	13,893	211	20	2,754,462
Federal Receipts.....	-	-	-	-	-	7,181
Total Receipts.....	1,311	8,812	2,428,549	8,622	20	2,761,643
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	1,458	-	-	-	6,984
Environment and Recreation.....	-	-	-	-	-	4,679
General Government.....	-	-	-	3,440	-	40,931
Public Health:						
Medicaid.....	-	-	-	-	-	833,844
Other Public Health	-	-	-	-	-	17,535
Public Safety	-	-	-	-	-	63,890
Public Welfare	-	-	-	-	-	269
Support and Regulate Business	-	-	-	-	-	49,060
Transportation	-	-	2,583,319	-	-	-
Total Local Assistance Grants.....	-	1,458	2,583,319	3,440	-	1,017,192
Departmental Operations:						
Personal Service	-	1,788	2,478	1,809	-	884,202
Non-Personal Service	937	244	308	2,267	36	548,468
General State Charges.....	-	1,076	1,462	1,076	-	351,039
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	937	4,566	2,587,567	8,592	36	2,800,901
Excess (Deficiency) of Receipts over Disbursements.....	374	4,246	(159,018)	30	(16)	(39,258)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	29,552	6,550	-	430,762
Transfers to Other Funds (*).....	-	(1,359)	(1,399)	(740)	-	(166,929)
Total Other Financing Sources (Uses).....	-	(1,359)	28,153	5,810	-	263,833
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	374	2,887	(130,865)	5,840	(16)	224,575
Fund Balances (Deficits) at April 1.....	12,234	3,463	414,636	11,935	823	1,377,696
Fund Balances (Deficits) at March 31.....	\$ 12,608	\$ 6,350	\$ 283,771	\$ 17,775	\$ 807	\$ 1,602,271

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	MTA FINANCIAL ASSISTANCE (23650-23699)	MUSICAL INSTRUMENT REVOLVING (21750-21799)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	521	-	32,580	365	31,867	200
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	521	-	32,580	365	31,867	200
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	19,890	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	381,975	-	-	-	-	-
Total Local Assistance Grants.....	381,975	-	-	-	19,890	-
Departmental Operations:						
Personal Service	-	-	21,870	91	857	255
Non-Personal Service	-	-	2,142	4	553	15
General State Charges.....	-	-	9,946	55	514	154
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	381,975	-	33,958	150	21,814	424
Excess (Deficiency) of Receipts over Disbursements.....	(381,454)	-	(1,378)	215	10,053	(224)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	394,250	-	2,799	-	-	300
Transfers to Other Funds (*).....	-	-	-	-	-	(36)
Total Other Financing Sources (Uses).....	394,250	-	2,799	-	-	264
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	12,796	-	1,421	215	10,053	40
Fund Balances (Deficits) at April 1.....	114,802	-	(27,978)	382	102,535	4
Fund Balances (Deficits) at March 31.....	\$ 127,598	\$ -	\$ (26,557)	\$ 597	\$ 112,588	\$ 44

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	NOT-FOR-PROFIT SHORT-TERM REVOLVING LOAN (20650-20699)	SCHOOL TAX RELIEF (20550-20599)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	STATE LOTTERY (20900-20949)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 2,027,354	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	683	-	-	-	851	2,929,813
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	683	-	-	2,027,354	851	2,929,813
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	2,027,354	-	3,500,993
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	2,027,354	-	3,500,993
Departmental Operations:						
Personal Service	3,061	-	-	-	499	16,457
Non-Personal Service	283	-	-	-	-	7,030
General State Charges.....	-	-	-	-	277	9,859
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	3,344	-	-	2,027,354	776	3,534,339
Excess (Deficiency) of Receipts over Disbursements.....	(2,661)	-	-	-	75	(604,526)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	-	-	-	697,820
Transfers to Other Funds (*).....	-	-	-	-	-	(8,690)
Total Other Financing Sources (Uses).....	-	-	-	-	-	689,130
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(2,661)	-	-	-	75	84,604
Fund Balances (Deficits) at April 1.....	(14,330)	71	-	-	(3,308)	117,520
Fund Balances (Deficits) at March 31.....	\$ (16,991)	\$ 71	\$ -	\$ -	\$ (3,233)	\$ 202,124

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	STATE UNIVERSITY INCOME (22650-22699)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	TUITION REIMBURSEMENT (20450-20499)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	117,733	144,323	5,060,202	37,030	4,420	8,621
Federal Receipts.....	-	-	-	3	-	-
Total Receipts.....	117,733	144,323	5,060,202	37,033	4,420	8,621
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	2,848	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	2,848	-	-	-	-	-
Departmental Operations:						
Personal Service	4,125	-	4,022,690	16,295	1,856	1,091
Non-Personal Service	5,096	-	1,910,397	6,453	362	220
General State Charges.....	-	-	420,087	9,627	1,191	678
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	12,069	-	6,353,174	32,375	3,409	1,989
Excess (Deficiency) of Receipts over Disbursements.....	105,664	144,323	(1,292,972)	4,658	1,011	6,632
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	36,745	2,019,798	-	-	-
Transfers to Other Funds (*).....	(113,533)	(238,360)	(265,030)	(3,494)	(286)	(13,105)
Total Other Financing Sources (Uses).....	(113,533)	(201,615)	1,754,768	(3,494)	(286)	(13,105)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(7,869)	(57,292)	461,796	1,164	725	(6,473)
Fund Balances (Deficits) at April 1.....	20,810	264,799	1,488,855	1,630	7,896	31,952
Fund Balances (Deficits) at March 31.....	\$ 12,941	\$ 207,507	\$ 1,950,651	\$ 2,794	\$ 8,621	\$ 25,479

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	VOCATIONAL REHABILITATION (23050-23099)	WINTER SPORTS EDUCATION TRUST (21700-21749)	ELIMINATIONS (*)	STATE COMBINED TOTALS	
					2020-21	2019-20
RECEIPTS:						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ 2,027,354	\$ 2,183,688
Consumption/Use Taxes	-	-	-	-	1,712,906	1,915,707
Business Taxes.....	-	-	-	-	1,836,022	1,959,609
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	(58)	38	-	-	17,193,243	19,064,356
Federal Receipts.....	-	-	-	-	(13,589)	(12,890)
Total Receipts.....	(58)	38	-	-	22,755,936	25,110,470
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	4	-	-	5,697,895	6,040,755
Environment and Recreation.....	-	-	-	-	4,679	4,629
General Government.....	-	-	-	-	164,935	219,040
Public Health:						
Medicaid.....	-	-	-	-	5,470,905	5,674,673
Other Public Health	-	-	-	-	974,162	1,224,402
Public Safety	-	-	-	-	202,523	186,836
Public Welfare	-	-	-	-	409	4,078
Support and Regulate Business	-	-	-	-	49,060	56,487
Transportation	-	-	-	-	3,541,533	3,378,077
Total Local Assistance Grants.....	-	4	-	-	16,106,101	16,788,977
Departmental Operations:						
Personal Service	-	-	-	-	5,200,246	5,149,895
Non-Personal Service	-	1	-	-	2,639,452	2,928,017
General State Charges.....	-	-	-	-	886,320	969,492
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	-	5	-	-	24,832,119	25,836,381
Excess (Deficiency) of Receipts over Disbursements.....	(58)	33	-	-	(2,076,183)	(725,911)
OTHER FINANCING SOURCES (USES):						
Transfers from Other Funds.....	-	-	-	(230,737)	3,685,387	2,779,512
Transfers to Other Funds (*).....	-	(32)	-	230,737	(1,103,786)	(1,743,666)
Total Other Financing Sources (Uses).....	-	(32)	-	-	2,581,601	1,035,846
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(58)	1	-	-	505,418	309,935
Fund Balances (Deficits) at April 1.....	299	59	-	-	5,400,689	5,090,754
Fund Balances (Deficits) at March 31.....	\$ 241	\$ 60	\$ -	\$ -	\$ 5,906,107	\$ 5,400,689

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	FEDERAL EDUCATION (25200-25249)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899, 25951)
RECEIPTS:				
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-
Business Taxes.....	-	-	-	-
Miscellaneous Receipts.....	2	-	8,773	6,671
Federal Receipts.....	2,735,637	140,558	58,241,250	12,398,289
Total Receipts.....	2,735,639	140,558	58,250,023	12,404,960
DISBURSEMENTS:				
Local Assistance Grants (*):				
Education.....	1,962,018	-	794	3,463
Environment and Recreation.....	-	-	-	1,097
General Government.....	-	-	72,075	4,102,559
Public Health:				
Medicaid.....	-	-	44,308,235	-
Other Public Health.....	8,037	-	6,182,091	6,642
Public Safety.....	-	-	-	1,975,897
Public Welfare.....	-	127,000	3,646,085	25,926
Support and Regulate Business.....	-	-	-	7,225
Transportation.....	-	-	-	65,244
Total Local Assistance Grants.....	1,970,055	127,000	54,209,280	6,188,053
Departmental Operations:				
Personal Service.....	103,106	5,405	227,956	1,839,672
Non-Personal Service.....	564,586	9,207	626,044	136,263
General State Charges.....	49,376	3,104	104,371	1,040,085
Debt Service, Including Payments on Financing Agreements.....	-	-	-	102,221
Total Disbursements.....	2,687,123	144,716	55,167,651	9,306,294
Excess (Deficiency) of Receipts over Disbursements.....	48,516	(4,158)	3,082,372	3,098,666
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds.....	-	-	-	-
Transfers to Other Funds (*)......	(50,210)	(632)	(2,125,738)	(17,249)
Total Other Financing Sources (Uses).....	(50,210)	(632)	(2,125,738)	(17,249)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(1,694)	(4,790)	956,634	3,081,417
Fund Balances (Deficits) at April 1.....	(15,228)	(2,408)	1,113,222	(252,869)
Fund Balances (Deficits) at March 31.....	\$ (16,922)	\$ (7,198)	\$ 2,069,856	\$ 2,828,548

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950, 25952-25999)	FEDERAL COMBINED TOTALS	
				2020-21	2019-20
RECEIPTS:					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	91,877	74,712	-	182,035	215,001
Federal Receipts.....	2,027,741	592,546	1,442	76,137,463	62,909,758
Total Receipts.....	2,119,618	667,258	1,442	76,319,498	63,124,759
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	1,037,759	-	-	3,004,034	3,528,481
Environment and Recreation.....	-	-	-	1,097	1,161
General Government.....	51,671	-	-	4,226,305	53,990
Public Health:					
Medicaid.....	-	-	-	44,308,235	40,249,339
Other Public Health.....	493,222	-	-	6,689,992	6,302,349
Public Safety.....	-	-	-	1,975,897	1,393,329
Public Welfare.....	363,119	2,070	1,438	4,165,638	4,857,516
Support and Regulate Business.....	-	-	-	7,225	7,964
Transportation.....	-	-	-	65,244	58,976
Total Local Assistance Grants.....	1,945,771	2,070	1,438	64,443,667	56,453,105
Departmental Operations:					
Personal Service.....	34,049	227,201	-	2,437,389	636,828
Non-Personal Service.....	63,343	325,074	-	1,724,517	1,398,904
General State Charges.....	19,024	125,615	-	1,341,575	333,595
Debt Service, Including Payments on Financing Agreements.....	-	-	-	102,221	-
Total Disbursements.....	2,062,187	679,960	1,438	70,049,369	58,822,432
Excess (Deficiency) of Receipts over Disbursements.....	57,431	(12,702)	4	6,270,129	4,302,327
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	-	-	-	-
Transfers to Other Funds (*):.....	(10,817)	(16,176)	-	(2,220,822)	(2,142,611)
Total Other Financing Sources (Uses).....	(10,817)	(16,176)	-	(2,220,822)	(2,142,611)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	46,614	(28,878)	4	4,049,307	2,159,716
Fund Balances (Deficits) at April 1.....	(57,810)	126,974	(514)	911,367	(1,248,349)
Fund Balances (Deficits) at March 31.....	\$ (11,196)	\$ 98,096	\$ (510)	\$ 4,960,674	\$ 911,367

(*) See Accompanying Notes

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE AND FEDERAL
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-2
(continued)

	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	ELIMINATIONS (*)	COMBINED TOTALS	
				2020-21	2019-20
RECEIPTS:					
Personal Income Tax (*).....	\$ 2,027,354	\$ -	\$ -	\$ 2,027,354	\$ 2,183,688
Consumption/Use Taxes.....	1,712,906	-	-	1,712,906	1,915,707
Business Taxes.....	1,836,022	-	-	1,836,022	1,959,609
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	17,193,243	182,035	-	17,375,278	19,279,357
Federal Receipts.....	(13,589)	76,137,463	-	76,123,874	62,896,868
Total Receipts.....	22,755,936	76,319,498	-	99,075,434	88,235,229
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	5,697,895	3,004,034	-	8,701,929	9,569,236
Environment and Recreation.....	4,679	1,097	-	5,776	5,790
General Government.....	164,935	4,226,305	-	4,391,240	273,030
Public Health:					
Medicaid.....	5,470,905	44,308,235	-	49,779,140	45,924,012
Other Public Health.....	974,162	6,689,992	-	7,664,154	7,526,751
Public Safety.....	202,523	1,975,897	-	2,178,420	1,580,165
Public Welfare.....	409	4,165,638	-	4,166,047	4,861,594
Support and Regulate Business.....	49,060	7,225	-	56,285	64,451
Transportation.....	3,541,533	65,244	-	3,606,777	3,437,053
Total Local Assistance Grants.....	16,106,101	64,443,667	-	80,549,768	73,242,082
Departmental Operations:					
Personal Service.....	5,200,246	2,437,389	-	7,637,635	5,786,723
Non-Personal Service.....	2,639,452	1,724,517	-	4,363,969	4,326,921
General State Charges.....	886,320	1,341,575	-	2,227,895	1,303,087
Debt Service, Including Payments on					
Financing Agreements.....	-	102,221	-	102,221	-
Capital Projects.....	-	-	-	-	-
Total Disbursements.....	24,832,119	70,049,369	-	94,881,488	84,658,813
Excess (Deficiency) of Receipts over Disbursements.....	(2,076,183)	6,270,129	-	4,193,946	3,576,416
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	3,685,387	-	(596,962)	3,088,425	2,269,197
Transfers to Other Funds (*).....	(1,103,786)	(2,220,822)	596,962	(2,727,646)	(3,375,962)
Total Other Financing Sources (Uses).....	2,581,601	(2,220,822)	-	360,779	(1,106,765)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	505,418	4,049,307	-	4,554,725	2,469,651
Fund Balances (Deficits) at April 1.....	5,400,689	911,367	-	6,312,056	3,842,405
Fund Balances (Deficits) at March 31.....	\$ 5,906,107	\$ 4,960,674	\$ -	\$ 10,866,781	\$ 6,312,056

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-3

	CLEAN WATER/ CLEAN AIR (40400-40449)	DEBT REDUCTION RESERVE (40000-40049)	DEPARTMENT OF HEALTH INCOME (40300-40349)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)
RECEIPTS:					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 27,483,335	\$ -
Consumption/Use Taxes (*).....	-	-	-	3,317,220	-
Other Taxes.....	829,756	-	-	1,614	-
Miscellaneous Receipts.....	-	-	127,654	-	4,344
Federal Receipts.....	-	-	-	73,810	-
Total Receipts.....	829,756	-	127,654	30,875,979	4,344
DISBURSEMENTS:					
Departmental Operations:					
Non-Personal Service.....	-	-	2,680	57,762	-
Debt Service, Including Payments on Financing Agreements.....	-	-	25,468	13,078,635	4,376
Total Disbursements.....	-	-	28,148	13,136,397	4,376
Excess (Deficiency) of Receipts over Disbursements.....	829,756	-	99,506	17,739,582	(32)
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	-	24,100	2,116,327	32
Transfers to Other Funds (*).....	(829,756)	-	(115,583)	(19,855,909)	-
Total Other Financing Sources (Uses).....	(829,756)	-	(91,483)	(17,739,582)	32
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	8,023	-	-
Fund Balances at April 1	-	-	32,303	-	-
Fund Balances at March 31.....	\$ -	\$ -	\$ 40,326	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT A-3
(continued)

	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	ELIMINATIONS	COMBINED TOTALS	
				2020-21	2019-20
RECEIPTS:					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 27,483,335	\$ 26,829,701
Consumption/Use Taxes (*).....	3,317,220	-	-	6,634,440	7,436,516
Other Taxes.....	-	-	-	831,370	1,005,663
Miscellaneous Receipts.....	9	268,845	-	400,852	476,948
Federal Receipts.....	-	-	-	73,810	73,769
Total Receipts.....	3,317,229	268,845	-	35,423,807	35,822,597
DISBURSEMENTS:					
Departmental Operations:					
Non-Personal Service.....	-	967	-	61,409	36,272
Debt Service, Including Payments on Financing Agreements.....	79,443	8,334	-	13,196,256	4,916,091
Total Disbursements.....	79,443	9,301	-	13,257,665	4,952,363
Excess (Deficiency) of Receipts over Disbursements.....	3,237,786	259,544	-	22,166,142	30,870,234
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds.....	-	1,428,766	(345,300)	3,223,925	3,742,214
Transfers to Other Funds (*).....	(3,237,786)	(1,694,714)	345,300	(25,388,448)	(34,613,840)
Total Other Financing Sources (Uses).....	(3,237,786)	(265,948)	-	(22,164,523)	(30,871,626)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	(6,404)	-	1,619	(1,392)
Fund Balances at April 1	-	31,085	-	63,388	64,780
Fund Balances at March 31.....	\$ -	\$ 24,681	\$ -	\$ 65,007	\$ 63,388

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4

	STATE CAPITAL PROJECTS (30000-30049)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	CLEAN WATER/ CLEAN AIR IMPLEMENTATION (30500-30549)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	2,772,796	-	25	-	-	353,181
Federal Receipts.....	24	-	-	-	-	-
Total Receipts.....	2,772,820	-	25	-	-	353,181
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	122,133	-	-	-	-	-
Environment and Recreation.....	97,929	-	-	-	-	-
General Government.....	344,344	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	465,721	-	-	-	-	-
Public Safety	47,523	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	527,822	-	-	-	-	-
Transportation	1,911,713	-	-	-	-	-
Total Local Assistance Grants.....	3,517,185	-	-	-	-	-
Capital Projects.....	2,774,752	-	-	-	-	309,175
Total Disbursements.....	6,291,937	-	-	-	-	309,175
Excess (Deficiency) of Receipts over Disbursements.....	(3,519,117)	-	25	-	-	44,006
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	3,994	-	-
Transfers from Other Funds.....	3,524,696	-	-	-	-	102,852
Transfers to Other Funds (*).....	(5,579)	-	-	(3,993)	-	-
Total Other Financing Sources (Uses).....	3,519,117	-	-	1	-	102,852
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	25	1	-	146,858
Fund Balances (Deficits) at April 1.....	-	2,778	17	1,428	-	(315,985)
Fund Balances (Deficits) at March 31.....	\$ -	\$ 2,778	\$ 42	\$ 1,429	\$ -	\$ (169,127)

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	DEDICATED INFRASTRUCTURE INVESTMENT FUND (33050-33099)	DEPARTMENT OF TRANSPORTATION ENGINEERING SERVICES (31950-31999)	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	ENVIRONMENTAL PROTECTION (30450-30499)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)
RECEIPTS:							
Consumption/Use Taxes	\$ 520,557	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	535,895	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	119,100	-
Miscellaneous Receipts.....	1,295,701	-	-	19,354	-	78,060	-
Federal Receipts.....	4,235	-	-	-	-	-	-
Total Receipts.....	2,356,388	-	-	19,354	-	197,160	-
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	90,708	-
General Government.....	-	267,680	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-	-
Public Welfare	-	8,291	-	-	-	-	-
Support and Regulate Business	-	157,166	-	-	-	-	-
Transportation	8,505	-	-	-	-	-	-
Total Local Assistance Grants.....	8,505	433,137	-	-	-	90,708	-
Capital Projects.....	2,020,232	69,620	2	12,409	-	123,656	-
Total Disbursements.....	2,028,737	502,757	2	12,409	-	214,364	-
Excess (Deficiency) of Receipts over Disbursements.....	327,651	(502,757)	(2)	6,945	-	(17,204)	-
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	6,946
Transfers from Other Funds.....	1,118,393	512,967	-	-	-	28,000	-
Transfers to Other Funds (*).....	(1,427,430)	-	-	-	-	-	(6,925)
Total Other Financing Sources (Uses).....	(309,037)	512,967	-	-	-	28,000	21
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	18,614	10,210	(2)	6,945	-	10,796	21
Fund Balances (Deficits) at April 1.....	(33,475)	86,513	(11,969)	(21,235)	164	72,684	5,551
Fund Balances (Deficits) at March 31.....	\$ (14,861)	\$ 96,723	\$ (11,971)	\$ (14,290)	\$ 164	\$ 83,480	\$ 5,572

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	4	102,135	-	431,511	222,267
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	-	4	102,135	-	431,511	222,267
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	3,794	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	48,167
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	596,308	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	3,794	-	596,308	48,167
Capital Projects.....	-	-	80,966	-	-	249,983
Total Disbursements.....	-	-	84,760	-	596,308	298,150
Excess (Deficiency) of Receipts over Disbursements.....	-	4	17,375	-	(164,797)	(75,883)
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	11,038	-	3,609	4,965
Transfers to Other Funds (*).....	-	-	(19,289)	-	-	-
Total Other Financing Sources (Uses).....	-	-	(8,251)	-	3,609	4,965
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	4	9,124	-	(161,188)	(70,918)
Fund Balances (Deficits) at April 1.....	1,419	1,079	(80,472)	(12,942)	(216,259)	(355,825)
Fund Balances (Deficits) at March 31.....	\$ 1,419	\$ 1,083	\$ (71,348)	\$ (12,942)	\$ (377,447)	\$ (426,743)

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	NATURAL RESOURCE DAMAGES (31900-31949)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	NEW YORK STATE STORM RECOVERY (33000-33049)	OUTDOOR RECREATION DEVELOPMENT BOND (30750-30799)	PARK AND RECREATION LAND ACQUISITION BOND (30610-30619)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	21,401	2,499	45	3,939	-	-
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	21,401	2,499	45	3,939	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	50	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	880	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	50	-	880	-	-
Capital Projects.....	70,778	1,468	-	7,625	-	-
Total Disbursements.....	70,778	1,518	-	8,505	-	-
Excess (Deficiency) of Receipts over Disbursements.....	(49,377)	981	45	(4,566)	-	-
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	71,590	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	71,590	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	22,213	981	45	(4,566)	-	-
Fund Balances (Deficits) at April 1.....	114,774	17,114	14,059	(49,662)	-	-
Fund Balances (Deficits) at March 31.....	\$ 136,987	\$ 18,095	\$ 14,104	\$ (54,228)	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	PASSENGER FACILITY CHARGE (30400-30449)	PURE WATERS BOND (30620-30629)	RAIL PRESERVATION AND DEVELOPMENT BOND (30900-30949)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	SMART SCHOOLS BOND (30710-30719)	STATE HOUSING BOND (30700-30709)
RECEIPTS:						
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	-	-	-	-	-
Federal Receipts.....	-	-	-	-	-	-
Total Receipts.....	-	-	-	-	-	-
DISBURSEMENTS:						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-
Transportation	-	-	-	-	-	-
Total Local Assistance Grants.....	-	-	-	-	-	-
Capital Projects.....	-	-	-	-	-	-
Total Disbursements.....	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements.....	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):						
Bond and Note Proceeds, net.....	-	-	-	6,551	185,151	-
Transfers from Other Funds.....	-	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	(6,670)	(185,151)	-
Total Other Financing Sources (Uses).....	-	-	-	(119)	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	-	-	-	(119)	-	-
Fund Balances (Deficits) at April 1.....	15	668	-	17,329	-	-
Fund Balances (Deficits) at March 31.....	\$ 15	\$ 668	\$ -	\$ 17,210	\$ -	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	STATE PARK INFRASTRUCTURE (30350-30399)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	SUBURBAN TRANSPORTATION (31650-31699)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ELIMINATIONS (*)
RECEIPTS:							
Consumption/Use Taxes	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	176,866	(345)	448	2	-	-	-
Federal Receipts.....	-	-	-	-	-	-	-
Total Receipts.....	176,866	(345)	448	2	-	-	-
DISBURSEMENTS:							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-	-
General Government.....	3,195	-	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health	-	-	-	-	-	-	-
Public Safety	-	-	-	-	-	-	-
Public Welfare	-	-	-	-	-	-	-
Support and Regulate Business	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-
Total Local Assistance Grants.....	3,195	-	-	-	-	-	-
Capital Projects.....	165,699	57,610	48,700	-	-	-	-
Total Disbursements.....	168,894	57,610	48,700	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements.....	7,972	(57,955)	(48,252)	2	-	-	-
OTHER FINANCING SOURCES (USES):							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	-	641	17,097	-	-	-	(208,318)
Transfers to Other Funds (*).....	-	-	-	-	-	-	208,318
Total Other Financing Sources (Uses).....	-	641	17,097	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	7,972	(57,314)	(31,155)	2	-	-	-
Fund Balances (Deficits) at April 1.....	(43,951)	176,989	148,838	538	3,328	4,255	-
Fund Balances (Deficits) at March 31.....	\$ (35,979)	\$ 119,675	\$ 117,683	\$ 540	\$ 3,328	\$ 4,255	\$ -

(*) See Accompanying Notes

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE AND FEDERAL
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT A-4
 (continued)

	TOTAL STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS (31350-31449)	ELIMINATIONS (*)	COMBINED TOTALS	
				2020-21	2019-20
RECEIPTS:					
Consumption/Use Taxes	\$ 520,557	\$ -	\$ -	\$ 520,557	\$ 631,986
Business Taxes.....	535,895	-	-	535,895	666,364
Other Taxes.....	119,100	-	-	119,100	119,100
Miscellaneous Receipts.....	5,479,889	949	-	5,480,838	6,550,808
Federal Receipts.....	4,259	1,950,070	-	1,954,329	2,109,103
Total Receipts.....	6,659,700	1,951,019	-	8,610,719	10,077,361
DISBURSEMENTS:					
Local Assistance Grants (*):					
Education.....	122,133	-	-	122,133	175,690
Environment and Recreation.....	192,481	6,666	-	199,147	417,060
General Government.....	615,219	-	-	615,219	850,047
Public Health:					
Medicaid.....	-	-	-	-	-
Other Public Health	513,888	3,925	-	517,813	555,414
Public Safety	48,403	4,400	-	52,803	96,784
Public Welfare	604,599	-	-	604,599	413,057
Support and Regulate Business	684,988	-	-	684,988	879,044
Transportation	1,920,218	523,861	-	2,444,079	1,625,915
Total Local Assistance Grants.....	4,701,929	538,852	-	5,240,781	5,013,011
Capital Projects.....	5,992,675	1,097,354	-	7,090,029	6,985,439
Total Disbursements.....	10,694,604	1,636,206	-	12,330,810	11,998,450
Excess (Deficiency) of Receipts over Disbursements.....	(4,034,904)	314,813	-	(3,720,091)	(1,921,089)
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net.....	202,642	-	-	202,642	-
Transfers from Other Funds.....	5,187,530	-	(332,432)	4,855,098	3,546,520
Transfers to Other Funds (*).....	(1,446,719)	(332,432)	332,432	(1,446,719)	(1,522,454)
Total Other Financing Sources (Uses).....	3,943,453	(332,432)	-	3,611,021	2,024,066
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(91,451)	(17,619)	-	(109,070)	102,977
Fund Balances (Deficits) at April 1.....	(472,235)	(562,692)	-	(1,034,927)	(1,137,904)
Fund Balances (Deficits) at March 31.....	\$ (563,686)	\$ (580,311)	\$ -	\$ (1,143,997)	\$ (1,034,927)

(*) See Accompanying Notes

STATE OF NEW YORK
ENTERPRISE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT B-1

	AGENCY ENTERPRISE (50300-50399)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	SHELTERED AND PATIENT WORKSHOP (50400-50499)	STATE EXPOSITION SPECIAL (50050-50099)	UNEMPLOYMENT INSURANCE (50650-50699)	YOUTH COMMISSARY (50000-50049)	COMBINED TOTALS	
								2020-21	2019-20
RECEIPTS:									
Miscellaneous Receipts.....	\$ 21,173	\$ 44,316	\$ 846	\$ 485	\$ 757	\$ 57	\$ 25	\$ 67,659	\$ 80,756
Federal Receipts.....	-	-	-	-	-	57,050,013	-	57,050,013	16,826
Unemployment Taxes.....	-	-	-	-	-	15,133,805	-	15,133,805	2,284,671
Total Receipts.....	21,173	44,316	846	485	757	72,183,875	25	72,251,477	2,382,253
DISBURSEMENTS:									
Departmental Operations:									
Personal Service.....	10,408	-	32	-	2,098	-	-	12,538	17,144
Non-Personal Service.....	4,636	44,362	920	270	2,462	-	12	52,662	57,502
General State Charges.....	1,424	-	20	-	203	-	-	1,647	1,645
Unemployment Benefits.....	-	-	-	-	-	72,071,714	-	72,071,714	2,303,371
Total Disbursements.....	16,468	44,362	972	270	4,763	72,071,714	12	72,138,561	2,379,662
Excess (Deficiency) of Receipts over Disbursements.....	4,705	(46)	(126)	215	(4,006)	112,161	13	112,916	2,591
OTHER FINANCING SOURCES (USES):									
Transfers from Other Funds.....	-	-	-	-	3,000	-	-	3,000	500
Transfers to Other Funds.....	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses).....	-	-	-	-	3,000	-	-	3,000	500
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....									
	4,705	(46)	(126)	215	(1,006)	112,161	13	115,916	3,091
Fund Balances at April 1.....	7,649	3,757	4,947	4,142	1,586	7,492	104	29,677	26,586
Fund Balances at March 31.....	\$ 12,354	\$ 3,711	\$ 4,821	\$ 4,357	\$ 580	\$ 119,653	\$ 117	\$ 145,593	\$ 29,677

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

EXHIBIT B-2

	AGENCY INTERNAL SERVICE (55050-55099)	AUDIT AND CONTROL REVOLVING (55250-55299)	CENTRALIZED SERVICES (55000-55049)	CORRECTIONAL INDUSTRIES REVOLVING (55350-55399)	HEALTH INSURANCE REVOLVING (55300-55349)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	MENTAL HYGIENE REVOLVING (55100-55149)	YOUTH VOCATIONAL EDUCATION (55150-55199)	ELIMINATIONS	COMBINED TOTALS	
										2020-21	2019-20
RECEIPTS:											
Miscellaneous Receipts.....	\$ 99,958	\$ 35,466	\$ 347,480	\$ 30,304	\$ 8,000	\$ 1,125	\$ 478	\$ 6	\$ -	\$ 522,817	\$ 589,252
Total Receipts.....	99,958	35,466	347,480	30,304	8,000	1,125	478	6	-	522,817	589,252
DISBURSEMENTS:											
Departmental Operations:											
Personal Service.....	32,612	12,607	62,119	17,961	8,676	521	195	-	-	134,691	126,191
Non-Personal Service.....	185,416	20,643	276,692	35,439	1,986	66	5	25	-	520,272	489,238
General State Charges.....	20,408	4,679	21,580	7,612	5,490	311	112	-	-	60,192	56,268
Total Disbursements.....	238,436	37,929	360,391	61,012	16,152	898	312	25	-	715,155	671,697
Excess (Deficiency) of Receipts over Disbursements.....	(138,478)	(2,463)	(12,911)	(30,708)	(8,152)	227	166	(19)	-	(192,338)	(82,445)
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds.....	56,558	-	53,234	20,773	12,000	-	-	-	(8,769)	133,796	109,639
Transfers to Other Funds.....	(7,625)	(486)	(5,300)	(101)	(2,703)	(10)	-	-	8,769	(7,456)	(22,045)
Total Other Financing Sources (Uses).....	48,933	(486)	47,934	20,672	9,297	(10)	-	-	-	126,340	87,594
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(89,545)	(2,949)	35,023	(10,036)	1,145	217	166	(19)	-	(65,998)	5,149
Fund Balances (Deficits) at April 1.....	(111,988)	(37,537)	(111,872)	(22,542)	(14,264)	598	(2)	84	-	(297,523)	(302,672)
Fund Balances (Deficits) at March 31.....	\$ (201,533)	\$ (40,486)	\$ (76,849)	\$ (32,578)	\$ (13,119)	\$ 815	\$ 164	\$ 65	\$ -	\$ (363,521)	\$ (297,523)

STATE OF NEW YORK
PENSION TRUST FUND
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCE
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT C-1

	COMMON RETIREMENT ADMINISTRATION (65000-65049)	
	2020-21	2019-20
RECEIPTS:		
Miscellaneous Receipts.....	\$ 151,021	\$ 145,216
Total Receipts.....	151,021	145,216
DISBURSEMENTS:		
Departmental Operations:		
Personal Service.....	77,623	70,425
Non-Personal Service.....	32,431	34,420
General State Charges.....	39,832	38,545
Total Disbursements.....	149,886	143,390
Excess (Deficiency) of Receipts over Disbursements.....	1,135	1,826
Fund Balance (Deficit) at April 1.....	(1,145)	(2,971)
Fund Balance (Deficit) at March 31.....	\$ (10)	\$ (1,145)

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
COMBINING STATEMENT OF CASH RECEIPTS,
DISBURSEMENTS AND CHANGES IN FUND BALANCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

EXHIBIT C-2

	AGRICULTURE PRODUCERS' SECURITY (66000-66049)	MILK PRODUCERS' SECURITY (66050-66099)	COMBINED TOTALS	
			2020-21	2019-20
RECEIPTS:				
Miscellaneous Receipts.....	\$ 225	\$ 360	\$ 585	\$ 1,487
Total Receipts.....	225	360	585	1,487
DISBURSEMENTS:				
Departmental Operations:				
Personal Service.....	81	157	238	220
Non-Personal Service.....	3	5	8	9
General State Charges.....	47	95	142	141
Total Disbursements.....	131	257	388	370
Excess (Deficiency) of Receipts over Disbursements.....	94	103	197	1,117
Fund Balances at April 1.....	2,974	11,319	14,293	13,176
Fund Balances at March 31.....	\$ 3,068	\$ 11,422	\$ 14,490	\$ 14,293

STATE OF NEW YORK
 AGENCY FUNDS (*)
 COMBINING STATEMENT OF CASH RECEIPTS,
 DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

EXHIBIT C-3

	Cash Balance April 1, 2020	RECEIPTS			DISBURSEMENTS			Cash Balance March 31, 2021
		Miscellaneous	Federal (**)	Transfers From Other Funds	Department Operations	Refunds	Dedicated Payments	
Child Performer's Holding (60150-60199).....	\$ 531	\$ 21	\$ -	\$ -	\$ -	\$ (4)	\$ -	\$ 548
CUNY Senior College Operating (60850-60899).....	32,762	2,428,184	-	-	(2,450,374)	-	-	10,572
Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow (60800-60849)...	30,902	75,801	-	-	-	-	(69,695)	37,008
Employee Payroll Withholding (60300-60399).....	(1,010)	4,723,122	-	-	-	-	(4,657,911)	64,201
Employees Dental Insurance (60400-60449).....	18,542	88,820	-	-	-	-	(74,504)	32,858
Employees Health Insurance (60200-60249).....	970,957	11,671,645	51	-	(2,518)	-	(11,050,211)	1,589,924
Health Insurance Reserve Receipts (60550-60599).....	145	(145)	-	-	-	-	-	-
Lottery Prize (60500-60549)	497,675	1,140,357	-	-	-	-	(1,101,461)	536,571
Management Confidential Group Insurance (60450-60499).....	378	9,495	-	-	-	-	(9,280)	593
Medicaid Management Information System (MMIS) Escrow (60900-60949)...	1,456,406	76,724,407	-	122,332	-	-	(75,588,703)	2,714,442
Miscellaneous New York State Agency (60600-60799).....	925,769	9,465,339	-	-	-	-	(9,389,113)	1,001,995
School Capital Facilities Financing Reserve (60050-60149).....	24,755	(3,429)	-	456	-	-	(3,339)	18,443
Social Security Contribution (60250-60299).....	15,061	1,285,730	-	-	-	-	(1,285,480)	15,311
Special Education (60950-60999).....	-	-	-	-	-	-	-	-
SSI SSP Payment (62000-62049).....	-	-	-	-	-	-	-	-
State University Federal Direct Lending Program (61100-61999).....	(712)	990,257	-	-	-	-	(990,232)	(687)
State University of New York Revenue Collection (61000-61099).....	55,132	31,849	-	-	-	-	-	86,981
Total Agency Funds.....	\$ 4,027,293	\$ 108,631,453	\$ 51	\$ 122,788	\$ (2,452,892)	\$ (4)	\$ (104,219,929)	\$ 6,108,760

(*) Agency funds are used to account for funds held by the State in a purely custodial capacity or to hold monies where the disposition of the receipt is not known at the time the revenue is received. Cash is held until the proper disposition is determined (e.g., transferred to a State fund) or until payments are made to individuals, private organizations or other governmental units (e.g., payment of withholding taxes).

Agency funds are also used for temporary investment purposes where a State fund payment has been issued but the checks have not cleared the bank account from which the checks were issued (e.g., Medicaid, EPIC).

(**) Federal Receipts represent participating employers' share of the Medicare Part D subsidy. Participating employers include local governments, public authorities, public benefit corporations and municipal corporations.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4

<u>GENERAL FUND</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2020</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2021</u>
Adirondack Park Agency:				
Revenue Collection.....	\$ 2	\$ 13,567	\$ 13,417	\$ 152
Assembly:				
Revenue Collection.....	-	228	228	-
Department of Agriculture and Markets:				
Revenue Collection.....	33,838	6,707,924	6,636,497	105,265
Department of Corrections and Community Supervision:				
Revenue Collection.....	649,069	4,357,499	4,708,368	298,200
Department of Financial Services:				
Revenue Collection.....	478,354	1,375,812,916	1,375,879,877	411,393
Department of Health:				
Medicaid Recoveries Account.....	27,674,052	282,662,189	310,112,570	223,671
Revenue Collection.....	95,028,915	3,283,406,498	3,372,896,167	5,539,246
Department of Motor Vehicles:				
Revenue Collection.....	14,362,068	1,928,316,198	1,909,372,601	33,305,665
Department of Taxation and Finance:				
Revenue Collection.....	-	2,218	2,218	-
Stock Transfer Incentive.....	1,095,343	190,398	120,052	1,165,689
Higher Education Services Corporation:				
Tuition Assistance Program Recoveries.....	4,758,500	3,311,175	22,142	8,047,533
NYS Gaming Commission:				
Revenue Collection.....	762,480	37,747,643	38,238,501	271,622
NYS Joint Commission on Public Ethics:				
Revenue Collection.....	16,225	1,267,520	1,244,300	39,445
Office for People with Developmental Disabilities:				
Electronic Benefits Account.....	2,446,398	11,946,470	4,030,277	10,362,591
Facility Revenue Collection.....	706,660	308,713	506,787	508,586
Revenue Collection.....	4,424,532	1,665,156,147	1,660,293,971	9,286,708
Office of Addiction Services and Supports:				
Revenue Collection.....	4,400	626,246	584,640	46,006
Office of Children and Family Services:				
Revenue Collection.....	205	176	316	65
Office of General Services:				
Escrow Account.....	12,252,226	17,340,892	16,342,338	13,250,780
Executive Mansion Expenses.....	35,736	73,239	101,203	7,772
Office of Mental Health:				
Medication Grant Program.....	8,029	1,784,293	1,791,377	945
Revenue Collection.....	958,165	2,612,193	1,947,808	1,622,550

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
GENERAL FUND (continued)				
Office of the State Comptroller:				
Revenue Collection.....	375,236,596	104,735,068,088	104,414,115,309	696,189,375
Tobacco Settlement.....	2,879,863	9,335	-	2,889,198
Total General Fund.....	543,811,656	113,358,721,765	113,118,960,964	783,572,457
SPECIAL REVENUE FUNDS				
Board of Elections:				
Revenue Collection.....	18,806	645,059	506,890	156,975
Department of Agriculture and Markets:				
Marketing and Publicity Account.....	132,901	2,441,422	2,423,305	151,018
Department of Civil Service:				
Affirmative Action Advisory Council.....	5,142	-	-	5,142
Exam Application Fees.....	15,495	238,440	253,075	860
Department of Environmental Conservation:				
Revenue Collection.....	4,823,094	197,640,177	196,439,920	6,023,351
Department of Financial Services:				
Excess Liability Pool (**).....	3,028,822	102,156,287	102,159,989	3,025,120
Liquidation Bureau - Property and Liability Insurance Security.....	329,901,685	6,859,414	65,348,347	271,412,752
Liquidation Bureau - Public Motor Vehicle Liability Security.....	131,730,216	15,089,980	23,165,804	123,654,392
Medical Indemnity Fund.....	245,720,049	1,613,526	70,876,811	176,456,764
Rate Stabilization Pool (**).....	79,993,632	14,987,732	20,574,949	74,406,415
State Transmitter of Money Insurance.....	-	432,170	54,655	377,515
Workers' Compensation Security Account.....	188,766,811	13,726,951	70,211,198	132,282,564
Workers' Compensation Security Fund Payment Account.....	100,012	12,786,430	12,786,441	100,001
Department of Health:				
Clinical Lab Fee.....	130,534	13,876,428	13,996,274	10,688
Elderly Pharmaceutical Insurance Coverage (EPIC) Program (**).....	915,291	162,563,493	162,787,009	691,775
Health Facilities Assessments (**).....	24,872,283	859,571,862	883,864,137	580,008
Hospital Fees.....	68,936	2,864,604	2,849,549	83,991
Medicaid Disproportionate Share (Indigent Care Pools) (**).....	669	761,953,886	761,898,899	55,656
Public Goods Pool (**).....	350,947,309	4,994,137,911	5,051,208,350	293,876,870
Statewide Planning and Research Cooperative System (SPARCS).....	99,257	156,003	222,000	33,260
Women, Infants and Child (WIC) Program.....	10,940	309,112,628	309,079,490	44,078
Department of Labor:				
Revenue Collection.....	1,388,549	17,991,769	18,221,531	1,158,787

(**) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
<u>SPECIAL REVENUE FUNDS (continued)</u>				
Department of Law:				
Shared Forfeiture.....	1,628,455	131	1,038,940	589,646
Department of Public Service:				
Revenue Collection.....	108,495	100,621,925	100,711,000	19,420
Department of State:				
Revenue Collection.....	1,407,899	89,343,687	89,423,298	1,328,288
Department of Taxation and Finance:				
Revenue Collection.....	1,949,388	25,570,457	25,478,000	2,041,845
World Trade Center Memorial Foundation.....	107,772	54,417	58,536	103,653
Division of Homeland Security and Emergency Services:				
Fire Academy Account.....	11,920	386,318	373,346	24,892
Division of Housing and Community Renewal:				
Maximum Base Rent.....	10,935	11,460	-	22,395
Revenue Collection.....	215,789	10,580,591	10,541,843	254,537
Division of Military and Naval Affairs:				
Revenue Collection.....	9,317	86,950	81,698	14,569
Lake George Park Commission:				
Revenue Collection.....	917,465	2,585,803	2,690,000	813,268
NYS Gaming Commission:				
Revenue Collection.....	1,415,234	712,007,434	705,483,675	7,938,993
Office for People with Developmental Disabilities:				
Nonexpendable Trust.....	25,012	9	-	25,021
Office of Children and Family Services:				
Medicaid Reimbursement Account.....	78,055	212,488	268,894	21,649
Revenue Collection.....	146,344	1,856,425	1,832,306	170,463
Office of Parks, Recreation and Historic Preservation:				
Revenue Collection.....	1,366,566	92,027,416	92,024,950	1,369,032
Office of the State Comptroller:				
Tax Collection.....	13,192,322	1,170,291,285	1,161,151,289	22,332,318
State Education Department:				
Archives Partnership Trust Endowment.....	4,380,274	1,659,779	425,223	5,614,830
Revenue Collection.....	277,734	106,767,932	106,694,000	351,666
Revenue Collection - Batavia School for the Blind.....	1,607	579,889	469,097	112,399
Revenue Collection - Rome School for the Deaf.....	3,933	45,609	32,588	16,954
State University:				
Revenue Collection.....	12,068,769	5,941,157,746	5,929,714,858	23,511,657
Student Loan Repayment.....	651,233	171,831,006	176,969,418	(4,487,179)

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
<u>SPECIAL REVENUE FUNDS (continued)</u>				
Unified Court System:				
Revenue Collection	11,246,635	656,548,318	640,993,837	26,801,116
Workers' Compensation Board:				
Revenue Collection.....	2,784,676,521	1,144,467,044	1,175,779,290	2,753,364,275
Total Special Revenue Funds.....	<u>4,198,568,107</u>	<u>17,719,540,291</u>	<u>17,991,164,709</u>	<u>3,926,943,689</u>
<u>DEBT SERVICE FUNDS</u>				
Department of Health:				
Revenue Collection.....	3,181,176	137,869,900	137,959,286	3,091,790
Department of Taxation and Finance:				
DASNY Community College Tuition and Instructional Income.....	4,924,253	9,537,254	10,009,090	4,452,417
DASNY Mental Hygiene Facilities Improvements.....	-	260,219	-	260,219
SUNY Construction Fund Debt Service.....	31,768	35,312,690	35,312,652	31,806
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation.....	146,872,825	548,915,897	603,407,084	92,381,638
Office of Addiction Services and Supports:				
Revenue Collection.....	798,562	16,188,237	16,558,713	428,086
Office of Mental Health:				
Revenue Collection.....	37,687,912	1,408,449,358	1,424,498,189	21,639,081
Office of the State Comptroller:				
Tax Collection.....	1,477,535	1,115,567,286	1,115,812,646	1,232,175
Total Debt Service Funds.....	<u>194,974,031</u>	<u>3,272,100,841</u>	<u>3,343,557,660</u>	<u>123,517,212</u>
<u>CAPITAL PROJECTS FUNDS</u>				
Department of Taxation and Finance:				
DASNY State Advances Repayment Account.....	-	138,752,659	138,752,659	-
SUNY Construction Fund Capital Projects.....	31,516,792	1,186,815	991,297	31,712,310
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation.....	74,632,561	380,479	75,013,040	-
Department of Transportation:				
Revenue Collection.....	355,760	42,671,799	39,894,175	3,133,384
Division of State Police:				
Revenue Collection.....	48,848	3,080,983	3,080,249	49,582
Office of the State Comptroller:				
Hazardous Waste Remediation Collection.....	40,333	1,234,192	1,273,000	1,525
Tax Collection - Highways and Bridges.....	2,704,238	1,581,698,779	1,580,880,990	3,522,027
Total Capital Projects Funds.....	<u>109,298,532</u>	<u>1,769,005,706</u>	<u>1,839,885,410</u>	<u>38,418,828</u>

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
<u>ENTERPRISE FUNDS</u>				
Department of Agriculture and Markets: New York State Fair Revenue Collection.....	112,941	655,212	755,035	13,118
Department of Labor: Unemployment Insurance Fund Clearing Account.....	2,214,541	2,680,048,384	2,674,631,798	7,631,127
Total Enterprise Funds.....	<u>2,327,482</u>	<u>2,680,703,596</u>	<u>2,675,386,833</u>	<u>7,644,245</u>
<u>INTERNAL SERVICE FUNDS</u>				
Corcraft: Revenue Collection.....	720,334	30,457,952	30,546,890	631,396
Office of General Services: Revenue Collection - State Office Buildings.....	537	5,510	6,047	-
Total Internal Service Funds.....	<u>720,871</u>	<u>30,463,462</u>	<u>30,552,937</u>	<u>631,396</u>
<u>PRIVATE PURPOSE TRUST</u>				
Department of Agriculture and Markets: Producer Security.....	47,439	1,749,928	1,698,936	98,431
Office of the State Comptroller: New York State College Choice Tuition Savings.....	34,681,159,957	8,394,336,633	2,374,413,286	40,701,083,304
Total Private Purpose Trust Funds.....	<u>34,681,207,396</u>	<u>8,396,086,561</u>	<u>2,376,112,222</u>	<u>40,701,181,735</u>
<u>AGENCY FUNDS</u>				
Council on the Arts: Theater Development	924,534	3,707	25,510	902,731
Department of Agriculture and Markets: Farmers Market Nutrition Program.....	432,776	-	84	432,692
Marketing and Publicity Account.....	14,394	161,982	163,357	13,019
Department of Civil Service: Employees' Health Insurance Premiums Collection.....	5,970,191	6,637,373,055	6,630,425,449	12,917,797
Department of Corrections and Community Supervision: Employee Benefit Account.....	671,213	460,156	423,738	707,631
Inmates' Account.....	17,717,843	80,804,102	77,877,310	20,644,635
Occupational Therapy.....	1,575,475	2,259,566	2,340,553	1,494,488
Department of Environmental Conservation: Coastal Erosion Projects Escrow.....	1,992,377	734	45,426	1,947,685

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

AGENCY FUNDS (continued)	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
Department of Financial Services:				
Family Leave Benefits Account.....	-	65,035,099	65,035,099	-
Foreign Fire Insurance Tax.....	62,148,624	47,043,092	65,879,681	43,312,035
Department of Health:				
American Indian Health Program (**).....	7,288	19,734,851	19,714,960	27,179
Early Intervention Program.....	2,644,696	571,721,349	564,821,845	9,544,200
Medicaid.....	28,991,002	1,864,833,958	1,893,824,569	391
Miscellaneous Agency Account.....	6,230	573	1,155	5,648
Patients' Account.....	1,723,444	5,682,389	5,667,529	1,738,304
Department of Labor:				
Minimum and Prevailing Wage and Wage Claim Payment Account.....	(557,121)	9,346,590	9,198,747	(409,278)
Department of Law:				
Civil Recoveries.....	11,423,086	262,239,850	218,936,884	54,726,052
Medicaid Fraud Control Escrow.....	15,541,981	151,823,257	149,585,641	17,779,597
Restitution Account.....	138,996,670	22,181,841	44,962,463	116,216,048
Department of Motor Vehicles:				
Revenue Collection.....	127,097	190,938,346	190,938,346	127,097
Department of Taxation and Finance:				
DASNY NYC HHC Litigation Holding Account.....	18,089,032	146,312	125,929	18,109,415
Exchange Account.....	8,433,699	4,403,708	12,765,680	71,727
Linked Deposit Program.....	-	9,826,014	9,826,014	-
Special Investigation Program.....	371,984	3,493	88,942	286,535
State University Construction Fund Payroll Deductions.....	313,167	5,192,721	4,801,914	703,974
Department of Transportation:				
Contractors Bid Deposit and Guarantee Escrow.....	(86,774)	11,608,066	9,952,072	1,569,220
Employee Benefit Account.....	805	-	805	-
Highway Oversize/Overweight Credentialing System (HOOCs) Partners.....	4,058,101	2,152,375	2,843,615	3,366,861
Division of Criminal Justice Services:				
Fingerprint Fees.....	1,090,820	37,531,938	37,819,362	803,396
Division of State Police:				
Seized Asset.....	1,494,472	3,628,221	1,414,096	3,708,597
Higher Education Services Corporation:				
Guaranteed Student Loans.....	94,681,277	636,740,418	644,830,899	86,590,796
NYS Gaming Commission:				
Lottery Prizes.....	1,417,770,599	1,111,032,631	1,271,543,018	1,257,260,212
Office for People with Developmental Disabilities:				
Patients' Account.....	31,677,404	111,432,144	98,984,519	44,125,029
Office of Children and Family Services:				
Youth Residents.....	45,013	166,289	141,193	70,109

(**) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK
SOLE CUSTODY FUNDS AND ACCOUNTS
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

EXHIBIT C-4
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2020	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2021
AGENCY FUNDS (continued)				
Office of Employee Relations:				
Arbitrator Panel Administration.....	3,830	5,400	1,600	7,630
NYS Flex Spending Account.....	12,984,641	38,458,182	37,792,410	13,650,413
Office of Mental Health:				
Employee Benefits and Escrow.....	51,832	6,196	5,816	52,212
Patients' Account.....	7,968,648	25,832,704	21,098,700	12,702,652
Office of Parks, Recreation and Historic Preservation:				
Miscellaneous Agency Account.....	379,579	56,264	30,829	405,014
Office of Temporary and Disability Assistance:				
Exchange Account.....	-	171,547	171,547	-
Title IV-D Child Support.....	10,174,887	193,237,197	190,306,652	13,105,432
Office of the State Comptroller:				
Abandoned Property.....	288,114,960	1,364,688,640	983,606,811	669,196,789
City of Troy Debt Service Account.....	7,070,833	42,444,520	42,670,352	6,845,001
City of Troy Municipal Assistance State Aid.....	-	28,827,053	28,827,053	-
Comptroller's Refund.....	255,230,224	2,342,422,557	2,247,062,746	350,590,035
Hudson River - Black River.....	614,208	11,562,615	11,611,904	564,919
Income Tax Refund.....	237,637,501	10,466,318,275	10,427,368,340	276,587,436
Justice Court.....	78,552,959	186,108,120	164,701,763	99,959,316
MTA State Assistance.....	214,596,742	2,149,866,391	2,153,297,444	211,165,689
Ogdensburg Bridge and Port.....	84,442	5,981,252	5,862,611	203,083
Port of Oswego.....	540,078	4,408,032	4,798,124	149,986
Public Asset Account.....	9,515,083	30,844	-	9,545,927
Sales Tax Holding - Localities Share.....	1,407,975,802	16,575,376,120	16,594,489,684	1,388,862,238
Office of Victim Services:				
Crime Victims Restitution Escrow.....	390	-	-	390
State Education Department:				
State Schools Student Activity Accounts.....	25,552	4,115	6,325	23,342
State University:				
Miscellaneous Agency Account.....	8,019,066	238,231,468	243,946,273	2,304,261
Unified Court System:				
Judiciary Trust.....	3,380,404	12,201,169	10,792,865	4,788,708
Workers' Compensation Board:				
Rate Stabilization.....	35,006,881	101,973	11,000,000	24,108,854
Safe Depository.....	3,623,617,530	527,393,013	238,150,078	3,912,860,465
Total Agency Funds.....	8,069,837,471	46,079,242,474	45,452,606,331	8,696,473,614
TOTAL SOLE CUSTODY FUNDS and ACCOUNTS.....	\$ 47,800,745,546	\$ 193,305,864,696	\$ 186,828,227,066	\$ 54,278,383,176

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF NET TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

SCHEDULE 1

	GROSS RECEIPTS	REFUNDS	NET TAX RECEIPTS	
			2020-21	2019-20
PERSONAL INCOME TAX.....	\$ 64,337,062	\$ 9,370,392	\$ 54,966,670	\$ 53,659,402
CONSUMPTION/USE TAXES:				
Sales and Use.....	14,322,474	176,215	14,146,259	15,932,060
Auto Rental.....	64,018	18	64,000	107,033
Cigarette and Tobacco Products.....	1,012,074	6,431	1,005,643	1,035,223
Medical Marijuana.....	8,411	-	8,411	5,698
Motor Fuel.....	454,897	29,880	425,017	511,845
Alcoholic Beverage.....	271,016	32	270,984	258,980
Highway Use.....	135,741	899	134,842	141,385
Vapor Excise.....	32,342	24	32,318	10,405
Opioid Excise.....	30,711	723	29,988	19,356
Total Consumption/Use Taxes.....	16,331,684	214,222	16,117,462	18,021,985
BUSINESS TAXES:				
Corporation Franchise.....	6,105,533	1,151,384	4,954,149	4,824,289
Corporation and Utilities.....	590,308	40,237	550,071	704,722
Insurance.....	2,226,442	36,732	2,189,710	2,305,962
Bank.....	188,496	32,006	156,490	69
Petroleum Business.....	999,381	57,384	941,997	1,160,775
Total Business Taxes.....	10,110,160	1,317,743	8,792,417	8,995,817
OTHER TAXES:				
Real Property Gains.....	-	-	-	-
Estate and Gift.....	1,607,476	70,039	1,537,437	1,070,166
Pari-Mutuel.....	9,652	-	9,652	13,917
Real Estate Transfer.....	949,407	551	948,856	1,123,766
Racing and Exhibitions.....	76	-	76	2,022
Employer Compensation Expense Tax.....	3,419	192	3,227	1,994
Total Other Taxes.....	2,570,030	70,782	2,499,248	2,211,865
TOTAL TAX RECEIPTS.....	\$ 93,348,936	\$ 10,973,139	\$ 82,375,797	\$ 82,889,069

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING SOURCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 2

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020
TAXES:										
PERSONAL INCOME TAX:										
Withholding.....	\$ 44,218,244	\$ 43,118,278	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 44,218,244	\$ 43,118,278
Estimated Payments.....	16,441,248	17,025,034	-	-	-	-	-	-	16,441,248	17,025,034
Returns.....	3,606,032	3,482,091	-	-	-	-	-	-	3,606,032	3,482,091
State/City Offsets.....	(1,192,318)	(1,117,314)	-	-	-	-	-	-	(1,192,318)	(1,117,314)
Other (Assessments/LLC).....	1,263,856	1,357,370	-	-	-	-	-	-	1,263,856	1,357,370
Gross Receipts.....	64,337,062	63,865,459	-	-	-	-	-	-	64,337,062	63,865,459
Transfers to School Tax Relief Fund.....	(2,027,354)	(2,183,688)	2,027,354	2,183,688	-	-	-	-	-	-
Transfers to Revenue Bond Tax Fund.....	(27,483,335)	(26,829,701)	-	-	27,483,335	26,829,701	-	-	-	-
Less: Refunds Issued.....	(9,370,392)	(10,206,057)	-	-	-	-	-	-	(9,370,392)	(10,206,057)
Total Personal Income Tax.....	25,455,981	24,646,013	2,027,354	2,183,688	27,483,335	26,829,701	-	-	54,966,670	53,659,402
CONSUMPTION/USE TAXES:										
Sales and Use.....	6,638,819	7,446,455	873,000	1,049,089	6,634,440	7,436,516	-	-	14,146,259	15,932,060
Auto Rental.....	-	-	12,100	19,541	-	-	51,900	87,492	64,000	107,033
Cigarette and Tobacco Products.....	309,768	312,985	695,875	722,238	-	-	-	-	1,005,643	1,035,223
Medical Marijuana.....	-	-	8,411	5,698	-	-	-	-	8,411	5,698
Motor Fuel.....	-	-	90,746	108,213	-	-	334,271	403,632	425,017	511,845
Alcoholic Beverage.....	270,984	258,980	-	-	-	-	-	-	270,984	258,980
Highway Use.....	-	-	456	523	-	-	134,386	140,862	134,842	141,385
Vapor Excise.....	-	-	32,318	10,405	-	-	-	-	32,318	10,405
Opioid Excise.....	29,988	19,356	-	-	-	-	-	-	29,988	19,356
Total Consumption/Use Taxes.....	7,249,559	8,037,776	1,712,906	1,915,707	6,634,440	7,436,516	520,557	631,986	16,117,462	18,021,985
BUSINESS TAXES:										
Corporation Franchise.....	3,890,103	3,791,131	1,064,046	1,033,158	-	-	-	-	4,954,149	4,824,289
Corporation and Utilities.....	417,240	518,174	122,659	171,985	-	-	10,172	14,563	550,071	704,722
Insurance.....	1,975,628	2,052,623	214,082	253,339	-	-	-	-	2,189,710	2,305,962
Bank.....	137,529	7,916	18,961	(7,847)	-	-	-	-	156,490	69
Petroleum Business.....	-	-	416,274	508,974	-	-	525,723	651,801	941,997	1,160,775
Total Business Taxes.....	6,420,500	6,369,844	1,836,022	1,959,609	-	-	535,895	666,364	8,792,417	8,995,817
OTHER TAXES:										
Real Property Gains.....	-	-	-	-	-	-	-	-	-	-
Estate and Gift.....	1,537,437	1,070,166	-	-	-	-	-	-	1,537,437	1,070,166
Pari-Mutuel.....	9,652	13,917	-	-	-	-	-	-	9,652	13,917
Real Estate Transfer.....	-	-	-	-	829,756	1,004,666	119,100	119,100	948,856	1,123,766
Racing and Exhibitions.....	76	2,022	-	-	-	-	-	-	76	2,022
Employer Compensation Expense Tax.....	1,613	997	-	-	1,614	997	-	-	3,227	1,994
Total Other Taxes.....	1,548,778	1,087,102	-	-	831,370	1,005,663	119,100	119,100	2,499,248	2,211,865
TOTAL TAX RECEIPTS.....	40,674,818	40,140,735	5,576,282	6,059,004	34,949,145	35,271,880	1,175,552	1,417,450	82,375,797	82,889,069
MISCELLANEOUS RECEIPTS:										
Abandoned Property:										
Abandoned Property.....	574,670	449,728	16,659	12,579	-	-	-	-	591,329	462,307
Bottle Bill.....	99,200	94,372	-	-	-	-	39,748	23,000	138,948	117,372
Total Abandoned Property.....	673,870	544,100	16,659	12,579	-	-	39,748	23,000	730,277	579,679

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

**SCHEDULE 2
(continued)**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020
Assessments:										
Business.....	-	-	730,922	804,869	-	-	79,971	103,087	810,893	907,956
Medical Care.....	45,734	45,388	5,859,888	6,358,641	-	-	-	-	5,905,622	6,404,029
Public Utilities.....	-	-	88,722	90,700	-	-	-	-	88,722	90,700
All Other.....	261	687	41	417	-	-	-	-	302	1,104
Total Assessments.....	45,995	46,075	6,679,573	7,254,627	-	-	79,971	103,087	6,805,539	7,403,789
Fees, Licenses and Permits:										
Alcohol Beverage Control Licensing	51,344	73,044	-	-	-	-	-	-	51,344	73,044
Audit.....	-	-	2,209	2,608	-	-	-	-	2,209	2,608
Business/Professional.....	246,225	263,551	721,961	713,992	-	1	26,922	31,704	995,108	1,009,248
Civil.....	150,993	243,223	48,199	56,409	-	-	-	-	199,192	299,632
Criminal.....	1,223	1,749	3,648	7,470	-	-	-	-	4,871	9,219
Motor Vehicle	362,330	342,294	287,215	298,472	-	-	654,545	728,735	1,304,090	1,369,501
Recreational/Consumer.....	12,879	19,234	723,782	882,928	-	-	22,198	30,845	758,859	933,007
Total Fees, Licenses and Permits.....	824,994	943,095	1,787,014	1,961,879	-	1	703,665	791,284	3,315,673	3,696,259
Fines, Penalties and Forfeitures.....	778,772	1,088,528	122,114	271,176	-	-	23,235	26,701	924,121	1,386,405
Gaming:										
Casino.....	-	-	137,366	272,525	-	-	-	-	137,366	272,525
Lottery.....	-	-	2,518,802	2,533,241	-	-	-	-	2,518,802	2,533,241
Video Lottery.....	-	-	409,853	943,644	-	-	-	-	409,853	943,644
Total Gaming.....	-	-	3,066,021	3,749,410	-	-	-	-	3,066,021	3,749,410
Interest Earnings.....	37,679	174,300	79,875	242,072	375	3,004	1,803	11,248	119,732	430,624
Receipts from Public Authorities:										
Bond Proceeds.....	4,500,000	-	-	-	411	-	4,530,344	5,408,710	9,030,755	5,408,710
Cost Recovery Assessments.....	41,986	21,656	20,400	20,400	-	-	-	-	62,386	42,056
Issuance Fees.....	126,040	82,203	7,200	7,200	-	-	-	-	133,240	89,403
Non Bond Related.....	26,370	25,334	15,994	59,719	-	-	30,877	54,283	73,241	139,336
Total Receipts from Public Authorities.....	4,694,396	129,193	43,594	87,319	411	-	4,561,221	5,462,993	9,299,622	5,679,505
Receipts from Municipalities.....	250,091	16,800	75,035	79,967	3,933	3,855	969	4,058	330,028	104,680
Rentals.....	1,657	2,250	194,442	392,530	-	-	18,468	10,853	214,567	405,633
Revenues of State Departments:										
Administrative Recoveries.....	65,870	75,604	121,961	153,183	-	-	6	5	187,837	228,792
Commissions.....	(22)	418	26,470	27,085	-	-	3	39	26,451	27,542
Commissions - Asset Conversion.....	-	-	118,000	468,000	-	-	-	-	118,000	468,000
Gifts, Grants and Donations.....	9	28	35,109	8,825	-	-	11,363	34,490	46,481	43,343
Indirect Cost Recoveries.....	73,739	86,488	851	1,739	-	-	-	2,637	74,590	90,864
Patient/Client Care Reimbursement.....	(64,258)	(43,851)	2,933,932	2,224,230	396,133	470,001	-	-	3,265,807	2,650,380
Rebates.....	6,693	6,383	143,306	160,036	-	-	55	1,233	150,054	167,652
Restitution and Settlements.....	1,354	6,063	86,306	18,826	-	-	12,852	12,646	100,512	37,535
Student Loans.....	24	29	44,599	66,725	-	-	-	-	44,623	66,754
All Other.....	119,755	83,719	399,634	539,985	-	68	27,145	60,739	546,534	684,511
Total Revenues of State Departments.....	203,164	214,881	3,910,168	3,668,634	396,133	470,069	51,424	111,789	4,560,889	4,465,373

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 2
(continued)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020	MARCH 31, 2021	MARCH 31, 2020
Sales.....	4,614	37	10,572	17,830	-	19	334	5,795	15,520	23,681
Tuition.....	-	-	1,390,211	1,541,334	-	-	-	-	1,390,211	1,541,334
TOTAL MISCELLANEOUS RECEIPTS.....	7,515,232	3,159,259	17,375,278	19,279,357	400,852	476,948	5,480,838	6,550,808	30,772,200	29,466,372
FEDERAL RECEIPTS.....	437	285	76,123,874	62,896,868	73,810	73,769	1,954,329	2,109,103	78,152,450	65,080,025
OTHER FINANCING SOURCES:										
Bond and Note Proceeds, net.....	-	-	-	-	-	-	202,642	-	202,642	-
Transfers from Other Funds.....	26,121,450	35,906,769	3,088,425	2,269,197	3,223,925	3,742,214	4,855,098	3,546,520	37,288,898	45,464,700
Total Other Financing Sources.....	26,121,450	35,906,769	3,088,425	2,269,197	3,223,925	3,742,214	5,057,740	3,546,520	37,491,540	45,464,700
TOTAL.....	\$ 74,311,937	\$ 79,207,048	\$ 102,163,859	\$ 90,504,426	\$ 38,647,732	\$ 39,564,811	\$ 13,668,459	\$ 13,623,881	\$ 228,791,987	\$ 222,900,166

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF TAX RECEIPTS
FISCAL YEARS 2011-12 THROUGH 2020-21
(amounts in thousands)**

SCHEDULE 3

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
PERSONAL INCOME TAX:										
Withholding.....	\$ 31,198,971	\$ 31,957,653	\$ 33,367,555	\$ 34,906,793	\$ 36,549,038	\$ 37,523,891	\$ 40,269,241	\$ 41,084,099	\$ 43,118,278	\$ 44,218,244
Estimated.....	11,628,433	12,192,942	14,637,177	13,743,147	16,111,218	14,971,822	17,781,120	14,009,873	17,025,034	16,441,248
Returns.....	2,174,476	2,192,787	2,415,648	2,260,045	2,653,707	2,616,198	2,538,951	2,746,012	3,482,091	3,606,032
State/City Offsets.....	(365,944)	(309,012)	(615,003)	(590,752)	(675,293)	(851,242)	(855,954)	(1,135,335)	(1,117,314)	(1,192,318)
Other (Assessments/LLC).....	1,028,586	1,099,268	1,154,668	1,338,284	1,286,154	1,405,964	1,446,472	1,332,835	1,357,370	1,263,856
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	53	-	-
Gross Total.....	45,664,522	47,133,638	50,960,045	51,657,517	55,924,824	55,666,633	61,179,830	58,037,537	63,865,459	64,337,062
Less: Refunds Issued.....	(6,896,695)	(6,906,923)	(7,999,270)	(7,947,684)	(8,869,541)	(8,100,689)	(9,678,493)	(9,950,148)	(10,206,057)	(9,370,392)
Net Personal Income Tax.....	38,767,827	40,226,715	42,960,775	43,709,833	47,055,283	47,565,944	51,501,337	48,087,389	53,659,402	54,966,670
CONSUMPTION/USE TAXES:										
Sales and Use	11,874,582	11,989,290	12,587,660	12,991,656	13,359,320	13,869,587	14,495,076	15,127,442	15,932,060	14,146,259
Auto Rental	104,017	109,050	113,979	119,093	126,076	127,004	122,988	130,033	107,033	64,000
Cigarette and Tobacco Products	1,633,524	1,550,599	1,453,351	1,313,758	1,250,612	1,235,230	1,170,526	1,107,756	1,035,223	1,005,643
Medical Marijuana	-	-	-	-	11	584	1,880	3,867	5,698	8,411
Motor Fuel	501,610	492,464	473,156	486,955	503,068	519,015	512,503	528,121	511,845	425,017
Alcoholic Beverage	238,263	246,217	250,306	250,859	254,548	257,678	259,230	262,385	258,980	270,984
Highway Use	132,129	145,008	136,223	140,400	158,562	138,696	93,205	145,007	141,385	134,842
Vapor Excise	-	-	-	-	-	-	-	-	10,405	32,318
Opioid Excise Tax	-	-	-	-	-	-	-	-	19,356	29,988
MCTD Taxicab Trip.....	86,849	82,945	85,190	82,263	73,146	64,036	55,944	51,703	-	-
Total Consumption/Use Taxes.....	14,570,974	14,615,573	15,099,865	15,384,984	15,725,343	16,211,830	16,711,352	17,356,314	18,021,985	16,117,462
BUSINESS TAXES:										
Corporation Franchise	3,176,226	3,008,746	3,811,628	3,547,992	4,527,319	3,165,584	3,079,974	4,296,595	4,824,289	4,954,149
Corporation and Utilities	796,540	894,491	797,293	727,294	773,852	720,335	747,908	672,479	704,722	550,071
Insurance	1,413,093	1,508,600	1,444,398	1,532,832	1,580,071	1,579,645	1,776,830	1,836,785	2,305,962	2,189,710
Bank	1,391,665	1,911,860	1,050,058	1,536,194	(121,350)	389,660	467,123	(58,989)	69	156,490
Petroleum Business	1,100,354	1,139,721	1,154,510	1,158,332	1,123,851	1,123,685	1,091,954	1,165,218	1,160,775	941,997
Lubricating Oil	-	2	1	1	-	-	-	-	-	-
Total Business Taxes.....	7,877,878	8,463,420	8,257,888	8,502,645	7,883,743	6,978,909	7,163,789	7,912,088	8,995,817	8,792,417
OTHER TAXES:										
Real Property Gains	-	209	(159)	39	10	63	(7)	-	-	-
Estate and Gift	1,078,531	1,014,863	1,238,321	1,108,530	1,520,792	1,090,889	1,307,842	1,068,327	1,070,166	1,537,437
Pari-Mutuel	17,197	17,416	16,821	18,038	17,182	15,755	15,372	15,368	13,917	9,652
Real Estate Transfer	610,048	756,355	911,352	1,037,880	1,163,060	1,126,369	1,125,073	1,135,271	1,123,766	948,856
Racing and Exhibitions	768	1,029	995	1,128	1,425	2,914	2,541	2,565	2,022	76
MCTD Mobility Tax.....	1,375,651	1,204,572	1,204,147	1,271,303	1,306,235	1,380,246	1,439,241	-	-	-
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	-	1,994	3,227
Total Other Taxes.....	3,082,195	2,994,444	3,371,477	3,436,918	4,008,704	3,616,236	3,890,062	2,221,531	2,211,865	2,499,248
TOTAL TAX RECEIPTS.....	\$ 64,298,874	\$ 66,300,152	\$ 69,690,005	\$ 71,034,380	\$ 74,673,073	\$ 74,372,919	\$ 79,266,540	\$ 75,577,322	\$ 82,889,069	\$ 82,375,797

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINING SCHEDULE OF TAX RECEIPTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 4

	GENERAL	SPECIAL REVENUE					
		DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HIGHWAY USE TAX ADMIN. (23801)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	
PERSONAL INCOME TAX	\$ 25,455,981	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,027,354
CONSUMPTION/USE TAXES:							
Sales and Use.....	6,638,819	-	-	-	873,000	-	-
Auto Rental.....	-	-	-	-	12,100	-	-
Cigarette and Tobacco Products.....	309,768	-	695,875	-	-	-	-
Medical Marijuana.....	-	-	-	-	8,411	-	-
Motor Fuel.....	-	90,746	-	-	-	-	-
Alcoholic Beverage.....	270,984	-	-	-	-	-	-
Highway Use.....	-	-	-	456	-	-	-
Vapor Excise.....	-	-	32,318	-	-	-	-
Opioid Excise.....	29,988	-	-	-	-	-	-
Total Consumption/Use Taxes	7,249,559	90,746	728,193	456	885,100	8,411	-
BUSINESS TAXES:							
Corporation Franchise.....	3,890,103	-	-	-	1,064,046	-	-
Corporation and Utilities.....	417,240	-	-	-	122,659	-	-
Insurance.....	1,975,628	-	-	-	214,082	-	-
Bank.....	137,529	-	-	-	18,961	-	-
Petroleum Business.....	-	306,466	-	-	109,808	-	-
Total Business Taxes	6,420,500	306,466	-	-	1,529,556	-	-
OTHER TAXES:							
Real Property Gains.....	-	-	-	-	-	-	-
Estate and Gift.....	1,537,437	-	-	-	-	-	-
Pari-Mutuel.....	9,652	-	-	-	-	-	-
Real Estate Transfer.....	-	-	-	-	-	-	-
Racing and Exhibitions.....	76	-	-	-	-	-	-
Employer Compensation Expense Tax.....	1,613	-	-	-	-	-	-
Total Other Taxes	1,548,778	-	-	-	-	-	-
TOTAL TAX RECEIPTS	\$ 40,674,818	\$ 397,212	\$ 728,193	\$ 456	\$ 2,414,656	\$ 8,411	\$ 2,027,354

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINING SCHEDULE OF TAX RECEIPTS
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

SCHEDULE 4
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	CLEAN WATER / CLEAN AIR (40400-40449)	GENERAL DEBT SERVICE (40150-40199)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	ENVIRONMENTAL PROTECTION (30450-30499)	2020-21	2019-20
PERSONAL INCOME TAX.....	\$ -	\$ 27,483,335	\$ -	\$ -	\$ -	\$ 54,966,670	\$ 53,659,402
CONSUMPTION/USE TAXES:							
Sales and Use.....	-	3,317,220	3,317,220	-	-	14,146,259	15,932,060
Auto Rental.....	-	-	-	51,900	-	64,000	107,033
Cigarette and Tobacco Products.....	-	-	-	-	-	1,005,643	1,035,223
Medical Marijuana.....	-	-	-	-	-	8,411	5,698
Motor Fuel.....	-	-	-	334,271	-	425,017	511,845
Alcoholic Beverage.....	-	-	-	-	-	270,984	258,980
Highway Use.....	-	-	-	134,386	-	134,842	141,385
Vapor Excise.....	-	-	-	-	-	32,318	10,405
Opioid Excise.....	-	-	-	-	-	29,988	19,356
Total Consumption/Use Taxes.....	-	3,317,220	3,317,220	520,557	-	16,117,462	18,021,985
BUSINESS TAXES:							
Corporation Franchise.....	-	-	-	-	-	4,954,149	4,824,289
Corporation and Utilities.....	-	-	-	10,172	-	550,071	704,722
Insurance.....	-	-	-	-	-	2,189,710	2,305,962
Bank.....	-	-	-	-	-	156,490	69
Petroleum Business.....	-	-	-	525,723	-	941,997	1,160,775
Total Business Taxes.....	-	-	-	535,895	-	8,792,417	8,995,817
OTHER TAXES:							
Real Property Gains.....	-	-	-	-	-	-	-
Estate and Gift.....	-	-	-	-	-	1,537,437	1,070,166
Pari-Mutuel.....	-	-	-	-	-	9,652	13,917
Real Estate Transfer.....	829,756	-	-	-	119,100	948,856	1,123,766
Racing and Exhibitions.....	-	-	-	-	-	76	2,022
Employer Compensation Expense Tax.....	-	1,614	-	-	-	3,227	1,994
Total Other Taxes.....	829,756	1,614	-	-	119,100	2,499,248	2,211,865
TOTAL TAX RECEIPTS.....	\$ 829,756	\$ 30,802,169	\$ 3,317,220	\$ 1,056,452	\$ 119,100	\$ 82,375,797	\$ 82,889,069

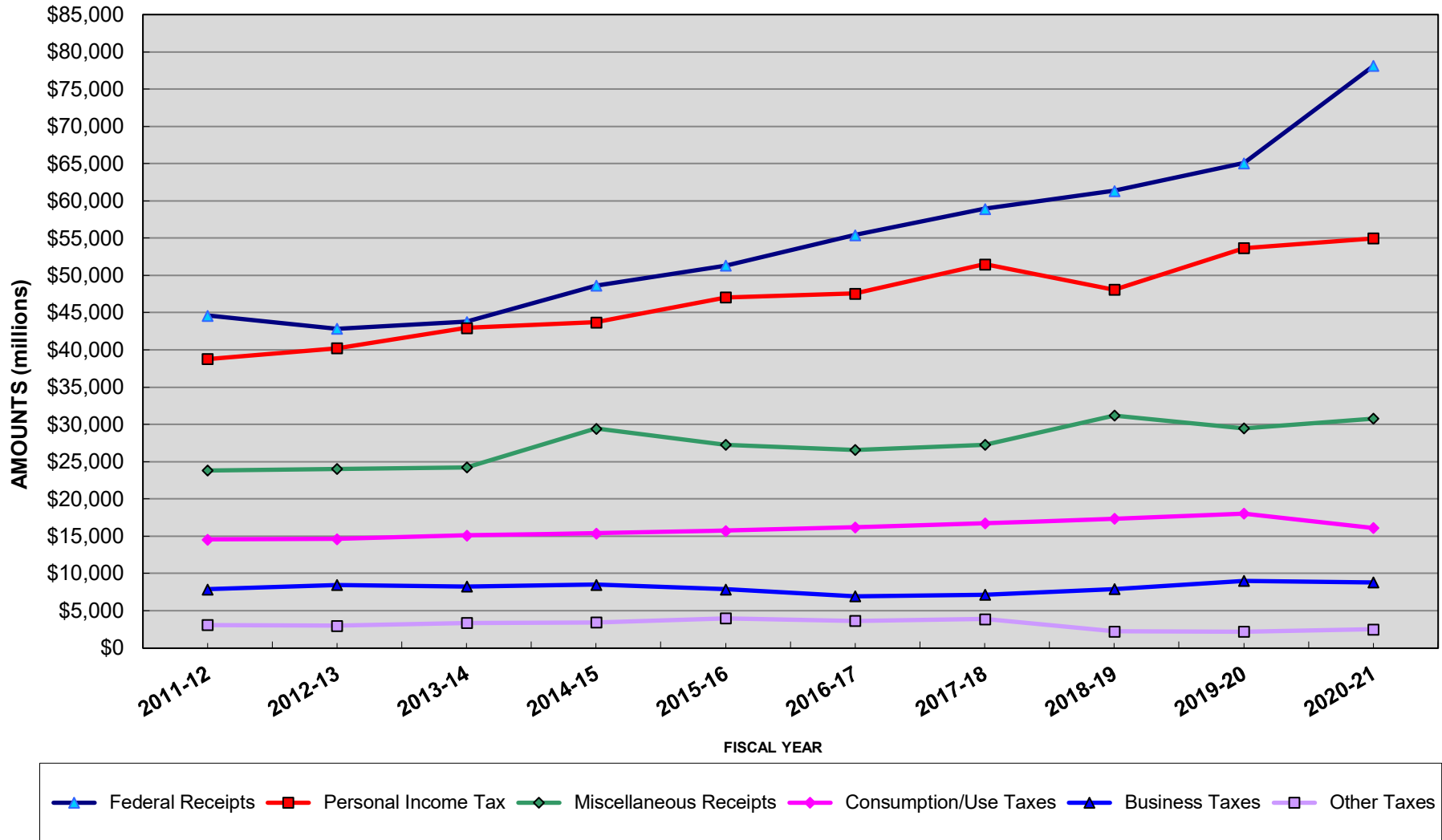
**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE TAX RECEIPTS PER CAPITA (*)
FISCAL YEARS 2011-12 THROUGH 2020-21**

SCHEDULE 5

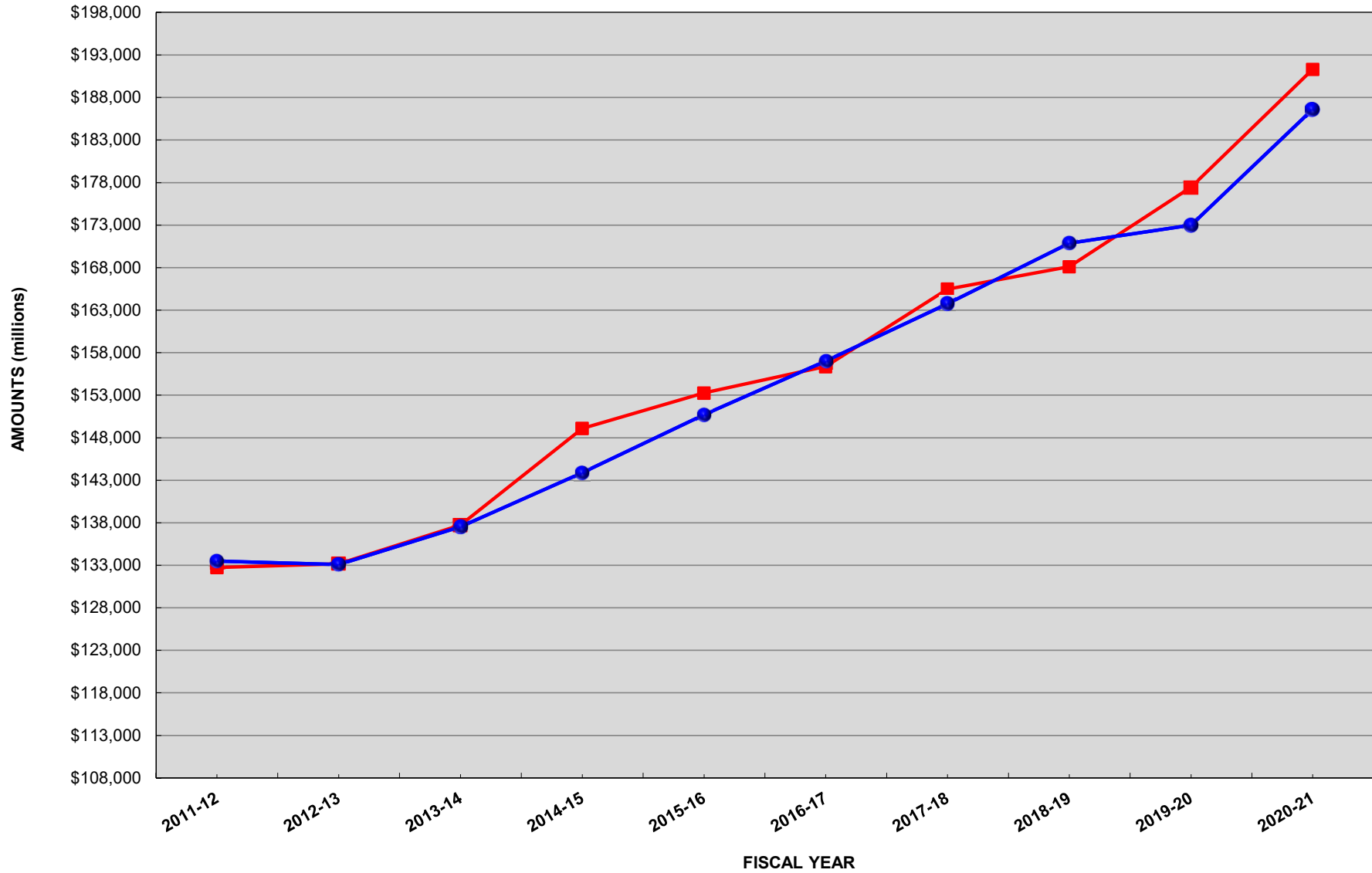
	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
PERSONAL INCOME TAX										
Millions of Dollars.....	\$ 38,768	\$ 40,227	\$ 42,961	\$ 43,710	\$ 47,055	\$ 47,566	\$ 51,501	\$ 48,087	\$ 53,659	\$ 54,967
Percentage of Total Taxes.....	60.30%	60.67%	61.64%	61.53%	63.01%	63.96%	64.97%	63.63%	64.74%	66.73%
Change from Prior Year.....	7.07%	3.76%	6.80%	1.70%	7.70%	1.10%	8.30%	-6.63%	11.59%	2.44%
Per Capita.....	1,988	2,052	2,181	2,219	2,377	2,415	2,601	2,466	2,752	2,721
CONSUMPTION/USE TAXES										
Millions of Dollars.....	14,571	14,616	15,100	15,385	15,725	16,212	16,711	17,356	18,022	16,118
Percentage of Total Taxes.....	22.66%	22.04%	21.67%	21.66%	21.06%	21.80%	21.08%	22.96%	21.74%	19.57%
Change from Prior Year.....	2.58%	0.31%	3.31%	1.89%	2.21%	3.10%	3.08%	3.86%	3.84%	-10.56%
Per Capita.....	747	746	766	781	794	823	844	890	924	798
BUSINESS TAXES										
Millions of Dollars.....	7,878	8,463	8,258	8,502	7,884	6,979	7,164	7,912	8,996	8,792
Percentage of Total Taxes.....	12.25%	12.77%	11.85%	11.97%	10.56%	9.38%	9.04%	10.47%	10.85%	10.67%
Change from Prior Year.....	8.23%	7.43%	-2.42%	2.95%	-7.27%	-11.48%	2.65%	10.44%	13.70%	-2.27%
Per Capita.....	404	432	419	432	398	354	362	406	461	435
OTHER TAXES										
Millions of Dollars.....	3,082	2,994	3,371	3,437	4,009	3,616	3,890	2,222	2,212	2,499
Percentage of Total Taxes.....	4.79%	4.52%	4.84%	4.84%	5.37%	4.86%	4.91%	2.94%	2.67%	3.03%
Change from Prior Year.....	-2.99%	-2.86%	12.59%	1.96%	16.64%	-9.80%	7.58%	-42.88%	-0.45%	12.97%
Per Capita.....	158	153	171	174	202	184	196	114	113	124
TOTAL TAXES										
Millions of Dollars.....	\$ 64,299	\$ 66,300	\$ 69,690	\$ 71,034	\$ 74,673	\$ 74,373	\$ 79,266	\$ 75,577	\$ 82,889	\$ 82,376
Percentage of Total Taxes.....	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Change from Prior Year.....	5.63%	3.11%	5.11%	1.93%	5.12%	-0.40%	6.58%	-4.65%	9.67%	-0.62%
Per Capita.....	\$ 3,297	\$ 3,383	\$ 3,537	\$ 3,606	\$ 3,771	\$ 3,776	\$ 4,003	\$ 3,876	\$ 4,250	\$ 4,078

(*) Population estimates are based on current information published by the U.S. Census Bureau.

GOVERNMENTAL FUNDS - RECEIPTS TEN - YEAR TREND ANALYSIS



**GOVERNMENTAL FUNDS - RECEIPTS TO DISBURSEMENTS
TEN - YEAR TREND ANALYSIS**



(*) Receipts and Disbursements exclude Other Financing Sources and Uses

■ Total Receipts (*)
 ● Total Disbursements (*)

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)
FISCAL YEARS 2011-12 THROUGH 2020-21
(amounts in thousands)**

SCHEDULE 6

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
RECEIPTS:										
Personal Income Tax.....	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283	\$ 47,565,944	\$ 51,501,337	\$ 48,087,389	\$ 53,659,402	\$ 54,966,670
Consumption/Use Taxes.....	14,570,974	14,615,573	15,099,865	15,384,984	15,725,343	16,211,830	16,711,352	17,356,314	18,021,985	16,117,462
Business Taxes.....	7,877,878	8,463,420	8,257,888	8,502,645	7,883,743	6,978,909	7,163,789	7,912,088	8,995,817	8,792,417
Other Taxes.....	3,082,195	2,994,444	3,371,477	3,436,918	4,008,704	3,616,236	3,890,062	2,221,531	2,211,865	2,499,248
Miscellaneous Receipts.....	23,836,115	24,030,850	24,233,835	29,437,525	27,268,336	26,592,173	27,261,900	31,184,690	29,466,372	30,772,200
Federal Receipts.....	44,609,856	42,842,927	43,789,256	48,636,693	51,323,529	55,407,032	58,941,910	61,344,353	65,080,025	78,152,450
Total Receipts.....	132,744,845	133,173,929	137,713,096	149,108,598	153,264,938	156,372,124	165,470,350	168,106,365	177,435,466	191,300,447
DISBURSEMENTS:										
Local Assistance Grants:										
Education.....	-	31,275,884	32,273,478	33,347,534	35,349,565	36,143,713	36,311,610	37,838,681	37,846,434	37,035,918
Environment and Recreation.....	-	452,989	455,295	315,309	321,479	323,656	339,773	437,205	426,032	205,570
General Government.....	-	1,190,751	1,369,339	1,358,364	1,573,960	1,706,128	1,825,069	2,256,612	2,171,966	6,016,766
Public Health:										
Medicaid.....	-	41,585,429	42,125,677	47,642,930	49,664,291	52,441,101	56,641,472	59,753,089	63,490,463	63,600,848
Other Public Health.....	-	5,812,015	5,788,874	5,154,862	6,873,429	9,059,103	10,136,319	10,375,088	10,448,786	10,820,431
Public Safety.....	-	1,802,631	2,123,277	2,718,169	2,229,061	1,715,641	1,794,205	1,593,457	1,853,770	2,358,389
Public Welfare.....	-	7,836,605	8,132,748	7,597,686	7,806,779	7,259,655	7,500,534	8,076,392	7,593,504	7,715,346
Support and Regulate Business.....	-	691,811	802,690	657,668	796,912	1,087,080	1,202,054	1,328,131	1,114,267	860,636
Transportation.....	-	5,052,837	5,497,814	5,931,129	5,698,151	6,600,666	6,243,875	5,773,123	5,173,187	6,157,444
General Purpose.....	956,091	-	-	-	-	-	-	-	-	-
Education.....	31,872,529	-	-	-	-	-	-	-	-	-
Medicaid.....	41,127,169	-	-	-	-	-	-	-	-	-
Other Social Services.....	7,614,837	-	-	-	-	-	-	-	-	-
Health and Environment.....	4,900,692	-	-	-	-	-	-	-	-	-
Mental Hygiene.....	1,982,597	-	-	-	-	-	-	-	-	-
Transportation.....	4,974,616	-	-	-	-	-	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	3,059,315	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants.....	96,487,846	95,700,952	98,569,192	104,723,651	110,313,627	116,336,743	121,994,911	127,431,778	130,118,409	134,771,348
Departmental Operations:										
Personal Service.....	12,673,297	13,011,558	12,957,859	13,162,852	13,598,151	13,723,534	13,837,589	14,324,727	14,726,504	14,792,082
Non-Personal Service.....	6,347,746	6,170,765	6,803,869	6,977,664	6,974,097	6,958,850	7,019,728	6,764,020	7,477,130	7,375,679
General State Charges.....	6,854,416	6,675,439	7,279,909	7,337,109	7,739,187	7,926,960	8,174,647	8,624,718	8,756,793	9,259,466
Debt Service, Including Payments on Financing Agreements.....	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,091	13,298,477
Capital Projects.....	5,276,540	5,400,190	5,515,961	5,506,585	6,484,930	6,555,395	6,843,884	7,031,248	6,985,439	7,090,029
Total Disbursements.....	133,503,867	133,096,833	137,526,486	143,890,678	150,708,477	157,015,265	163,743,597	170,875,056	172,980,366	186,587,081
Excess (Deficiency) of Receipts over Disbursements.....	(759,022)	77,096	186,610	5,217,920	2,556,461	(643,141)	1,726,753	(2,768,691)	4,455,100	4,713,366
OTHER FINANCING SOURCES (USES):										
Bond and Note Proceeds, net.....	352,069	433,631	-	161,343	-	-	160,369	132,900	-	202,642
Transfers from Other Funds.....	26,540,430	26,902,629	30,592,764	29,807,742	33,441,924	31,668,311	33,063,685	38,730,322	45,464,700	37,288,898
Transfers to Other Funds.....	(26,585,488)	(26,897,343)	(30,621,199)	(29,866,485)	(33,543,814)	(31,730,590)	(33,306,520)	(38,868,505)	(45,610,072)	(37,541,026)
Investments.....	-	-	-	-	(5)	-	-	-	-	-
Total Other Financing Sources (Uses).....	307,011	438,917	(28,435)	102,600	(101,895)	(62,279)	(82,466)	(5,283)	(145,372)	(49,486)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(452,011)	516,013	158,175	5,320,520	2,454,566	(705,420)	1,644,287	(2,773,974)	4,309,728	4,663,880
Beginning Fund Balances (*).....	3,812,329	3,360,318	3,876,331	4,034,506	9,355,555	11,810,121	11,104,701	12,748,988	9,975,014	14,284,742
Ending Fund Balances.....	\$ 3,360,318	\$ 3,876,331	\$ 4,034,506	\$ 9,355,026	\$ 11,810,121	\$ 11,104,701	\$ 12,748,988	\$ 9,975,014	\$ 14,284,742	\$ 18,948,622

(*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.

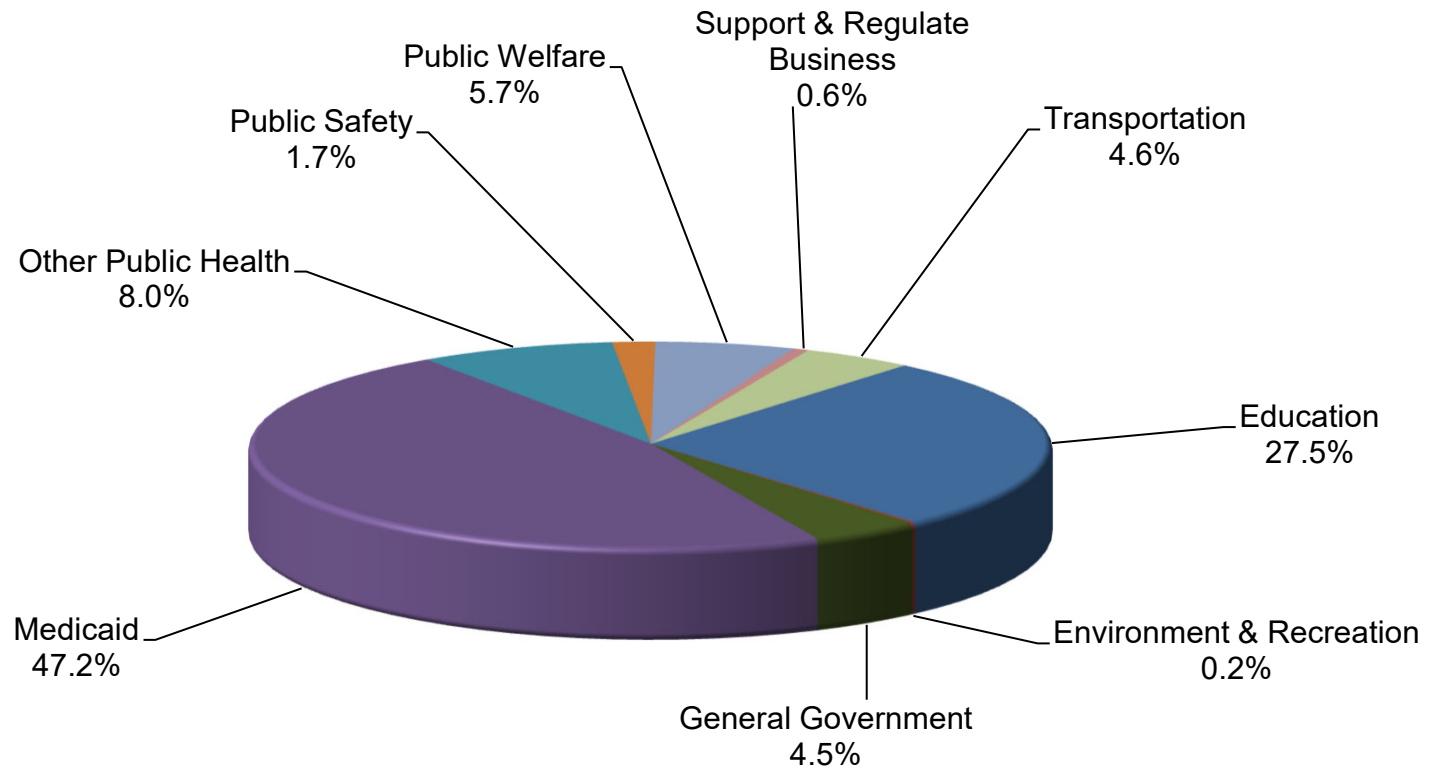
**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATE OPERATING FUNDS
SCHEDULE OF RECEIPTS, DISBURSEMENTS
AND OTHER FINANCING SOURCES (USES)
FISCAL YEARS 2011-12 THROUGH 2020-21
(amounts in thousands)**

**SCHEDULE 6
SUPPLEMENTAL**

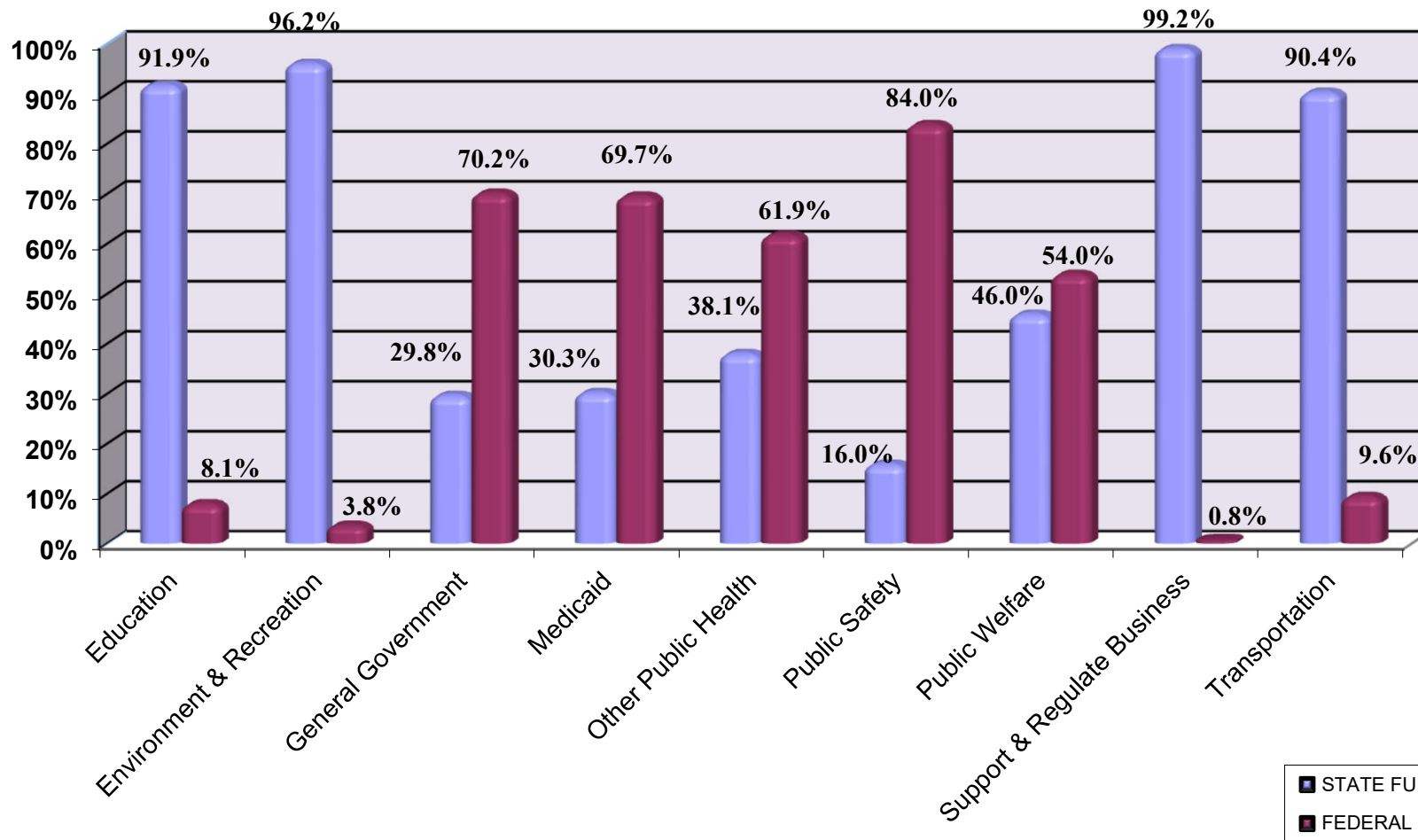
	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
RECEIPTS:										
Personal Income Tax.....	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283	\$ 47,565,944	\$ 51,501,337	\$ 48,087,389	\$ 53,659,402	\$ 54,966,670
Consumption/Use Taxes.....	13,977,493	14,013,281	14,518,137	14,784,464	15,089,642	15,587,740	16,138,822	16,711,610	17,389,999	15,596,905
Business Taxes.....	7,252,986	7,815,260	7,603,524	7,849,377	7,244,264	6,339,407	6,541,978	7,242,419	8,329,453	8,256,522
Other Taxes.....	2,963,095	2,875,344	3,252,377	3,317,818	3,889,604	3,497,136	3,770,962	2,102,431	2,092,765	2,380,148
Miscellaneous Receipts.....	19,515,006	20,000,668	20,521,164	25,300,841	23,254,991	21,756,660	21,333,717	23,485,540	22,700,563	25,109,327
Federal Receipts.....	139,961	140,547	71,420	74,718	73,548	72,472	74,484	72,646	61,164	60,658
Total Receipts.....	82,616,368	85,071,815	88,927,397	95,037,051	96,607,332	94,819,359	99,361,300	97,702,035	104,233,346	106,370,230
DISBURSEMENTS:										
Local Assistance Grants:										
Education.....	-	28,003,547	28,566,137	30,130,698	31,662,758	32,548,831	33,014,336	33,845,340	34,142,263	33,909,751
Environment and Recreation.....	-	7,814	10,465	9,539	12,174	8,668	8,315	7,913	7,811	5,326
General Government.....	-	1,096,762	1,249,088	1,192,301	1,215,379	1,191,543	1,165,773	1,180,807	1,267,929	1,175,242
Public Health:										
Medicaid.....	-	17,591,758	17,436,669	18,019,396	18,314,157	18,651,573	19,691,251	20,371,950	23,241,124	19,292,613
Other Public Health.....	-	3,762,685	3,656,417	3,352,872	3,265,481	3,485,984	3,292,526	3,436,703	3,591,023	3,612,626
Public Safety.....	-	386,785	295,356	331,569	283,224	315,928	323,989	379,250	363,657	329,689
Public Welfare.....	-	3,049,037	3,085,434	2,829,894	2,935,571	2,922,687	2,822,482	2,783,464	2,322,931	2,945,109
Support and Regulate Business.....	-	376,466	380,256	351,665	219,285	266,146	260,406	233,146	227,259	168,423
Transportation.....	-	4,306,745	4,722,567	4,834,029	4,745,229	4,977,294	5,024,864	3,938,202	3,488,296	3,648,121
General Purpose.....	956,091	-	-	-	-	-	-	-	-	-
Education.....	27,094,238	-	-	-	-	-	-	-	-	-
Medicaid.....	16,489,870	-	-	-	-	-	-	-	-	-
Other Social Services.....	2,908,092	-	-	-	-	-	-	-	-	-
Health and Environment.....	2,968,850	-	-	-	-	-	-	-	-	-
Mental Hygiene.....	1,731,350	-	-	-	-	-	-	-	-	-
Transportation.....	4,229,733	-	-	-	-	-	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	889,489	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants.....	57,267,713	58,581,599	59,402,389	61,051,963	62,653,258	64,368,654	65,603,942	66,176,775	68,652,293	65,086,900
Departmental Operations:										
Personal Service.....	12,039,972	12,402,450	12,300,482	12,549,597	12,980,751	13,092,382	13,170,430	13,687,315	14,089,676	12,354,693
Non-Personal Service.....	5,410,795	5,280,742	5,563,854	5,607,541	5,601,777	5,587,399	5,650,695	5,370,250	6,078,226	5,651,162
General State Charges.....	6,592,416	6,436,100	6,957,594	7,033,234	7,452,462	7,634,324	7,853,087	8,203,826	8,423,198	7,917,891
Debt Service, Including Payments on Financing Agreements.....	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,091	13,196,256
Capital Projects.....	6,428	4,025	6,929	1,322	1,749	2,544	-	-	-	-
Total Disbursements.....	87,181,346	88,842,845	90,630,944	92,426,474	94,288,482	96,199,086	98,150,992	100,136,731	102,159,484	104,206,902
Excess (Deficiency) of Receipts over Disbursements.....	(4,564,978)	(3,771,030)	(1,703,547)	2,610,577	2,318,850	(1,379,727)	1,210,308	(2,434,696)	2,073,862	2,163,328
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds.....	26,274,216	26,404,073	29,481,642	28,850,719	31,042,573	29,289,847	31,088,466	37,127,642	42,428,495	33,030,762
Transfers to Other Funds.....	(21,831,104)	(22,120,550)	(27,348,419)	(26,360,135)	(30,611,048)	(28,925,962)	(30,317,497)	(35,938,285)	(42,455,322)	(34,470,447)
Investments.....	-	-	-	-	(5)	-	-	-	-	-
Total Other Financing Sources (Uses).....	4,443,112	4,283,523	2,133,223	2,490,584	431,520	363,885	770,969	1,189,357	(26,827)	(1,439,685)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(121,866)	512,493	429,676	5,101,161	2,750,370	(1,015,842)	1,981,277	(1,245,339)	2,047,035	723,643
Beginning Fund Balances (*).....	3,968,808	3,846,942	4,359,435	4,789,111	9,890,801	12,641,171	11,625,329	13,606,606	12,361,267	14,408,302
Ending Fund Balances.....	\$ 3,846,942	\$ 4,359,435	\$ 4,789,111	\$ 9,890,272	\$ 12,641,171	\$ 11,625,329	\$ 13,606,606	\$ 12,361,267	\$ 14,408,302	\$ 15,131,945

(*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment

**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
FISCAL YEAR ENDED MARCH 31, 2021**



**GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS BY FUNDING SOURCE
FISCAL YEAR ENDED MARCH 31, 2021**



STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 LOCAL ASSISTANCE DISBURSEMENTS BY PROGRAM
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

SCHEDULE 7

	GENERAL	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS	2020-21	2019-20
Education:							
Public School Aid.....	\$ 24,640,469	\$ 3,661,758	\$ 2,995,633	\$ 112,086	\$ -	\$ 31,409,946	\$ 32,901,093
Higher Education.....	3,386,116	-	-	10,047	-	3,396,163	2,481,187
School Tax Relief (STAR).....	-	2,027,354	-	-	-	2,027,354	2,183,688
Other Education.....	185,271	8,783	8,401	-	-	202,455	280,466
Total Education.....	28,211,856	5,697,895	3,004,034	122,133	-	37,035,918	37,846,434
Environment and Recreation:							
Environmental Protection.....	99	-	-	192,481	6,666	199,246	419,218
Parks, Recreation and Historic Preservation..	548	4,679	1,097	-	-	6,324	6,814
Total Environment and Recreation.....	647	4,679	1,097	192,481	6,666	205,570	426,032
General Government:							
Local Government Aid.....	906,389	57,163	-	10,034	-	973,586	1,061,320
Judicial.....	2,903	104,332	-	-	-	107,235	166,113
All Other.....	101,015	3,440	4,226,305	605,185	-	4,935,945	944,533
Total General Government.....	1,010,307	164,935	4,226,305	615,219	-	6,016,766	2,171,966
Public Health:							
Medicaid.....	13,821,708	5,470,905	44,308,235	-	-	63,600,848	63,490,463
Mental Hygiene.....	1,799,845	3,616	166,060	23,790	-	1,993,311	1,953,927
Health.....	704,326	970,546	6,412,718	490,098	3,925	8,581,613	8,249,605
Aging.....	134,293	-	111,214	-	-	245,507	245,254
Total Public Health.....	16,460,172	6,445,067	50,998,227	513,888	3,925	74,421,279	73,939,249
Public Safety:							
Criminal Justice.....	115,471	160,823	110,865	-	-	387,159	413,792
Emergency Management Service.....	4,363	41,700	1,865,032	48,403	4,400	1,963,898	1,434,442
Prisons and Reformatories.....	7,332	-	-	-	-	7,332	5,536
Total Public Safety.....	127,166	202,523	1,975,897	48,403	4,400	2,358,389	1,853,770
Public Welfare:							
Welfare.....	2,932,211	254	4,018,174	-	-	6,950,639	6,950,776
Public Housing.....	4,008	155	16,956	604,599	-	625,718	492,990
Employment Services.....	8,481	-	130,508	-	-	138,989	149,738
Total Public Welfare.....	2,944,700	409	4,165,638	604,599	-	7,715,346	7,593,504
Support and Regulate Business:							
Commerce, Industry & Agriculture.....	119,363	-	7,225	663,936	-	790,524	1,015,906
Regulate Businesses.....	-	49,060	-	21,052	-	70,112	98,361
Total Support and Regulate Business.....	119,363	49,060	7,225	684,988	-	860,636	1,114,267
Transportation:							
Traffic Safety.....	-	-	15,047	-	-	15,047	15,136
Transportation.....	106,588	3,541,533	50,197	1,920,218	523,861	6,142,397	5,158,051
Total Transportation.....	106,588	3,541,533	65,244	1,920,218	523,861	6,157,444	5,173,187
Total.....	\$ 48,980,799	\$ 16,106,101	\$ 64,443,667	\$ 4,701,929	\$ 538,852	\$ 134,771,348	\$ 130,118,409

STATE OF NEW YORK
 ALL FUNDS BY FUND TYPE
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 8

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
GOVERNMENTAL FUNDS:								
General Fund.....	\$ 77,089,532,614	\$ 115,312,122,920	\$ 37,065,302,992	\$ 1,203,963,554	\$ (521,859,386)	\$ 67,702,767,876	\$ 88,315,688,834	\$ 67,878,829,019
Special Revenue - Federal.....	215,208,601,541	116,386,726,000	51,656,254,506	7,732,906,441	-	71,122,805,195	216,549,174,281	71,122,934,754
Special Revenue - State.....	20,453,500,664	35,234,028,862	6,753,793,762	29,140,305	(982,494,670)	24,804,042,742	23,176,338,657	24,786,449,968
Debt Service.....	3,648,986,628	20,686,250,000	3,648,983,064	-	-	13,591,336,145	7,094,917,419	13,320,793,789
Capital Projects - Federal.....	10,079,084,799	3,097,000,000	3,657,008	-	-	1,968,637,345	11,203,790,446	1,968,637,345
Capital Projects - State.....	62,540,577,055	14,643,577,000	123,642,474	425,996,784	-	11,003,855,442	66,482,652,923	11,006,525,096
Special Emergency.....	3,040,000,000	30,000,000,000	3,000,000,000	(9,393,507,298)	-	-	20,646,492,702	-
TOTAL GOVERNMENTAL FUNDS.....	392,060,283,301	335,359,704,782	102,251,633,806	(1,500,214)	(1,504,354,056)	190,193,444,745	433,469,055,262	190,084,169,971
PROPRIETARY FUNDS:								
Enterprise.....	2,453,160,245	60,124,623,000	27,416,712	14,614	11,000,000,000	71,807,880,043	1,742,501,104	71,807,884,855
Internal Service.....	924,318,959	1,363,294,400	604,580,820	1,485,600	-	716,811,713	967,706,426	716,347,372
TOTAL PROPRIETARY FUNDS.....	3,377,479,204	61,487,917,400	631,997,532	1,500,214	11,000,000,000	72,524,691,756	2,710,207,530	72,524,232,227
FIDUCIARY FUNDS:								
Private Purpose Trust.....	1,469,401	1,836,000	1,453,157	-	-	388,230	1,464,014	388,230
Pension Trust.....	9,791,343	141,564,000	4,840,511	-	-	136,835,931	9,678,901	136,835,931
Agency.....	2,124,757,734	3,899,909,900	729,043,844	-	-	2,718,488,823	2,577,134,967	2,718,500,197
TOTAL FIDUCIARY FUNDS.....	2,136,018,478	4,043,309,900	735,337,512	-	-	2,855,712,984	2,588,277,882	2,855,724,358
GRAND TOTAL - ALL FUNDS.....	\$ 397,573,780,983	\$ 400,890,932,082	\$ 103,618,968,850	\$ -	\$ 9,495,645,944	\$ 265,573,849,485	\$ 438,767,540,674	\$ 265,464,126,556

(*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
GENERAL:								
Addiction Services and Supports, Office of.....	\$ 141,917,795	\$ 563,499,000	\$ 113,954,578	\$ 5,200,000	\$ -	\$ 419,431,240	\$ 177,230,977	\$ 419,365,271
Adirondack Park Agency.....	416,662	5,034,000	271,843	226,501	-	4,482,867	922,453	4,482,867
Aging, State Office for the.....	61,513,180	148,698,500	3,217,854	35,072,000	(636,002)	163,938,531	77,491,293	163,935,400
Agriculture and Markets, Department of.....	59,173,729	73,077,500	3,714,849	5,644,009	-	58,486,914	75,693,475	58,486,847
Alcoholic Beverage Control, Division of.....	3,096,430	13,313,000	3,550,967	-	-	9,185,134	3,673,329	9,194,759
Arts, Council on the.....	14,573,418	45,274,000	381,921	-	-	34,704,944	24,760,553	34,607,447
Budget, Division of the.....	4,835,095	28,788,000	4,110,348	-	-	24,473,516	5,039,231	24,473,516
Children and Family Services, Office of.....	1,715,353,697	2,048,805,659	277,374,221	127,022,751	(4,165,151)	1,835,001,530	1,774,641,205	1,834,961,593
City University of New York.....	1,214,373,574	1,662,590,800	10,315,595	9,361,853	-	2,275,158,557	600,852,075	2,275,158,557
Civil Service, Department of.....	2,355,545	17,840,000	653,763	2,326,000	-	17,046,501	4,821,281	17,046,501
Correction, State Commission of.....	1,046,901	2,955,000	355,174	675,000	-	3,251,379	1,070,348	3,251,379
Corrections and Community Supervision, Department of.....	164,509,626	2,753,048,000	137,312,333	1,568,255	-	1,271,223,921	1,510,589,627	1,271,046,647
Criminal Justice Services, Division of.....	191,834,636	185,961,500	7,162,298	993,088	-	141,414,290	230,212,636	141,414,290
Economic Development, Department of.....	192,200,251	69,736,165	2,400,227	(4,850,000)	-	50,693,512	203,992,977	50,694,099
Education, State Department of.....	2,335,315,215	26,682,431,850	18,409,139	(193,528,977)	-	24,870,957,443	3,934,851,486	24,852,074,363
Elections, Board of.....	15,426,625	8,559,000	176,200	4,114,000	-	19,829,091	8,094,334	19,814,798
Employee Relations, Office of.....	85,868,383	39,819,000	4,554,068	(3,558,172)	-	27,776,252	89,798,891	27,776,252
Environmental Conservation, Department of.....	56,646,682	136,877,000	28,646,693	278,182	-	107,164,532	57,990,639	107,164,532
Executive Chamber.....	5,078,092	17,854,000	4,707,209	-	-	13,526,491	4,698,399	13,526,491
Financial Services, Department of.....	82,000	-	-	-	-	-	82,000	-
Franchise Oversight Board.....	1,639,964	-	-	-	-	2,850	1,637,114	2,850
General Services, Office of.....	24,743,285	103,722,000	21,078,478	608,345	-	82,153,245	25,841,907	82,180,000
Governor's Judicial Screening Committee.....	33,276	38,000	34,176	-	-	3,226	33,874	3,226
Greenway Heritage Conservancy.....	-	200,000	-	-	-	200,000	-	200,000
Health, Department of.....	54,801,585,330	48,271,317,171	27,311,412,227	1,088,464,227	(423,728,233)	16,474,672,373	59,951,553,895	16,639,489,721
Higher Education Services Corporation.....	557,136,469	1,082,536,000	367,203,346	-	-	855,261,230	417,207,893	855,261,230
Homeland Security and Emergency Services, Division of.....	1,357,748,193	154,900,000	-	70,000	-	3,746,295	1,508,971,898	3,746,295
Housing and Community Renewal, Division of.....	71,122,012	15,934,000	6,522,537	47,325,000	-	53,196,586	74,661,889	53,196,586
Hudson River Valley Greenways.....	946,718	321,000	84,123	-	-	214,601	968,994	214,601
Human Rights, Division of.....	1,593,321	12,135,000	1,203,273	-	-	10,436,382	2,088,666	10,436,382
Indigent Legal Services, Office of.....	-	-	-	47,000,000	-	-	47,000,000	-
Information Technology Services, Office of.....	128,616,790	579,524,000	60,739,917	1,155,148	-	533,976,856	114,579,165	533,890,503
Inspector General, Office of the State.....	1,134,852	6,944,000	1,113,622	125,000	-	6,049,078	1,041,152	6,049,078
Interest on Lawyer Account (IOLA).....	3,787,500	15,000,000	1,059,376	-	-	12,806,380	4,921,744	12,806,380
Joint Commission on Public Ethics.....	538,401	5,582,000	391,249	-	-	4,914,810	814,342	4,914,810
Judicial Conduct.....	494,570	6,026,000	277,242	-	-	5,804,147	439,181	5,795,787
Judicial Nomination.....	30,000	30,000	30,000	-	-	-	30,000	-
Justice Center for the Protection of People with Special Needs.....	6,478,185	45,516,000	4,254,555	1,414,468	-	43,431,759	5,724,339	43,431,759
Labor, Department of.....	22,996,583	12,382,000	3,577,567	1,140,000	-	8,701,976	24,239,040	8,731,976
Law, Department of.....	1,564,139	111,883,000	393,207	1,391,078	-	108,379,480	6,065,530	108,459,066
Legislative Bill Drafting Commission.....	11,329,157	14,219,938	-	-	-	14,271,732	11,277,363	14,271,732
Legislature - Assembly.....	59,057,321	124,847,155	-	-	-	66,125,736	117,778,740	117,778,740
Legislature - Senate.....	70,937,840	105,874,524	-	-	-	93,041,489	83,770,875	93,041,489
Lieutenant Governor.....	60,559	930,189	56,426	-	-	888,123	46,199	888,123
Medicaid Inspector General, Office of.....	2,040,520	19,426,000	1,297,254	-	-	18,442,804	1,726,462	18,442,804
Mental Health, Office of.....	1,156,780,184	3,832,591,000	990,360,409	2,928,615	-	2,606,073,671	1,395,865,719	2,606,250,065
Military and Naval Affairs, Division of.....	13,918,981	26,354,000	6,384,304	904,000	-	20,855,640	13,937,037	20,853,982
Miscellaneous State Agencies and Public Authorities.....	7,082,835,152	12,116,557,613	5,890,962,835	1,454,732,006	(88,058,000)	6,948,768,819	7,726,335,117	6,971,729,419
Motor Vehicles, Department of.....	2,384,821	12,617,000	1,632,112	-	-	11,255,750	2,113,959	11,255,750
National and Community Service.....	1,229,866	768,300	91,281	-	-	522,638	1,384,247	518,364
NYS Gaming Commission.....	2,080,575	6,431,000	1,738,711	-	-	5,735,719	1,037,145	5,735,719
Parks, Recreation and Historic Preservation, Office of.....	46,939,075	131,216,000	24,577,628	-	-	113,412,360	40,165,087	113,412,360
People With Developmental Disabilities, Office for.....	3,582,400,760	4,889,342,000	1,145,935,686	118,939	-	1,705,037,508	5,620,888,505	1,705,015,388
Prevention of Domestic Violence, Office for the.....	2,160,384	3,238,000	69,336	-	-	2,735,440	2,593,608	2,735,440
Public Benefit Corporations.....	654,574,065	461,511,429	344,799,849	45,496,043	-	158,374,001	658,407,687	158,374,001
Public Employment Relations Board.....	396,135	3,672,000	268,629	-	-	3,533,319	266,190	3,533,319
State Comptroller, Office of the.....	5,613,572	173,288,000	791,950	-	-	163,692,841	14,416,781	163,693,089
State Police, Division of.....	81,314,371	735,899,000	53,555,660	-	-	357,184,020	406,473,691	357,183,597
State University of New York.....	58,537,211	2,344,221,000	1,230,479	(1,866,648,749)	(5,272,000)	448,780,265	80,826,718	448,775,346
State, Department of.....	46,802,687	29,579,751	979,016	260,220	-	22,460,860	53,202,582	22,460,860
Statewide Financial System.....	1,036,257	31,161,000	7,007	83,000	-	27,845,997	4,427,253	27,845,997
Tax Appeals, Division of.....	300,610	3,040,000	186,840	-	-	2,950,364	203,406	2,950,364
Taxation and Finance, Department of.....	39,126,518	271,942,000	19,851,754	-	-	252,249,940	38,966,824	252,251,440
Temporary and Disability Assistance, Office of.....	693,249,087	1,591,512,000	162,502,466	437,181,192	-	1,923,398,656	636,041,157	1,923,398,656

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(**) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
GENERAL (continued):								
Transportation, Department of.....	103,175,078	490,622,800	2,391	1,395,093	-	418,081,160	177,109,420	418,073,749
Unified Court System.....	107,737,643	2,942,939,076	15,013,176	(51,599,341)	-	2,698,750,548	285,313,654	2,706,166,214
Veterans' Services, Division of.....	15,281,354	19,006,000	2,315,916	-	-	14,260,509	17,710,929	14,230,203
Victim Services, Office of.....	368,159	-	-	-	-	77,000	291,159	77,000
Welfare Inspector General, Office of.....	57,548	1,162,000	47,705	(125,000)	-	610,044	436,799	610,044
TOTAL GENERAL.....	77,089,532,614	115,312,122,920	37,065,302,992	1,203,963,554	(521,859,386)	67,702,767,876	88,315,688,834	67,878,829,019
SPECIAL REVENUE - FEDERAL:								
Addiction Services and Supports, Office of.....	87,291,410	151,970,000	39,871,099	1,926,000	-	122,582,676	78,733,635	122,582,676
Adirondack Park Agency.....	1,902,273	-	1,202,274	240,000	-	-	939,999	-
Aging, State Office for the.....	177,035,064	124,739,000	20,368,173	68,407,918	-	120,328,819	229,484,990	120,329,921
Agriculture and Markets, Department of.....	164,856,664	50,922,000	35,626,355	3,516,252	-	15,312,271	168,356,290	15,312,271
Alcoholic Beverage Control, Division of.....	-	-	-	58,593	-	-	58,593	-
Arts, Council on the.....	5,038,765	1,513,000	936,650	-	-	1,264,030	4,351,085	1,264,030
Budget, Division of the.....	-	-	-	2,855,918	-	-	2,855,918	-
Children and Family Services, Office of.....	3,691,827,989	1,895,211,000	499,494,131	46,493,582	-	1,049,337,297	4,084,701,143	1,049,324,375
City University of New York.....	1,388,759	-	264,345	426,169	-	1,072,791	477,792	1,072,791
Civil Service, Department of.....	-	-	-	3,030,290	-	357,265	2,673,025	357,265
Correction, State Commission of.....	-	-	-	291,000	-	-	291,000	-
Corrections and Community Supervision (Corcraft), Department of.....	-	-	-	1,379,000	-	-	1,379,000	-
Corrections and Community Supervision, Department of.....	127,625,718	40,500,000	3,114,659	2,329,451,893	-	2,046,370,729	448,092,223	2,046,372,031
Criminal Justice Services, Division of.....	249,389,880	51,351,000	60,463,774	5,748,410	-	20,696,480	225,329,036	20,765,634
Developmental Disabilities Planning Council.....	8,844,291	4,750,000	542,523	-	-	3,999,177	9,052,591	3,999,177
Economic Development, Department of.....	26,685,051	14,000,000	2	136,000	-	6,836,851	33,984,198	6,804,774
Education, State Department of.....	9,465,828,825	6,209,622,000	2,205,586,947	(10,917,142)	-	3,210,725,994	10,248,220,742	3,210,915,243
Elections, Board of.....	43,024,266	21,839,000	2,024,928	20,568,000	-	29,033,049	54,373,289	29,033,049
Employee Relations, Office of.....	-	-	-	26,525	-	-	26,525	-
Environmental Conservation, Department of.....	304,524,218	81,198,000	5,051,058	19,675,311	-	52,107,763	348,238,708	52,107,763
Financial Services, Department of.....	1,614,067	1,400,000	-	-	-	-	3,014,067	-
General Services, Office of.....	19,125,203	14,230,000	1,394,200	10,779,921	-	27,545,304	15,195,800	27,545,304
Health, Department of.....	177,978,895,108	99,234,150,000	48,155,100,016	(880,909,871)	-	52,125,609,275	176,051,425,946	52,125,907,353
Higher Education Services Corporation.....	4,333,404	1,400,000	1,522,155	3,492	-	1,504,188	2,710,553	1,434,540
Homeland Security and Emergency Services, Division of.....	9,283,629,788	1,253,774,000	-	443,965,343	-	1,899,277,021	9,082,092,110	1,899,277,021
Housing and Community Renewal, Division of.....	234,362,115	88,808,000	11,728,240	77,361,382	-	58,933,744	329,869,513	58,933,744
Human Rights, Division of.....	8,148,279	6,018,000	1,529,547	9,000	-	4,623,446	8,022,286	4,623,446
Information Technology Services, Office of.....	2,251,395	500,000	100	56,587,149	-	2,646,325	56,692,119	2,646,325
Inspector General, Office of the State.....	-	-	-	439,000	-	-	439,000	-
Justice Center for the Protection of People with Special Needs.....	5,455,776	2,047,000	1,212,677	3,336,359	-	2,478,382	7,149,076	2,478,382
Labor, Department of.....	1,267,493,957	1,783,762,000	650,001	4,200,321,434	-	4,927,140,071	2,323,787,319	4,927,073,686
Lake George Park Commission.....	27,498	-	-	-	-	-	27,498	-
Law, Department of.....	17,659,235	42,912,000	-	738,000	-	35,637,049	25,672,186	35,414,980
Medicaid Inspector General, Office of.....	21,276,720	30,595,000	3,602,821	337,582	-	28,962,039	19,644,442	28,962,039
Mental Health, Office of.....	36,506,772	58,934,000	542,237	121,741,761	-	150,117,061	66,523,235	150,117,061
Military and Naval Affairs, Division of.....	43,403,892	42,780,000	-	25,584,800	-	64,904,307	46,864,385	64,899,332
Miscellaneous State Agencies and Public Authorities.....	7,481,459,188	-	-	180,000,000	-	102,221,472	7,559,237,716	102,221,472
Motor Vehicles, Department of.....	127,711,912	42,693,000	32,859,945	(11,043,728)	-	20,108,414	106,392,825	20,108,414
National and Community Service.....	139,797,261	30,005,000	35,923,214	-	-	8,871,374	125,007,673	8,871,374
Parks, Recreation and Historic Preservation, Office of.....	44,332,402	10,453,000	1,545	7,228,846	-	10,398,414	51,614,289	10,398,414
People With Developmental Disabilities, Office for.....	13,336,846	751,000	-	16,269,890	-	(85,816)	30,443,352	(85,816)
Prevention of Domestic Violence, Office for the.....	1,599,999	1,600,000	1,600,000	1,222,214	-	213,458	2,608,755	213,458
Public Benefit Corporations.....	16,220,773	-	-	12,730,829	-	13,027,732	15,923,870	13,027,732
Public Service, Department of.....	9,462,873	5,500,000	2,390,306	270,206	-	9,996,366	2,846,407	2,846,407
State Police, Division of.....	87,407,249	16,838,000	14,224,976	560,595,984	-	564,417,579	86,198,678	564,417,579
State University of New York.....	581,162,088	442,600,000	106,907,048	101,383,049	-	516,353,407	501,884,682	516,353,407
State, Department of.....	101,937,437	122,251,000	51,000	-	-	78,116,555	146,020,882	78,116,555
Statewide Financial System.....	-	-	-	1,631,277	-	-	1,075,756	555,521
Taxation and Finance, Department of.....	4,774,715	-	-	9,442,223	-	8,817,816	5,399,122	8,817,816
Temporary and Disability Assistance, Office of.....	2,516,014,254	4,119,792,000	395,008,935	289,693,642	-	3,609,772,966	2,920,717,995	3,609,751,716
Transportation, Department of.....	596,050,228	194,857,000	8,893,118	17,300,000	-	70,549,577	728,764,533	70,549,577
Unified Court System.....	30,100,045	19,000,000	3,074,882	5,124,541	-	9,032,593	42,117,111	9,032,593

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
SPECIAL REVENUE - FEDERAL (continued):								
Veterans' Services, Division of.....	5,439,624	2,525,000	1,771,725	278,000	-	1,166,274	5,304,625	1,166,274
Victim Services, Office of.....	171,237,355	168,936,000	1,719,080	(12,829,403)	-	97,018,048	228,606,824	97,018,048
Workers' Compensation Board.....	1,110,910	-	-	-	-	-	1,110,910	-
Welfare Inspector General, Office of.....	-	-	-	-	-	-	-	-
TOTAL SPECIAL REVENUE - FEDERAL.....	215,208,601,541	116,386,726,000	51,656,254,506	7,732,906,441	-	71,122,805,195	216,549,174,281	71,122,934,754
SPECIAL REVENUE - STATE:								
Addiction Services and Supports, Office of.....	31,761,616	19,143,000	9,876,071	30,091	-	7,813,856	33,244,780	7,813,856
Adirondack Park Agency.....	1,500,000	-	-	-	-	-	1,500,000	-
Aging, State Office for the.....	1,729,735	1,230,000	1,230,000	475,000	-	471,685	1,733,048	471,685
Agriculture and Markets, Department of.....	51,770,239	23,573,000	34,556,826	-	-	6,639,543	34,146,870	6,639,543
Arts, Council on the.....	196,000	196,000	-	-	-	337,100	54,900	337,100
Budget, Division of the.....	14,534,543	19,283,000	14,506,398	12,000,000	-	27,319,949	3,991,196	27,319,949
Children and Family Services, Office of.....	209,205,322	59,896,000	34,596,765	940,446	-	15,347,597	220,097,406	15,347,597
City University of New York.....	87,587,690	110,000,000	12,706,176	-	(10,000,000)	100,380,968	74,500,546	100,454,446
Civil Service, Department of.....	841,536	1,140,000	832,474	-	-	154,534	994,528	154,534
Corrections and Community Supervision, Department of.....	32,660,062	33,855,000	32,399,469	32,000	-	1,028,297	33,119,296	1,028,297
Criminal Justice Services, Division of.....	61,213,355	101,600,000	40,024,010	(2,429,888)	-	10,445,414	109,914,023	10,445,414
Economic Development, Department of.....	4,692,129	6,460,000	3,105,094	(1,000,000)	-	834,997	6,212,038	917,504
Education, State Department of.....	562,702,609	5,776,016,000	103,103,826	(30,091)	-	5,811,791,530	423,793,162	5,811,292,072
Elections, Board of.....	10,510,968	-	5,996,414	5,000,000	-	5,520,626	3,993,928	5,520,626
Employee Relations, Office of.....	180,297	1,031,000	180,299	-	-	692,209	338,789	692,209
Environmental Conservation, Department of.....	126,211,651	246,977,000	84,098,166	(2,438,000)	-	157,729,156	128,923,329	157,729,156
Financial Control Board for New York City.....	934,618	3,497,000	908,933	-	-	2,560,717	961,968	2,560,717
Financial Services, Department of.....	62,125,131	437,830,963	44,435,499	(13,773,851)	-	358,446,966	83,299,778	358,448,496
General Services, Office of.....	16,723,374	32,918,000	15,889,406	-	-	10,956,435	22,795,533	10,948,554
Health, Department of.....	12,872,440,659	13,231,302,000	4,899,453,249	(25,838,279)	(2,551,670)	6,690,077,648	14,485,821,813	6,689,672,204
Higher Education Services Corporation.....	28,229,459	56,211,000	23,374,252	1,970,000	-	33,067,813	29,968,394	33,062,072
Homeland Security and Emergency Services, Division of.....	495,323,621	127,233,000	15,675,766	30,007,586	-	101,909,203	534,979,238	101,908,920
Housing and Community Renewal, Division of.....	141,881,201	102,420,000	18,664,855	-	-	68,435,057	157,201,289	68,312,557
Indigent Legal Services, Office of.....	394,528,211	261,273,000	9,441,458	-	-	117,851,598	528,508,155	117,851,598
Information Technology Services, Office of.....	30,000,000	30,000,000	30,000,000	-	-	-	30,000,000	-
Inspector General, Office of the State.....	300,000	300,000	300,000	494,000	-	494,000	300,000	494,000
Interest on Lawyer Account (IOLA).....	29,332,039	47,103,000	24,837,237	-	-	21,814,022	29,783,780	21,814,022
Justice Center for the Protection of People with Special Needs.....	8,448,394	10,509,000	8,399,252	-	-	2,016,724	8,541,418	2,016,724
Labor, Department of.....	34,750,351	74,472,000	383,650	-	-	45,014,201	63,824,500	45,017,191
Lake George Park Commission.....	931,203	2,052,000	481,826	-	-	1,417,549	1,083,828	1,417,549
Law, Department of.....	6,898,184	94,951,000	4,061,341	7,724,448	-	91,287,173	14,225,178	91,178,675
Legislative Bill Drafting Commission.....	1,835,350	-	-	1,500,000	-	939,073	2,396,277	936,907
Legislature - Assembly.....	400,000	300,000	300,000	-	-	-	400,000	-
Legislature - Senate.....	6,475,579	1,800,000	300,000	(1,500,000)	-	-	6,475,579	-
Mental Health, Office of.....	22,625,927	25,262,000	22,398,703	-	-	424,968	25,064,256	424,968
Military and Naval Affairs, Division of.....	8,110,001	11,777,000	6,607,706	-	-	2,927,357	10,351,938	2,927,357
Miscellaneous State Agencies and Public Authorities.....	8,078,785	-	-	-	-	-	8,078,785	-
Motor Vehicles, Department of.....	7,411,900	67,750,000	5,316,711	-	-	60,819,024	9,026,165	60,819,024
NYS Gaming Commission.....	266,555,065	426,083,000	255,883,496	-	-	113,718,940	323,035,629	113,704,087
NYS Racing and Wagering Board.....	-	-	-	-	-	-	-	-
Oil Spill Fund (ENCON).....	-	-	-	-	-	-	-	-
Parks, Recreation and Historic Preservation, Office of.....	54,137,701	95,587,000	1,846,632	-	-	57,760,085	90,117,984	57,760,816
People With Developmental Disabilities, Office for.....	673,045	773,000	589,061	-	-	61,335	795,649	61,335
Prevention of Domestic Violence, Office for.....	40,999	41,000	41,000	76,804	-	-	117,803	-
Public Benefit Corporations.....	970,199,774	1,022,812,000	150,000	1,150,000	(969,943,000)	151,022	1,023,917,752	151,022

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
SPECIAL REVENUE - STATE (continued):								
Public Employment Relations Board.....	369,707	384,000	369,705	-	-	58,083	325,919	58,083
Public Service, Department of.....	28,339,354	100,732,000	21,831,170	(17,000)	-	77,909,488	29,313,696	77,909,488
State Comptroller, Office of the.....	5,900,642	22,841,000	5,269,360	460,000	-	21,069,286	2,862,996	21,069,286
State Police, Division of.....	32,392,194	133,039,000	26,514,515	-	-	51,869,615	87,047,064	51,871,676
State University of New York.....	3,215,111,780	7,669,283,100	831,790,719	(44,444)	-	6,575,716,971	3,476,842,746	6,558,923,716
State, Department of.....	19,615,535	65,354,000	10,410,720	7,497,348	-	54,181,663	27,874,500	54,181,738
Taxation and Finance, Department of.....	27,229,585	121,977,000	25,476,771	-	-	82,138,249	41,591,565	82,138,249
Temporary and Disability Assistance, Office of.....	23,719,908	22,900,000	20,612,528	489,000	-	(88,396)	26,584,776	(88,396)
Transportation, Department of.....	231,796,038	4,020,231,800	37,090,092	-	-	3,551,424,329	663,513,417	3,551,424,329
Unified Court System.....	82,573,024	263,237,999	4,800,566	6,473,939	-	236,218,328	111,266,068	236,412,634
Veterans' Services, Division of.....	77,833	-	-	-	-	-	77,833	-
Victim Services, Office of.....	108,718,458	43,056,000	1,171,636	(108,804)	-	20,999,891	129,494,127	20,999,891
Welfare Inspector General, Office of.....	150,000	150,000	150,000	-	-	-	150,000	-
Workers' Compensation Board.....	10,818,303	206,186,000	1,353,957	-	-	203,886,924	11,763,422	203,896,491
TOTAL SPECIAL REVENUE - STATE.....	20,453,500,664	35,234,028,862	6,753,793,762	29,140,305	(982,494,670)	24,804,042,742	23,176,338,657	24,786,449,968
DEBT SERVICE:								
Miscellaneous State Agencies and Public Authorities.....	3,648,986,628	20,686,250,000	3,648,983,064	-	-	13,591,336,145	7,094,917,419	13,320,793,789
TOTAL DEBT SERVICE.....	3,648,986,628	20,686,250,000	3,648,983,064	-	-	13,591,336,145	7,094,917,419	13,320,793,789
CAPITAL PROJECTS - FEDERAL:								
Environmental Conservation, Department of.....	736,493,592	185,000,000	-	(699,024)	-	36,141,090	884,653,478	36,141,090
General Services, Office of.....	1,348,797	-	-	-	-	-	1,348,797	-
Health, Department of.....	261,391,604	83,000,000	-	-	-	6,384,652	338,006,952	6,384,652
Homeland Security and Emergency Services, Division of.....	199,738,050	-	-	-	-	-	199,738,050	-
Housing and Community Renewal, Division of.....	10,100,498	-	-	-	-	-	10,100,498	-
Information Technology Services, Office of.....	-	150,000,000	-	-	-	2,718,122	147,281,878	2,718,122
Military and Naval Affairs, Division of.....	156,058,571	133,000,000	3,657,008	-	-	35,724,891	249,676,672	35,724,891
Miscellaneous State Agencies and Public Authorities.....	100,000,000	-	-	-	-	-	100,000,000	-
Parks, Recreation and Historic Preservation, Office of.....	38,453,909	20,000,000	-	699,024	-	12,356,739	46,796,194	12,356,739
State University of New York.....	-	-	-	-	-	-	-	-
Transportation, Department of.....	8,575,499,778	2,526,000,000	-	-	-	1,875,311,851	9,226,187,927	1,875,311,851
TOTAL CAPITAL PROJECTS - FEDERAL.....	10,079,084,799	3,097,000,000	3,657,008	-	-	1,968,637,345	11,203,790,446	1,968,637,345
CAPITAL PROJECTS - STATE:								
Addiction Services and Supports, Office of.....	590,342,270	90,000,000	40,148	(23,750,983)	-	46,450,728	610,100,411	46,450,728
Adirondack Park Agency.....	100,000	1,000,000	-	-	-	-	1,100,000	-
Aging, State Office for the.....	1,000,000	-	-	-	-	8,138	991,862	8,138
Agriculture and Markets, Department of.....	325,036,198	19,415,000	-	12,049,376	-	64,727,749	291,772,825	64,717,749
Arts, Council on the.....	19,935,927	-	-	-	-	3,377,769	16,558,158	3,377,769
Children and Family Services, Office of.....	416,325,866	43,453,000	11,367	-	-	19,459,817	440,307,682	19,459,817
City University Construction Fund.....	6,899,118,067	685,459,000	-	-	-	34,837,121	7,549,739,946	34,844,064
Corrections and Community Supervision (Corcraft), Department of.....	6,726,295	3,000,000	-	-	-	-	9,489,211	237,084
Corrections and Community Supervision, Department of.....	596,669,919	422,000,000	1	-	-	309,009,409	709,660,509	308,937,614
Criminal Justice Services, Division of.....	1,428,261	25,000,000	-	-	-	182,681	26,245,580	182,681
Disaster Aid.....	-	-	-	-	-	-	-	-
Economic Development, Department of.....	9,128,855	-	-	-	-	887,654	8,241,201	887,654
Education, State Department of.....	1,946,147,023	61,990,000	-	-	-	118,813,068	1,889,323,955	118,848,889
Elections, Board of.....	12,129,969	16,000,000	-	-	-	9,518,505	18,611,464	9,518,505
Environmental Conservation, Department of.....	4,337,378,904	1,098,150,000	550,980	(115,537,206)	-	450,703,416	4,868,737,302	450,702,216
General Services, Office of.....	671,275,868	234,000,000	1	20,325,000	-	275,886,080	649,714,787	276,885,838
Greenway Heritage Conservancy.....	27,104,920	-	-	500,000	-	24,500,000	3,104,920	24,500,000
Health, Department of.....	3,459,856,013	129,170,000	1,076,113	25,721,751	-	598,628,579	3,015,043,072	598,027,679
Higher Education Services Corporation.....	10,000,000	-	-	-	-	10,000,000	-	10,000,000

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
CAPITAL PROJECTS - STATE (continued):								
Homeland Security and Emergency Services, Division of.....	443,834,128	28,000,000	-	210,678,959	-	153,037,929	529,475,158	152,841,929
Housing and Community Renewal, Division of.....	2,798,796,957	102,200,000	-	(13,573,394)	-	556,526,553	2,330,897,010	556,526,553
Hudson River Valley Greenways.....	17,218,062	-	-	(10,000,000)	-	-	7,218,062	-
Information Technology Services, Office of.....	135,357,963	95,700,000	-	8,795,693	-	97,728,898	142,124,758	97,721,738
Inspector General, Office of the State.....	38,938	-	-	575,000	-	544,339	69,599	544,339
Labor, Department of.....	2,230,000	-	-	-	-	110,743	2,119,257	110,743
Lake George Park Commission.....	1,054,975	-	-	650,000	-	1,108,530	596,445	1,108,530
Law, Department of.....	8,803,596	6,000,000	-	804,458	-	3,363,541	12,244,513	3,363,541
Mental Health, Office of.....	3,022,152,618	534,472,000	-	(937,425,201)	-	318,613,514	2,300,585,903	318,790,859
Military and Naval Affairs, Division of.....	73,269,961	92,200,000	191,005	156,171,041	-	180,950,992	140,499,005	180,950,992
Miscellaneous State Agencies and Public Authorities.....	6,573,743,677	300,500,000	52,887,061	(202,412,735)	-	312,861,691	6,306,082,190	312,861,691
Motor Vehicles, Department of.....	38,705,964	322,222,000	185,816	20,006,655	-	312,841,631	67,907,172	312,838,443
National and Community Service.....	-	-	-	-	-	-	-	-
Oil Spill Fund (ENCON).....	12,126,986	21,200,000	619	-	-	18,602,243	14,724,124	18,602,243
Parks, Recreation and Historic Preservation, Office of.....	683,840,064	206,900,000	-	90,821,981	-	279,969,557	701,592,468	279,951,988
People With Developmental Disabilities, Office for.....	615,306,790	108,600,000	-	(178,288,880)	-	100,228,281	445,389,629	100,158,798
Public Benefit Corporations.....	17,034,589,507	3,543,057,000	3,400,861	1,343,625,139	-	2,668,826,098	19,249,044,687	2,668,826,098
State Comptroller, Office of the.....	7,681,897	10,100,000	-	-	-	4,322,548	13,459,349	4,322,548
State Police, Division of.....	157,713,039	128,500,000	60,657,616	250,464	-	38,080,378	187,725,508	38,077,141
State University of New York Construction Fund.....	3,343,975,277	1,387,398,000	-	(192,300,000)	-	583,671,431	3,955,401,846	586,068,682
State University of New York.....	682,447,980	46,038,000	4,259,652	192,700,000	-	295,024,636	621,901,692	295,007,698
State, Department of.....	523,665,310	102,000,000	3	16,230,000	-	30,815,228	611,080,079	30,815,228
Temporary and Disability Assistance, Office of.....	199,056,647	128,000,000	1	8,146,672	-	65,034,697	270,168,621	65,034,697
Transportation, Department of.....	6,702,121,364	4,626,853,000	143,191	(8,766,986)	-	2,976,686,293	8,343,377,894	2,976,687,536
Unified Court System.....	69,741,985	25,000,000	238,039	-	-	28,161,556	66,342,390	28,210,320
Workers' Compensation Board.....	63,399,015	-	-	-	-	9,516,336	53,882,679	9,516,336
TOTAL CAPITAL PROJECTS - STATE.....	62,540,577,055	14,643,577,000	123,642,474	425,996,784	-	11,003,855,442	66,482,652,923	11,006,525,096
SPECIAL EMERGENCY:								
Miscellaneous State Agencies and Public Authorities.....	3,040,000,000	30,000,000,000	3,000,000,000	(9,403,507,298)	-	-	20,636,492,702	-
Motor Vehicles, Department of.....	-	-	-	10,000,000	-	-	10,000,000	-
TOTAL SPECIAL EMERGENCY.....	3,040,000,000	30,000,000,000	3,000,000,000	(9,393,507,298)	-	-	20,646,492,702	-
TOTAL GOVERNMENTAL FUNDS.....	\$ 392,060,283,301	\$ 335,359,704,782	\$ 102,251,633,806	\$ (1,500,214)	\$ (1,504,354,056)	\$ 190,193,444,745	\$ 433,469,055,262	\$ 190,084,169,971
ENTERPRISE:								
Aging, State Office for the.....	100,000	100,000	100,000	-	-	-	100,000	-
Agriculture and Markets, Department of.....	28,243,261	26,630,000	5,382,653	14,614	-	4,764,168	44,741,054	4,763,208
Children and Family Services, Office of.....	1,298,233	515,000	493,404	-	-	11,979	1,307,850	11,979
Corrections and Community Supervision (Corcraft), Department of.....	413,701	742,000	409,292	-	-	141,643	604,766	141,643
Corrections and Community Supervision, Department of.....	7,002,474	52,701,000	3,099,040	-	-	45,169,828	11,434,606	45,176,103
Developmental Disabilities Planning Council.....	9,879	10,000	9,879	-	-	-	10,000	-
General Services, Office of.....	1,099,063	3,162,000	1,032,984	-	-	1,197,328	2,030,751	1,197,328
Information Technology Services, Office of.....	4,000,000	4,000,000	4,000,000	-	-	-	4,000,000	-
Justice Center for the Protection of People with Special Needs.....	500,000	500,000	500,000	-	-	-	500,000	-
Labor, Department of.....	2,393,636,407	60,000,000,000	3,686,025	-	11,000,000,000	71,741,075,356	1,648,875,026	71,741,075,356
Mental Health, Office of.....	7,373,257	8,606,000	7,274,924	-	-	987,424	7,716,909	986,921
Parks, Recreation and Historic Preservation, Office of.....	7,987,479	25,000,000	-	-	-	14,278,358	18,709,121	14,278,358
People With Developmental Disabilities, Office for.....	1,496,491	2,657,000	1,428,511	-	-	253,959	2,471,021	253,959
TOTAL ENTERPRISE.....	2,453,160,245	60,124,623,000	27,416,712	14,614	11,000,000,000	71,807,880,043	1,742,501,104	71,807,884,855
INTERNAL SERVICE:								
Budget, Division of the.....	179,295	1,650,000	59,742	-	-	1,332,963	436,590	1,332,963
Children and Family Services, Office of.....	10,353,999	22,162,000	9,918,379	-	-	13,878,247	8,719,373	13,886,781
Civil Service, Department of.....	17,027,345	39,761,000	14,470,499	-	-	22,545,522	19,772,324	22,545,522
Corrections and Community Supervision (Corcraft), Department of.....	14,755,480	74,895,000	8,321,084	-	-	61,121,167	20,208,229	61,112,642

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STATE OF NEW YORK
 ALL FUNDS BY BUSINESS UNIT
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)
 BUDGET PERIOD ENDED MARCH 31, 2021

SCHEDULE 9
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2020	ADD: APPROPRIATIONS BY 2020 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2020 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2020-21 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2021	BUDGET PERIOD 2020-21 DISBURSEMENTS
INTERNAL SERVICE (continued):								
Corrections and Community Supervision, Department of.....	9,733,256	9,000,000	605,683	-	-	5,912,797	12,214,776	5,912,797
Education, State Department of.....	13,938,457	33,663,000	12,516,913	-	-	19,904,945	15,179,599	19,985,681
Employee Relations, Office of.....	954,186	1,947,000	912,783	-	-	908,571	1,079,832	908,571
Environmental Conservation, Department of.....	95,000	95,000	95,000	-	-	-	95,000	-
General Services, Office of.....	491,902,052	862,440,000	460,231,051	-	-	360,592,295	533,518,706	360,679,584
Information Technology Services, Office of.....	269,114,424	151,636,000	20,651,292	2,077,324	-	136,695,599	265,480,857	136,698,455
Labor, Department of.....	4,443,569	4,260,000	258,932	-	-	7,913,293	531,344	7,913,293
Law, Department of.....	993,887	16,700,000	109,530	(591,724)	-	16,074,393	918,240	16,074,393
Mental Health, Office of.....	1,805,964	2,597,000	1,782,054	-	-	311,982	2,308,928	311,982
Motor Vehicles, Department of.....	4,150,770	5,300,000	4,110,704	-	-	414,625	4,925,441	414,625
People With Developmental Disabilities, Office for.....	348,001	348,000	348,000	-	-	-	348,001	-
Prevention of Domestic Violence, Office for the.....	550,909	904,000	536,218	-	-	377,175	541,516	377,175
State Comptroller, Office of the.....	7,784,046	36,994,000	5,117,491	-	-	31,923,183	7,737,372	31,923,183
State University of New York.....	6,943,887	24,300,000	2,578,795	-	-	16,817,558	11,847,534	16,182,327
Taxation and Finance, Department of.....	69,244,432	74,642,400	61,956,670	-	-	20,087,398	61,842,764	20,087,398
TOTAL INTERNAL SERVICE.....	924,318,959	1,363,294,400	604,580,820	1,485,600	-	716,811,713	967,706,426	716,347,372
TOTAL PROPRIETARY FUNDS.....	\$ 3,377,479,204	\$ 61,487,917,400	\$ 631,997,532	\$ 1,500,214	\$ 11,000,000,000	\$ 72,524,691,756	\$ 2,710,207,530	\$ 72,524,232,227
PRIVATE PURPOSE TRUST:								
Agriculture and Markets, Department of.....	1,469,401	1,836,000	1,453,157	-	-	388,230	1,464,014	388,230
TOTAL PRIVATE PURPOSE TRUST.....	1,469,401	1,836,000	1,453,157	-	-	388,230	1,464,014	388,230
PENSION TRUST:								
State Comptroller, Office of the.....	9,791,343	141,564,000	4,840,511	-	-	136,835,931	9,678,901	136,835,931
TOTAL PENSION TRUST.....	9,791,343	141,564,000	4,840,511	-	-	136,835,931	9,678,901	136,835,931
AGENCY:								
City University of New York.....	756,557,154	2,881,659,900	75,514,026	-	-	2,422,254,431	1,140,448,597	2,422,265,805
General Services, Office of.....	750,000	750,000	750,000	-	-	-	750,000	-
Health, Department of.....	-	250,000,000	-	-	-	250,000,000	-	250,000,000
Housing and Community Renewal, Division of.....	133,099,298	-	-	(17,759,547)	-	66,127	115,273,624	66,127
Law, Department of.....	8,663,800	10,000,000	-	10,000,000	-	11,099,481	17,564,319	11,099,481
Mental Health, Office of.....	10,000,000	-	-	-	-	-	10,000,000	-
Miscellaneous State Agencies and Public Authorities.....	644,455,213	697,500,000	644,455,219	-	-	3,338,104	694,161,890	3,338,104
Public Benefit Corporations.....	2,509,967	-	-	7,759,547	-	9,689,547	579,967	9,689,547
Temporary and Disability Assistance, Office of.....	11,388,775	10,000,000	8,324,599	-	-	3,580,879	9,483,297	3,580,879
Transportation, Department of.....	557,333,527	50,000,000	-	-	-	18,460,254	588,873,273	18,460,254
TOTAL AGENCY.....	2,124,757,734	3,899,909,900	729,043,844	-	-	2,718,488,823	2,577,134,967	2,718,500,197
TOTAL FIDUCIARY FUNDS.....	\$ 2,136,018,478	\$ 4,043,309,900	\$ 735,337,512	\$ -	\$ -	\$ 2,855,712,984	\$ 2,588,277,882	\$ 2,855,724,358
GRAND TOTAL - ALL FUNDS.....	\$ 397,573,780,983	\$ 400,890,932,082	\$ 103,618,968,850	\$ -	\$ 9,495,645,944	\$ 265,573,849,485	\$ 438,767,540,674	\$ 265,464,126,556

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STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND AND BUSINESS UNIT
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 10

	<u>BALANCE APRIL 1, 2020</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2021</u>
GENERAL:				
Public Benefit Corporations.....	\$ 11,676	\$ -	\$ 50	\$ 11,626
Total General.....	11,676	-	50	11,626
SPECIAL REVENUE:				
NYS Gaming Commission.....	9,000	-	-	9,000
Total Special Revenue.....	9,000	-	-	9,000
CAPITAL PROJECTS:				
Environmental Conservation	20,628	-	360	20,268
Office of General Services.....	-	14	14	-
Transportation.....	23,382	-	-	23,382
Public Benefit Corporations	94,241	-	-	94,241
Total Capital Projects.....	138,251	14	374	137,891
TOTAL GOVERNMENTAL FUNDS	\$ 158,927	\$ 14	\$ 424	\$ 158,517

STATE OF NEW YORK
GENERAL FUND
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 11

	<u>BALANCE APRIL 1, 2020</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2021</u>
LOCAL ASSISTANCE ACCOUNT:				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of U.S. Army Fort Drum.....	\$ 791	\$ -	\$ -	\$ 791
Urban Development Corporation:				
New York Racing Association - Economic Development.....	8,400	-	-	8,400
Regional Economic Development Program.....	1,150	-	-	1,150
TOTAL LOCAL ASSISTANCE ACCOUNT.....	10,341	-	-	10,341
STATE OPERATIONS ACCOUNT:				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of U.S. Army Fort Drum.....	173	-	50	123
Urban Development Corporation:				
High Risk Targeted Investment Program.....	1,162	-	-	1,162
TOTAL STATE OPERATIONS ACCOUNT.....	1,335	-	50	1,285
TOTAL GENERAL FUND.....	\$ 11,676	\$ -	\$ 50	\$ 11,626

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 12

	<u>BALANCE APRIL 1, 2020</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2021</u>
STATE LOTTERY FUND:				
NYS GAMING COMMISSION:				
New York Racing Association.....	\$ 9,000	\$ -	\$ -	\$ 9,000
TOTAL STATE LOTTERY FUND.....	9,000	-	-	9,000
TOTAL SPECIAL REVENUE FUNDS.....	\$ 9,000	\$ -	\$ -	\$ 9,000

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS

SCHEDULE 13

SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

	<u>BALANCE APRIL 1, 2020</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2021</u>
STATE CAPITAL PROJECTS FUND:				
ENVIRONMENTAL CONSERVATION:				
Municipal Share of Flood Control Projects.....	\$ 20,628	\$ -	\$ 360	\$ 20,268
OFFICE OF GENERAL SERVICES:				
Real Property Maintenance and Improvements.....	-	14	14	-
TRANSPORTATION:				
Railroad Crossing Improvement Projects - Municipal Share.....	1,116	-	-	1,116
South Bronx Oak Point Link Project - New York.....	630	-	-	630
PUBLIC BENEFIT CORPORATIONS:				
Niagara Frontier Transportation Authority:				
Construction/Rehabilitation of Buffalo International Airport.....	3,380	-	-	3,380
Ogdensburg Bridge and Port Authority:				
Construction and Development of Port Facilities.....	18,630	-	-	18,630
Port Authority of New York and New Jersey - South Bronx Oak Point Link.....	3,230	-	-	3,230
Port of Oswego Authority - Construction and Development of Port Facilities.....	3,506	-	-	3,506
Urban Development Corporation:				
Construction of Binghamton Multi - Use Stadium.....	1,400	-	-	1,400
Construction of Rochester Convention Center.....	39,433	-	-	39,433
Economic Development Program.....	348	-	-	348
High Risk Targeted Investment Program.....	11,234	-	-	11,234
Minority and Women Business Assistance.....	3,010	-	-	3,010
South Street Seaport Development Project.....	1,929	-	-	1,929
Strategic Resurgence	1,146	-	-	1,146
Urban Community Development.....	6,995	-	-	6,995
TOTAL STATE CAPITAL PROJECTS FUND	<u>116,615</u>	<u>14</u>	<u>374</u>	<u>116,255</u>
DEDICATED HIGHWAY AND BRIDGE TRUST FUND:				
TRANSPORTATION:				
Industrial Access Program.....	21,636	-	-	21,636
TOTAL DEDICATED HIGHWAY AND BRIDGE TRUST FUND.....	<u>21,636</u>	<u>-</u>	<u>-</u>	<u>21,636</u>
TOTAL CAPITAL PROJECTS FUNDS	<u>\$ 138,251</u>	<u>\$ 14</u>	<u>\$ 374</u>	<u>\$ 137,891</u>

STATE OF NEW YORK
STATEMENT OF STATE GENERAL OBLIGATION DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 14

PURPOSE	PRINCIPAL					INTEREST	
	OUTSTANDING APRIL 1, 2020	ISSUED		REDEEMED	OUTSTANDING MARCH 31, 2021	MARCH 31, 2021	MARCH 31, 2020
		REFUNDING (*)	ISSUANCE				
Accelerated Capacity and Transportation							
Improvements of the Nineties (ACTION)	\$ 11,445,463	\$ -	\$ -	\$ 1,453,289	\$ 9,992,174	\$ 347,904	\$ 631,951
Clean Water/Clean Air:							
Air Quality	1,795,354	-	-	473,909	1,321,445	51,873	70,903
Safe Drinking Water	-	-	-	-	-	-	-
Clean Water	298,595,491	2,288,397	2,431,568	25,653,557	277,661,899	10,523,338	12,026,942
Solid Waste	16,287,590	8,750	-	5,212,385	11,083,955	623,816	893,036
Environmental Restoration	40,070,447	243,127	1,139,735	4,751,754	36,701,555	1,481,173	1,785,718
Energy Conservation Through Improved Transportation (**):							
Rapid Transit and Rail Freight	1,198,754	-	-	176,298	1,022,456	48,908	56,567
Environmental Quality (1972):							
Air	3,184	-	-	3,184	-	129	250
Land and Wetlands	4,939,861	-	-	672,349	4,267,512	240,156	275,481
Water	6,370,803	29,587	-	861,629	5,538,761	234,123	341,697
Environmental Quality (1986):							
Land Acquisition/Development/Restoration/Forests	5,309,545	18,082	-	2,634,757	2,692,870	217,189	287,074
Solid Waste Management	91,992,747	621,475	5,810,330	15,421,248	83,003,304	3,288,828	4,175,595
Housing (**):							
Low Income	5,840,000	135,000	-	1,860,000	4,115,000	114,507	342,600
Middle Income	4,035,000	-	-	2,240,000	1,795,000	161,988	200,855
Park and Recreation Land Acquisition:							
Park and Recreation Land Acquisition	-	-	-	-	-	-	-
Pure Waters:							
Pure Waters	15,498,329	117,444	-	2,129,827	13,485,946	527,330	634,869
Rail Preservation:							
Rail Preservation	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:							
Highway Facilities	600,658,226	8,140,303	-	46,347,847	562,450,682	20,823,844	24,252,002
Canals and Waterways	9,419,680	-	-	1,799,369	7,620,311	378,650	483,265
Aviation	41,089,448	551,860	701,386	1,878,003	40,464,691	1,287,902	1,408,597
Rail and Port	92,824,245	176,410	2,296,921	5,096,387	90,201,189	2,826,266	3,125,938
Mass Transit - Dept. of Transportation	12,168,734	-	3,553,142	1,792,336	13,929,540	573,235	625,325
Mass Transit - Metropolitan Transportation Authority	705,163,311	11,314,565	-	25,368,452	691,109,424	24,685,486	26,877,030
Rebuild New York - Transportation Infrastructure Renewal:							
Highways, Parkways and Bridges	553,992	-	-	196,324	357,668	25,242	34,427
Rapid Transit, Rail and Aviation	2,042,563	-	-	479,171	1,563,392	86,761	112,617
Smart Schools Bond Act:							
Smart Schools Bond Act	161,307,133	-	163,986,918	18,351,447	306,942,604	8,062,853	8,701,207
Transportation Capital Facilities (**):							
Aviation	2,090,099	-	-	441,478	1,648,621	90,412	116,514
Mass Transportation	-	-	-	-	-	-	-
TOTAL GENERAL OBLIGATION DEBT:	\$ 2,130,699,999	\$ 23,645,000	\$ 179,920,000	\$ 165,295,000	\$ 2,168,969,999	\$ 76,701,913	\$ 87,460,460

(*) Represents the net effect of the March 2021 refunding transaction of \$453,835,000, which was used to refund \$430,190,000 of previously issued general obligation bonds.

(**) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2011-12 through 2020-21.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
AUTHORIZED, ISSUED AND OUTSTANDING
AS OF MARCH 31, 2021

SCHEDULE 15

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	BONDS AUTHORIZED	BONDS AND NOTES ISSUED (*)	AUTHORIZED BUT UNISSUED	TOTAL DEBT OUTSTANDING
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 3,000,000,000	\$ 2,979,768,596	\$ 20,231,404	\$ 9,992,174
Clean Water/Clean Air:						
Air Quality.....	1997	2028	230,000,000	202,062,819	27,937,181	1,321,445
Safe Drinking Water.....	1998	2015	355,000,000	355,000,000	-	-
Clean Water.....	1997	2045	790,000,000	735,933,137 (****)	54,066,863	277,661,899
Solid Waste.....	1999	2040	175,000,000	175,000,000 (****)	-	11,083,955
Environmental Restoration.....	1998	2035	200,000,000	179,366,828	20,633,172	36,701,555
Energy Conservation Through Improved Transportation (****):						
Rapid Transit and Rail Freight.....	1981	2033	400,000,000	400,000,000	-	1,022,456
Environmental Quality (1972):						
Air.....	1977	2021	150,000,000	137,646,893 (****)	12,353,107	-
Land and Wetlands.....	1976	2029	350,000,000	347,134,293	2,865,707	4,267,512
Water.....	1976	2043	650,000,000	647,667,912	2,332,088	5,538,761
Environmental Quality (1986):						
Land Acquisition/Development/Restoration/Forests.....	1989	2035	250,000,000	249,091,572	908,428	2,692,870
Solid Waste Management.....	1989	2035	1,200,000,000	1,167,454,650	32,545,350	83,003,304
Housing (****):						
Low Income.....	1941	2024	960,000,000	952,072,000	7,928,000	4,115,000
Middle Income.....	1957	2023	150,000,000	149,500,000	500,000	1,795,000
Park and Recreation Land Acquisition	1959	2018	100,000,000	99,228,000	772,000	-
Pure Waters	1968	2043	1,000,000,000	980,075,995	19,924,005	13,485,946
Rail Preservation	1977	2017	250,000,000	250,000,000	-	-
Rebuild and Renew New York Transportation:						
Transportation Purposes (excluding MTA):						
Highway Facilities.....	2006	2042	-	1,142,172,938 (**)	-	562,450,682
Canals and Waterways.....	2009	2028	-	33,862,391 (**)	-	7,620,311
Aviation.....	2009	2043	-	67,081,393 (**)	-	40,464,691
Rail and Port.....	2007	2045	-	128,106,592 (**)	-	90,201,189
Mass Transit - Department of Transportation.....	2008	2031	-	36,287,295 (**)	-	13,929,540
Total Transportation Purposes (excluding MTA).....			1,450,000,000 (**)	1,407,510,608 (**)	42,489,392 (**)	714,666,413
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	1,450,000,000	1,064,151,648	385,848,352	691,109,424
Rebuild New York - Transportation Infrastructure Renewal:						
Highways, Parkways and Bridges.....	1984	2025	1,064,000,000 (****)	1,043,500,569	20,499,431	357,668
Ports, Canals and Waterways.....	1984	2013	49,360,000 (****)	49,360,000	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	136,640,000 (****)	136,640,000	-	1,563,392
Smart Schools Bond Act	2018	2035	2,000,000,000	402,517,750	1,597,482,250	306,942,604
Transportation Capital Facilities (****):						
Aviation.....	1971	2027	250,000,000	250,000,000	-	1,648,621
Mass Transportation.....	1970	2017	1,000,000,000	1,000,000,000	-	-
TOTAL GENERAL OBLIGATION DEBT			\$ 17,610,000,000	\$ 15,360,683,272	\$ 2,249,316,728	\$ 2,168,969,999

(*) For the purpose of calculating bonds issued, par values of the bonds sold are adjusted for any premium/discount that was applied at the time of issuance.

(**) Legislation authorizing the issuance of Rebuild and Renew New York Transportation Bonds only specified the total amount of bonds authorized to be issued for transportation purposes (excluding MTA). As bonds are issued, this report will categorize the issuance under its designated purpose.

(****) Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

(*****) Bond proceeds from Series 2003D were reallocated from EQ72-Air to Clean Water/Clean Air - Water and Solid Waste purposes.

(*****) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2011-12 through 2020-21.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2011-12 THROUGH 2020-21

SCHEDULE 16

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2012		March 31, 2013		March 31, 2014	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation								
Improvements of the Nineties (ACTION).....	1989	2031	\$ 88,086,857	\$ 18,490,218	\$ 80,150,520	\$ 15,514,645	\$ 59,239,005	\$ 11,324,805
Clean Water/Clean Air:								
Air Quality.....	1997	2028	14,736,251	2,047,370	12,643,037	1,592,780	12,211,777	1,102,502
Safe Drinking Water.....	1998	2015	15,195,455	982,335	9,109,125	436,578	3,447,572	64,376
Clean Water.....	1997	2045	25,584,706	17,694,260	27,069,670	17,361,198	28,732,807	16,353,261
Solid Waste.....	1999	2040	11,780,361	1,919,064	11,039,456	1,629,009	9,416,589	1,263,579
Environmental Restoration.....	1998	2035	10,127,430	3,683,712	11,350,173	4,010,003	11,328,773	3,724,766
Energy Conservation Through Improved Transportation (*):								
Rapid Transit and Rail Freight.....	1981	2033	3,703,162	526,891	3,498,079	399,450	3,290,514	295,223
Environmental Quality (1972):								
Air.....	1977	2021	3,633,186	561,329	3,140,960	438,407	3,100,735	297,401
Land and Wetlands.....	1976	2029	7,121,195	1,236,074	7,015,543	977,624	6,559,377	702,250
Water.....	1976	2043	13,785,658	3,700,533	16,670,711	2,963,331	18,317,279	2,152,239
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	6,038,641	1,289,450	6,927,201	1,089,955	4,431,460	825,329
Solid Waste Management.....	1989	2035	61,518,957	14,785,149	50,032,595	13,468,435	51,812,599	11,356,614
Housing (*):								
Low Income.....	1941	2024	7,149,472	1,141,010	5,935,000	967,650	4,765,000	822,050
Middle Income.....	1957	2023	5,344,000	751,158	4,415,000	685,251	4,720,000	643,526
Park and Recreation Land Acquisition.....	1959	2018	12,605	874	2,601	685	2,787	581
Pure Waters.....	1968	2043	9,585,460	2,912,892	10,178,930	2,518,976	10,965,252	1,993,405
Rail Preservation.....	1977	2017	2,885,412	264,874	2,043,406	138,739	1,533,034	80,838
Rebuild and Renew New York Transportation:								
Highway Facilities.....	2006	2042	34,756,665	28,962,644	39,875,281	33,531,325	46,821,599	38,098,806
Canals and Waterways.....	2009	2028	1,288,473	455,013	1,674,360	627,868	1,853,459	652,102
Aviation.....	2009	2043	1,982,907	1,846,232	2,038,342	1,875,106	2,425,104	2,364,454
Rail and Port.....	2007	2045	2,236,652	3,393,485	2,309,975	3,494,641	2,612,405	3,589,764
Mass Transit - Department of Transportation.....	2008	2031	1,491,192	579,552	1,524,394	561,064	1,595,202	502,433
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	21,930,194	27,956,978	27,585,257	35,749,267	36,650,462	40,302,233
Rebuild New York - Transportation Infrastructure Renewal:								
Highways, Parkways and Bridges.....	1984	2025	677,982	131,769	715,024	113,449	799,745	142,524
Ports, Canals and Waterways.....	1984	2013	37,435	2,285	38,396	768	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	2,387,899	753,898	3,333,691	631,031	2,970,463	417,897
Smart Schools Bond Act.....	2018	2035	-	-	-	-	-	-
Transportation Capital Facilities (*):								
Aviation.....	1971	2027	3,224,757	758,251	2,594,718	607,650	2,309,984	481,966
Mass Transportation.....	1970	2017	4,695,036	286,703	2,833,555	67,189	892,017	19,001
TOTALS.....			\$ 360,998,000	\$ 137,114,003	\$ 345,745,000	\$ 141,452,074	\$ 332,805,000	\$ 139,573,925

(*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2011-12 through 2020-21.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2011-12 THROUGH 2020-21

SCHEDULE 16
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2015		March 31, 2016		March 31, 2017	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 73,050,730	\$ 9,150,971	\$ 44,088,768	\$ 4,951,535	\$ 44,215,664	\$ 4,219,269
Clean Water/Clean Air:								
Air Quality	1997	2028	10,440,575	656,918	6,743,376	302,388	1,195,274	127,794
Safe Drinking Water	1998	2015	7,932	377	-	-	-	-
Clean Water	1997	2045	25,355,095	16,532,868	26,882,316	15,292,839	28,512,699	15,234,692
Solid Waste	1999	2040	5,417,017	1,063,746	5,684,198	777,868	5,654,801	898,918
Environmental Restoration	1998	2035	11,780,068	3,352,018	12,340,510	3,768,088	12,168,464	3,275,982
Energy Conservation Through Improved Transportation (*):								
Rapid Transit and Rail Freight	1981	2033	1,273,923	273,740	2,712,050	171,354	726,809	98,451
Environmental Quality (1972):								
Air	1977	2021	1,797,336	194,137	2,491,605	86,827	162,781	20,530
Land and Wetlands	1976	2029	3,437,109	489,754	3,357,287	408,774	2,958,457	264,815
Water	1976	2043	8,371,903	1,855,917	11,228,184	1,449,962	8,162,461	1,028,548
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	1989	2035	3,046,876	736,483	4,547,720	538,920	3,724,570	520,427
Solid Waste Management	1989	2035	46,469,128	10,511,030	46,980,744	8,122,624	37,584,068	7,532,613
Housing (*):								
Low Income	1941	2024	3,770,000	698,100	3,770,000	584,100	2,880,000	479,000
Middle Income	1957	2023	4,740,000	578,230	3,310,000	503,900	3,455,000	419,984
Park and Recreation Land Acquisition	1959	2018	2,804	483	2,919	371	3,113	254
Pure Waters	1968	2043	6,159,061	1,814,867	7,447,408	1,423,839	5,697,235	1,209,791
Rail Preservation	1977	2017	396,834	45,693	449,723	26,289	297,439	7,867
Rebuild and Renew New York Transportation:								
Highway Facilities	2006	2042	48,236,187	36,785,952	53,663,190	38,055,126	55,248,657	35,814,737
Canals and Waterways	2009	2028	1,919,404	589,713	2,473,810	806,690	2,579,356	703,896
Aviation	2009	2043	2,511,284	2,308,409	2,619,079	2,214,033	2,734,938	2,098,776
Rail and Port	2007	2045	2,716,588	3,495,470	3,111,383	3,786,378	3,257,340	3,644,105
Mass Transit - Department of Transportation	2008	2031	1,652,617	433,575	1,723,730	362,697	1,813,667	283,409
Mass Transit - Metropolitan Transportation Authority	2006	2043	37,666,361	39,487,751	38,944,442	38,201,473	38,675,678	36,743,919
Rebuild New York - Transportation Infrastructure Renewal:								
Highways, Parkways and Bridges	1984	2025	830,180	115,657	381,335	84,019	337,856	66,669
Ports, Canals and Waterways	1984	2013	-	-	-	-	-	-
Rapid Transit, Rail and Aviation	1984	2027	1,588,953	398,640	2,073,634	283,010	1,304,038	230,058
Smart Schools Bond Act	2018	2035	-	-	-	-	-	-
Transportation Capital Facilities (*):								
Aviation	1971	2027	1,756,299	431,551	3,222,876	291,605	1,455,965	215,415
Mass Transportation	1970	2017	40,736	5,063	39,714	3,011	38,670	996
TOTALS			\$ 304,435,000	\$ 132,007,113	\$ 290,290,000	\$ 122,497,719	\$ 264,845,000	\$ 115,140,915

(*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2011-12 through 2020-21.

STATE OF NEW YORK
GENERAL OBLIGATION DEBT
PRINCIPAL AND INTEREST PAYMENTS
FISCAL YEARS 2011-12 THROUGH 2020-21

SCHEDULE 16
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2018		March 31, 2019		March 31, 2020		March 31, 2021	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	1989	2031	\$ 30,464,862	\$ 2,377,655	\$ 15,527,176	\$ 1,252,387	\$ 5,457,617	\$ 631,951	\$ 1,453,289	\$ 347,904
Clean Water/Clean Air:										
Air Quality	1997	2028	472,507	72,010	651,849	97,831	657,629	70,903	473,909	51,873
Safe Drinking Water	1998	2015	-	-	-	-	-	-	-	-
Clean Water	1997	2045	26,962,570	14,963,790	25,706,644	13,838,350	25,640,517	12,026,942	25,653,557	10,523,338
Solid Waste	1999	2040	5,338,512	1,047,764	5,733,562	1,033,435	5,890,743	893,036	5,212,385	623,816
Environmental Restoration	1998	2035	10,767,101	2,784,306	10,580,460	2,346,493	6,627,358	1,785,718	4,751,754	1,481,173
Energy Conservation Through Improved Transportation (*):										
Rapid Transit and Rail Freight	1981	2033	229,318	75,186	264,788	65,213	154,061	56,567	176,298	48,908
Environmental Quality (1972):										
Air	1977	2021	162,864	12,449	162,960	4,357	3,063	250	3,184	129
Land and Wetlands	1976	2029	709,823	176,258	1,015,660	147,027	929,513	275,481	672,349	240,156
Water	1976	2043	5,880,496	729,351	4,821,685	524,207	4,565,354	341,697	861,629	234,123
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests	1989	2035	3,182,377	437,629	1,639,551	341,330	1,531,885	287,074	2,634,757	217,189
Solid Waste Management	1989	2035	27,787,939	6,120,141	14,079,416	5,011,331	15,908,042	4,175,595	15,421,248	3,288,828
Housing (*):										
Low Income	1941	2024	2,880,000	382,800	1,860,000	302,800	2,660,000	342,600	1,860,000	114,507
Middle Income	1957	2023	2,110,000	341,883	2,185,000	275,781	2,190,000	200,855	2,240,000	161,988
Park and Recreation Land Acquisition	1959	2018	3,238	129	-	-	-	-	-	-
Pure Waters	1968	2043	4,276,347	986,610	3,217,264	807,568	2,641,435	634,869	2,129,827	527,330
Rail Preservation	1977	2017	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:										
Highway Facilities	2006	2042	55,858,222	33,511,076	49,599,735	30,971,323	46,968,395	24,252,002	46,347,847	20,823,844
Canals and Waterways	2009	2028	2,692,443	591,155	3,310,967	610,476	2,464,683	483,265	1,799,369	378,650
Aviation	2009	2043	2,849,840	1,982,870	3,177,912	1,915,715	2,327,660	1,408,597	1,878,003	1,287,902
Rail and Port	2007	2045	3,414,705	3,485,942	5,674,448	3,914,118	4,930,815	3,125,938	5,096,387	2,826,266
Mass Transit - Department of Transportation	2008	2031	1,892,336	205,135	1,761,001	229,763	1,706,789	625,325	1,792,336	573,235
Mass Transit - Metropolitan Transportation Authority	2006	2043	40,070,170	35,343,116	37,449,646	33,753,945	28,077,077	26,877,030	25,368,452	24,685,486
Rebuild New York - Transportation Infrastructure Renewal:										
Highways, Parkways and Bridges	1984	2025	169,791	52,793	178,281	44,303	185,622	34,427	196,324	25,242
Ports, Canals and Waterways	1984	2013	-	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation	1984	2027	781,006	183,789	1,088,953	146,600	555,054	112,617	479,171	86,761
Smart Schools Bond Act	2018	2035	-	-	9,382,699	4,557,673	17,713,092	8,701,207	18,351,447	8,062,853
Transportation Capital Facilities (*):										
Aviation	1971	2027	628,533	170,406	720,343	140,553	928,596	116,514	441,478	90,412
Mass Transportation	1970	2017	-	-	-	-	-	-	-	-
TOTALS			\$ 229,585,000	\$ 106,034,243	\$ 199,790,000	\$ 102,332,579	\$ 180,715,000	\$ 87,460,460	\$ 165,295,000	\$ 76,701,913

(*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2011-12 through 2020-21.

STATE OF NEW YORK
 FUTURE GENERAL OBLIGATION DEBT SERVICE
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)

SCHEDULE 17

PURPOSE	March 31, 2022			March 31, 2023			March 31, 2024		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	\$ 1,531,170	\$ 295,727	\$ 1,826,897	\$ 1,537,312	\$ 240,537	\$ 1,777,849	\$ 1,538,198	\$ 186,105	\$ 1,724,303
Clean Water/Clean Air (**):									
Air Quality.....	202,567	40,083	242,650	173,110	34,596	207,706	177,957	29,749	207,706
Clean Water.....	24,416,422	7,675,095	32,091,517	23,042,981	6,885,477	29,928,458	19,768,154	6,233,156	26,001,310
Solid Waste.....	3,889,641	387,179	4,276,820	764,892	207,950	972,842	845,962	181,958	1,027,920
Environmental Restoration.....	3,949,782	1,097,163	5,046,945	2,582,354	939,381	3,521,735	2,488,028	861,638	3,349,666
Energy Conservation Through Improved Transportation (**):									
Rapid Transit and Rail Freight.....	263,712	38,507	302,219	171,238	27,634	198,872	155,308	19,470	174,778
Environmental Quality (1972):									
Air.....	-	-	-	-	-	-	-	-	-
Land and Wetlands.....	702,110	207,278	909,388	698,642	172,836	871,478	662,368	139,599	801,967
Water.....	1,873,050	165,413	2,038,463	807,421	106,488	913,909	427,613	77,113	504,726
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests.....	635,920	89,622	725,542	313,800	63,194	376,994	258,680	52,674	311,354
Solid Waste Management.....	15,043,465	2,597,660	17,641,125	14,242,210	1,992,915	16,235,125	12,932,834	1,484,501	14,417,335
Housing (**):									
Low Income.....	1,110,000	-	1,110,000	1,920,000	12,471	1,932,471	1,085,000	5,750	1,090,750
Middle Income.....	1,280,000	2,242	1,282,242	515,000	10,558	525,558	-	-	-
Pure Waters	1,126,465	424,059	1,550,524	1,123,803	375,642	1,499,445	756,993	337,784	1,094,777
Rebuild and Renew New York Transportation:									
Highway Facilities.....	48,396,569	15,962,057	64,358,626	46,261,665	14,310,847	60,572,512	46,482,034	12,922,290	59,404,324
Canals and Waterways.....	1,876,708	301,311	2,178,019	1,399,233	219,645	1,618,878	1,249,027	163,363	1,412,390
Aviation.....	1,486,637	1,090,652	2,577,289	1,524,623	1,050,662	2,575,285	1,433,377	1,009,479	2,442,856
Rail and Port.....	5,462,831	2,644,360	8,107,191	5,614,619	2,493,703	8,108,322	5,788,505	2,335,452	8,123,957
Mass Transit - Department of Transportation.....	1,648,894	544,768	2,193,662	1,718,160	481,746	2,199,906	1,785,736	414,171	2,199,907
Mass Transit - Metropolitan Transportation Authority.....	25,724,937	20,228,617	45,953,554	22,796,399	19,435,900	42,232,299	15,467,473	18,843,328	34,310,801
Rebuild New York - Transportation Infrastructure Renewal (**):									
Highways, Parkways and Bridges.....	180,373	16,202	196,575	171,074	7,183	178,257	3,034	311	3,345
Rapid Transit, Rail and Aviation.....	393,136	64,953	458,089	371,991	45,825	417,816	383,448	26,939	410,387
Smart Schools Bond Act	30,672,798	12,030,787	42,703,585	32,022,172	10,918,108	42,940,280	33,314,362	9,625,918	42,940,280
Transportation Capital Facilities (**):									
Aviation.....	837,811	60,380	898,191	312,300	31,627	343,927	350,911	15,048	365,959
TOTAL FUTURE DEBT SERVICE REQUIREMENTS	<u>\$ 172,704,998</u>	<u>\$ 65,964,115</u>	<u>\$ 238,669,113</u>	<u>\$ 160,084,999</u>	<u>\$ 60,064,925</u>	<u>\$ 220,149,924</u>	<u>\$ 147,355,002</u>	<u>\$ 54,965,796</u>	<u>\$ 202,320,798</u>

(*) See Accompanying Footnotes

(**) Schedule omits general obligation purposes for which there are no future debt service requirements.

STATE OF NEW YORK
 FUTURE GENERAL OBLIGATION DEBT SERVICE
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (*)

SCHEDULE 17
 (continued)

PURPOSE	March 31, 2025			March 31, 2026			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	\$ 1,832,312	\$ 129,061	\$ 1,961,373	\$ 964,576	\$ 86,841	\$ 1,051,417	\$ 2,588,606	\$ 178,915	\$ 2,767,521	\$ 9,992,174	\$ 1,117,186	\$ 11,109,360
Clean Water/Clean Air (**):												
Air Quality.....	183,206	24,499	207,705	188,794	18,911	207,705	395,811	19,599	415,410	1,321,445	167,437	1,488,882
Clean Water.....	21,677,920	5,678,155	27,356,075	20,838,926	5,091,613	25,930,539	167,917,496	31,123,467	199,040,963	277,661,899	62,686,963	340,348,862
Solid Waste.....	871,056	156,737	1,027,793	960,659	128,352	1,089,011	3,751,745	345,280	4,097,025	11,083,955	1,407,456	12,491,411
Environmental Restoration.....	2,816,692	788,343	3,605,035	2,835,858	703,078	3,538,936	22,028,841	2,617,689	24,646,530	36,701,555	7,007,292	43,708,847
Energy Conservation Through Improved Transportation (**):												
Rapid Transit and Rail Freight.....	135,012	12,212	147,224	-	8,837	8,837	297,186	34,655	331,841	1,022,456	141,315	1,163,771
Environmental Quality (1972):												
Air.....	-	-	-	-	-	-	-	-	-	-	-	-
Land and Wetlands.....	698,501	107,111	805,612	479,961	72,908	552,869	1,025,930	75,073	1,101,003	4,267,512	774,805	5,042,317
Water.....	105,601	67,111	172,712	108,891	65,126	174,017	2,216,185	581,377	2,797,562	5,538,761	1,062,628	6,601,389
Environmental Quality (1986):												
Land Acquisition/Development/Restoration/Forests.....	289,376	43,772	333,148	221,259	35,675	256,934	973,835	126,964	1,100,799	2,692,870	411,901	3,104,771
Solid Waste Management.....	12,931,318	1,044,656	13,975,974	7,790,950	731,330	8,522,280	20,062,527	1,841,900	21,904,427	83,003,304	9,692,962	92,696,266
Housing (**):												
Low Income.....	-	-	-	-	-	-	-	-	-	4,115,000	18,221	4,133,221
Middle Income.....	-	-	-	-	-	-	-	-	-	1,795,000	12,800	1,807,800
Pure Waters	566,767	316,315	883,082	483,036	301,696	784,732	9,428,882	1,843,796	11,272,678	13,485,946	3,599,292	17,085,238
Rebuild and Renew New York Transportation:												
Highway Facilities.....	55,333,421	11,736,130	67,069,551	54,229,222	10,274,996	64,504,218	311,747,771	30,850,397	342,598,168	562,450,682	96,056,717	658,507,399
Canals and Waterways.....	1,300,277	112,113	1,412,390	579,704	58,069	637,773	1,215,362	60,181	1,275,543	7,620,311	914,682	8,534,993
Aviation.....	1,810,379	983,496	2,793,875	1,844,199	946,688	2,790,887	32,365,476	8,215,049	40,580,525	40,464,691	13,296,026	53,760,717
Rail and Port.....	6,817,246	2,177,952	8,995,198	6,429,028	1,990,104	8,419,132	60,088,960	13,596,547	73,685,507	90,201,189	25,238,118	115,439,307
Mass Transit - Department of Transportation.....	1,857,353	342,553	2,199,906	1,933,835	266,071	2,199,906	4,985,562	324,199	5,309,761	13,929,540	2,373,508	16,303,048
Mass Transit - Metropolitan Transportation Authority.....	27,641,653	18,544,744	46,186,397	28,236,690	17,952,085	46,188,775	571,242,272	152,260,317	723,502,589	691,109,424	247,264,991	938,374,415
Rebuild New York - Transportation Infrastructure Renewal (**):												
Highways, Parkways and Bridges.....	3,187	159	3,346	-	-	-	-	-	-	357,668	23,855	381,523
Rapid Transit, Rail and Aviation.....	144,269	13,747	158,016	147,351	6,457	153,808	123,197	1,386	124,583	1,563,392	159,307	1,722,699
Smart Schools Bond Act	34,682,083	8,258,197	42,940,280	36,142,059	6,798,221	42,940,280	140,109,130	17,772,144	157,881,274	306,942,604	65,403,375	372,345,979
Transportation Capital Facilities (**):												
Aviation.....	107,372	3,589	110,961	-	904	904	40,227	452	40,679	1,648,621	112,000	1,760,621
TOTAL FUTURE DEBT SERVICE REQUIREMENTS	\$ 171,805,001	\$ 50,540,652	\$ 222,345,653	\$ 164,414,998	\$ 45,537,962	\$ 209,952,960	\$ 1,352,605,001	\$ 261,869,387	\$ 1,614,474,388	\$ 2,168,969,999	\$ 538,942,837	\$ 2,707,912,836

(*) See Accompanying Footnotes

(**) Schedule omits general obligation purposes for which there are no future debt service requirements.

STATE OF NEW YORK
DEBT SERVICE FUNDS
LEASE/PURCHASE AND OTHER FINANCING AGREEMENT DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 18

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS	
	REDUCTION RESERVE (40000-40049)	DEBT SERVICE (40151)	OF HEALTH INCOME (40300-40349)	GOVERNMENT ASSISTANCE TAX (40450-40499)	HEALTH SERVICES (40100-40149)	BOND TAX(*) (40152)	REVENUE BOND TAX (40154)	FISCAL YEAR ENDED	
								2020-21	2019-20
Payments to Public Authorities:									
City University Construction.....	\$ -	\$ 7,115,904	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,115,904	\$ 193,123,569
Dormitory Authority:									
Consolidated Service Contract Refunding.....	-	-	-	-	-	-	-	-	65,375,063
DASNY Revenue Bond.....	-	-	-	-	-	8,904,948,908	2,039,112,689	10,944,061,597	1,963,082,162
Department of Health Facilities.....	-	-	25,467,940	-	-	-	-	25,467,940	26,157,902
Mental Health Facilities.....	-	-	-	-	8,334,220	-	-	8,334,220	7,095,969
Secured Hospital Program.....	-	25,232,277	-	-	-	-	-	25,232,277	31,720,944
SUNY Community Colleges.....	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700
SUNY Educational Facilities.....	-	25,819,812	-	-	-	-	-	25,819,812	103,616,675
Environmental Facilities Corporation.....	-	-	-	-	-	17,266,263	-	17,266,263	17,269,722
Housing Finance Agency.....	-	20,324,584	-	-	-	-	-	20,324,584	30,857,653
Local Government Assistance Corporation.....	-	-	-	79,442,835	-	-	-	79,442,835	300,784,859
Metropolitan Transportation Authority:									
Transit and Commuter Railroad Projects.....	-	-	-	-	-	-	-	-	-
New York State Thruway Authority:									
Dedicated Highway and Bridge.....	-	106,686,534	-	-	-	-	-	106,686,534	448,180,780
Local Highway and Bridge.....	-	-	-	-	-	-	-	-	21,772,000
Transportation.....	-	-	-	-	-	65,949,467	-	65,949,467	151,377,875
Urban Development Corporation:									
Clarkson University.....	-	-	-	-	-	-	-	-	448,350
Columbia University Telecommunications Center.....	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding.....	-	23,405,116	-	-	-	-	-	23,405,116	78,864,907
Cornell University Supercomputer Center.....	-	-	-	-	-	-	-	-	-
Correctional Facilities.....	-	-	-	-	-	-	-	-	9,781,500
Debt Reduction Reserve.....	-	-	-	-	-	-	-	-	-
UDC Revenue Bond.....	-	-	-	-	-	1,596,793,277	-	1,596,793,277	1,190,706,819
University Facilities Grant 95 Refunding.....	-	11,603	-	-	-	-	-	11,603	1,770,144
TOTAL.....	\$ -	\$ 216,943,030	\$ 25,467,940	\$ 79,442,835	\$ 8,334,220	\$ 10,584,957,915	\$ 2,039,112,689	\$ 12,954,258,629	\$ 4,647,915,593

(*)Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK
FUTURE LEASE/PURCHASE AND CONTRACTUAL
OBLIGATION REQUIREMENTS (*)
PAYABLE FROM DEBT SERVICE FUNDS
(amounts in thousands)

SCHEDULE 19

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2022			March 31, 2023			March 31, 2024			March 31, 2025		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Dormitory Authority:												
All purposes excluding SUNY and CUNY Senior Colleges.....	\$ 1,425,359	\$ 1,042,204	\$ 2,467,563	\$ 1,252,088	\$ 981,753	\$ 2,233,841	\$ 1,101,084	\$ 923,190	\$ 2,024,274	\$ 1,282,771	\$ 869,796	\$ 2,152,567
City University of New York.....	144,640	210,219	354,859	84,069	203,828	287,897	182,499	199,235	381,734	139,672	189,351	329,023
State University of New York.....	352,377	455,400	807,777	434,813	440,526	875,339	453,079	419,091	872,170	505,165	393,725	898,890
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-	-	-	-
Housing Finance Agency	1,900	1	1,901	-	-	-	-	-	-	-	-	-
Local Government Assistance Corporation	90,135	2,136	92,271	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority Service Contract Bonds	-	-	-	-	-	-	-	-	-	-	-	-
New York State Thruway Authority.....	95,855	58,051	153,906	105,805	53,911	159,716	87,750	48,557	136,307	95,700	43,950	139,650
Urban Development Corporation:												
All purposes excluding SUNY and CUNY Senior Colleges.....	1,153,695	774,256	1,927,951	1,261,990	729,395	1,991,385	1,195,035	681,753	1,876,788	1,100,615	633,242	1,733,857
City University of New York.....	-	25	25	-	25	25	-	25	25	-	25	25
State University of New York.....	7,010	15,597	22,607	12,915	11,445	24,360	550	11,145	11,695	575	11,119	11,694
TOTALS.....	\$ 3,270,971	\$ 2,557,889	\$ 5,828,860	\$ 3,151,680	\$ 2,420,883	\$ 5,572,563	\$ 3,019,997	\$ 2,282,996	\$ 5,302,993	\$ 3,124,498	\$ 2,141,208	\$ 5,265,706

(*) See Accompanying Footnotes

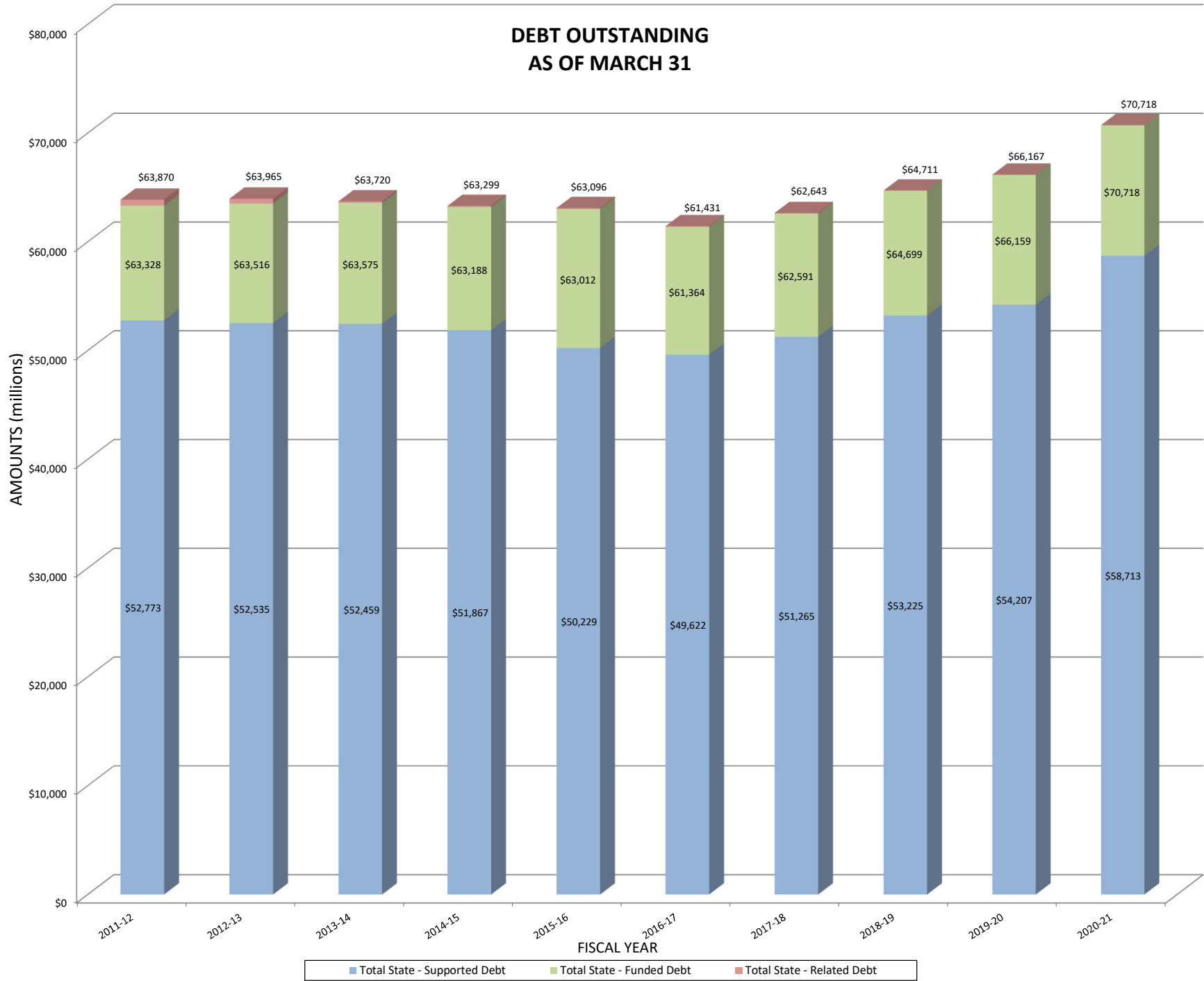
STATE OF NEW YORK
 FUTURE LEASE/PURCHASE AND CONTRACTUAL
 OBLIGATION REQUIREMENTS (*)
 PAYABLE FROM DEBT SERVICE FUNDS
 (amounts in thousands)

SCHEDULE 19
 (continued)

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2026			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
Dormitory Authority:									
All purposes excluding SUNY and CUNY Senior Colleges.....	\$ 1,369,605	\$ 811,078	\$ 2,180,683	\$ 16,047,252	\$ 6,572,171	\$ 22,619,423	\$ 22,478,159	\$ 11,200,192	\$ 33,678,351
City University of New York.....	152,725	181,514	334,239	3,698,067	1,755,162	5,453,229	4,401,672	2,739,309	7,140,981
State University of New York.....	418,560	368,179	786,739	7,466,375	3,796,636	11,263,011	9,630,369	5,873,557	15,503,926
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	-
Housing Finance Agency	-	-	-	-	-	-	1,900	1	1,901
Local Government Assistance Corporation	-	-	-	-	-	-	90,135	2,136	92,271
Metropolitan Transportation Authority Service Contract Bonds	-	-	-	-	-	-	-	-	-
New York State Thruway Authority.....	100,010	38,956	138,966	681,965	126,830	808,795	1,167,085	370,255	1,537,340
Urban Development Corporation:									
All purposes excluding SUNY and CUNY Senior Colleges.....	1,063,605	587,305	1,650,910	12,705,362	5,494,934	18,200,296	18,480,302	8,900,885	27,381,187
City University of New York.....	-	25	25	567	391	958	567	516	1,083
State University of New York.....	605	11,090	11,695	271,865	200,849	472,714	293,520	261,245	554,765
TOTALS.....	\$ 3,105,110	\$ 1,998,147	\$ 5,103,257	\$ 40,871,453	\$ 17,946,973	\$ 58,818,426	\$ 56,543,709	\$ 29,348,096	\$ 85,891,805

(*) See Accompanying Footnotes

DEBT OUTSTANDING AS OF MARCH 31



STATE OF NEW YORK
DEBT OUTSTANDING AS OF MARCH 31
FISCAL YEARS 2011-12 THROUGH 2020-21
(amounts in millions)

SCHEDULE 20

	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2020-21</u>
<u>STATE - SUPPORTED DEBT</u>										
General Obligation Debt.....	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131	\$ 2,169
Lease/Purchase and Contractual										
Obligation Debt.....	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076	56,544
SUBTOTAL - STATE - SUPPORTED DEBT....	52,773	52,535	52,459	51,867	50,229	49,622	51,265	53,225	54,207	58,713
<u>STATE - FUNDED DEBT</u>										
Tobacco Settlement Financing Corporation.....	2,690	2,411	2,054	1,745	1,378	660	-	-	-	-
Municipal Bond Bank Agency (MBBA).....	368	294	281	263	234	203	172	139	104	68
Sales Tax Asset Receivable Corporation (STARC).	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634	1,542
Secured Hospital Debt.....	-	-	226	204	181	157	142	153	127	100
SUNY Dormitory Facilities Revenue Bonds.....	-	-	440	437	985	956	1,263	1,350	1,787	1,892
Transitional Finance Agency (TFA).....	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300	8,403
SUBTOTAL - STATE - FUNDED DEBT.....	63,328	63,516	63,575	63,188	63,012	61,364	62,591	64,699	66,159	70,718
<u>STATE - RELATED DEBT</u>										
Moral Obligation Debt.....	20	12	7	2	2	1	1	-	-	-
Secured Hospital Debt - Contingent.....	503	422	126	100	76	63	51	12	8	-
State Guaranteed Authority Debt.....	19	15	12	9	6	3	-	-	-	-
TOTAL DEBT OUTSTANDING.....	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096	\$ 61,431	\$ 62,643	\$ 64,711	\$ 66,167	\$ 70,718

STATE OF NEW YORK
STATE SUPPORTED DEBT OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2011-12 THROUGH 2020-21

SCHEDULE 20a

State supported debt includes capital leases for State facilities, and debt as defined in State Finance Law §67-a, where the State is constitutionally obligated to pay debt service to bondholders or where it is contractually obligated to pay money to public authorities to enable it to make payments on its outstanding bonds.

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
STATE - SUPPORTED DEBT:										
General Obligation Debt										
Millions of Dollars.....	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131	\$ 2,169
Change from Prior Year.....	-0.88%	0.86%	-9.45%	-5.42%	-9.64%	-9.68%	-3.74%	-3.58%	-6.78%	1.78%
Per Capita.....	179	180	162	153	138	125	120	117	109	107
Lease/Purchase and Contractual Obligation Debt										
Millions of Dollars.....	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076	56,544
Change from Prior Year.....	2.47%	-0.54%	0.52%	-0.85%	-2.76%	-0.72%	3.68%	4.18%	2.23%	8.58%
Per Capita.....	2,527	2,501	2,501	2,480	2,399	2,394	2,469	2,612	2,671	2,799
TOTAL STATE - SUPPORTED DEBT:										
Millions of Dollars.....	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207	\$ 58,713
Change from Prior Year.....	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%	8.31%
Per Capita.....	\$ 2,706	\$ 2,681	\$ 2,663	\$ 2,633	\$ 2,537	\$ 2,519	\$ 2,589	\$ 2,729	\$ 2,780	\$ 2,906

(*) Population estimates are based on current information published by the U.S. Census Bureau.

STATE OF NEW YORK
STATE FUNDED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2011-12 THROUGH 2020-21

SCHEDULE 20b

State funded debt obligations include all State-supported debt from Schedule 20a, plus certain other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, debt issued by the Sales Tax Asset Receivable Corporation (STARC) for NYC debt relief, debt issued by the Transitional Finance Authority (TFA) for NYC school building aid, portions of the secured hospital program and obligations issued to finance certain State University of New York (SUNY) dormitory facilities. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes), tobacco settlement payments, contingent debt service appropriations or SUNY dormitory rental income.

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
TOTAL STATE - SUPPORTED DEBT:										
Millions of Dollars.....	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207	\$ 58,713
Change from Prior Year.....	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%	8.31%
Per Capita.....	2,706	2,681	2,663	2,633	2,537	2,519	2,589	2,729	2,780	2,906
Tobacco Settlement Financing Corporation										
Millions of Dollars.....	2,690	2,411	2,054	1,745	1,378	660	-	-	-	-
Change from Prior Year.....	-10.69%	-10.37%	-14.81%	-15.04%	-21.03%	-52.10%	-100.00%	0.00%	0.00%	0.00%
Per Capita.....	138	123	104	89	70	34	-	-	-	-
Municipal Bond Bank Agency (MBBA)										
Millions of Dollars.....	368	294	281	263	234	203	172	139	104	68
Change from Prior Year.....	-7.07%	-20.11%	-4.42%	-6.41%	-11.03%	-13.25%	-15.27%	-19.19%	-25.18%	-34.62%
Per Capita.....	19	15	14	13	12	10	9	7	5	3
Sales Tax Asset Receivable Corporation (STARC)										
Millions of Dollars.....	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634	1,542
Change from Prior Year.....	-2.58%	-2.79%	-3.01%	-3.25%	-1.75%	-3.93%	-4.19%	-4.65%	-5.06%	-5.63%
Per Capita.....	112	109	105	101	99	96	91	88	84	76
Secured Hospital Debt										
Millions of Dollars.....	-	-	226	204	181	157	142	153	127	100
Change from Prior Year.....	0.00%	0.00%	100.00%	-9.73%	-11.27%	-13.26%	-9.55%	7.75%	-16.99%	-21.26%
Per Capita.....	-	-	11	10	9	8	7	8	6	5
SUNY Dormitory Facilities Revenue Bonds										
Millions of Dollars.....	-	-	440	437	985	956	1,263	1,350	1,787	1,892
Change from Prior Year.....	0.00%	0.00%	100.00%	-0.68%	125.40%	-2.94%	32.11%	6.89%	32.37%	5.88%
Per Capita.....	-	-	22	22	50	49	64	69	92	94
Transitional Finance Authority (TFA)										
Millions of Dollars.....	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300	8,403
Change from Prior Year.....	19.84%	15.82%	-1.58%	10.31%	20.49%	-2.01%	0.79%	2.10%	2.33%	1.24%
Per Capita.....	272	314	307	339	406	400	401	416	426	416
TOTAL STATE - FUNDED DEBT:										
Millions of Dollars.....	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159	\$ 70,718
Change from Prior Year.....	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%	6.89%
Per Capita.....	\$ 3,247	\$ 3,242	\$ 3,227	\$ 3,208	\$ 3,182	\$ 3,116	\$ 3,161	\$ 3,317	\$ 3,393	\$ 3,500

(*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK
STATE RELATED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (*)
FISCAL YEARS 2011-12 THROUGH 2020-21**

SCHEDULE 20c

State related debt obligations include all State funded debt from Schedule 20b, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation (secured hospital debt where the State has not been called on to pay debt service) and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt service reserve funds, if necessary.

	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2020-21</u>
<u>TOTAL STATE - FUNDED DEBT:</u>										
Millions of Dollars.....	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159	\$ 70,718
Change from Prior Year.....	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%	6.89%
Per Capita.....	3,247	3,242	3,227	3,208	3,182	3,116	3,161	3,317	3,393	3,500
Moral Obligation Debt										
Millions of Dollars.....	20	12	7	2	2	1	1	-	-	-
Change from Prior Year.....	-23.08%	-40.00%	-41.67%	-71.43%	0.00%	-50.00%	0.00%	-100.00%	0.00%	0.00%
Per Capita.....	1	1	-	-	-	-	-	-	-	-
Secured Hospital Debt - Contingent										
Millions of Dollars.....	503	422	126	100	76	63	51	12	8	-
Change from Prior Year.....	-14.16%	-16.10%	-70.14%	-20.63%	-24.00%	-17.11%	-19.05%	-76.47%	-33.33%	-100.00%
Per Capita.....	26	22	6	5	4	3	3	1	-	-
State Guaranteed Authority Debt										
Millions of Dollars.....	19	15	12	9	6	3	-	-	-	-
Change from Prior Year.....	-17.39%	-21.05%	-20.00%	-25.00%	-33.33%	-50.00%	-100.00%	0.00%	0.00%	0.00%
Per Capita.....	1	1	1	-	-	-	-	-	-	-
<u>TOTAL STATE - RELATED DEBT:</u>										
Millions of Dollars.....	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096	\$ 61,431	\$ 62,643	\$ 64,711	\$ 66,167	\$ 70,718
Change from Prior Year.....	2.46%	0.15%	-0.38%	-0.66%	-0.32%	-2.64%	1.97%	3.30%	2.25%	6.88%
Per Capita.....	\$ 3,275	\$ 3,266	\$ 3,235	\$ 3,213	\$ 3,187	\$ 3,119	\$ 3,164	\$ 3,318	\$ 3,393	\$ 3,500

(*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)**

SCHEDULE 21

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2020-21	2019-20
	Education														
Addiction Services and Supports, Office of.....	\$ 122	\$ -	\$ 20	\$ 26,325	\$ 316,988	\$ -	\$ -	\$ -	\$ -	\$ 343,455	\$ 57,974	\$ 17,781	\$ 157	\$ 419,367	\$ 448,015
Adirondack Park Agency	-	-	-	-	-	-	-	-	-	-	4,077	406	-	4,483	4,444
Aging, State Office for the.....	-	-	216	26,229	134,293	-	-	-	-	160,738	2,043	1,154	-	163,935	165,050
Agriculture and Markets, Department of	23	-	-	-	-	-	-	-	-	23,205	30,712	4,574	-	58,491	70,749
Alcoholic Beverage Control, Division of.....	-	-	-	-	-	-	-	-	-	-	8,996	199	-	9,195	10,611
Arts, Council on the.....	30,654	-	-	-	-	-	-	-	-	30,654	2,600	1,351	-	34,605	48,264
Budget, Division of the	-	-	-	-	-	-	-	-	-	-	23,310	1,163	-	24,473	24,545
Children and Family Services, Office of	14,375	-	65,700	31,687	-	-	1,562,705	-	-	1,674,467	123,531	36,965	-	1,834,963	1,321,336
City University of New York.....	2,275,159	-	-	-	-	-	-	-	-	2,275,159	-	-	-	2,275,159	936,847
Civil Service, Department of.....	-	-	61	-	-	-	-	-	-	61	15,983	1,003	-	17,047	15,181
Commission on Quality of Care and Advocacy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Correction, State Commission of	-	-	-	-	-	-	-	-	-	-	3,138	114	-	3,252	3,150
Corrections and Community Supervision, Department of.....	-	-	-	-	-	7,629	-	-	-	7,629	858,238	408,560	396	1,274,823	2,878,231
Criminal Justice Services, Division of.....	50	-	3,770	-	-	101,816	-	-	-	105,636	30,381	5,397	-	141,414	180,758
Economic Development, Department of.....	-	-	1	-	1,082	-	-	-	36,443	37,526	11,919	1,361	-	50,806	50,877
Education, State Department of.....	24,842,080	-	-	-	-	-	-	-	-	24,842,080	33,656	17,275	-	24,893,011	25,715,491
Elections, Board of	-	-	7,222	-	-	-	-	-	-	7,222	6,233	6,360	-	19,815	9,991
Employee Relations, Office of	-	-	-	-	-	-	-	-	-	-	12,897	15,252	267	28,416	40,460
Environmental Conservation, Department of.....	-	100	-	-	-	-	-	-	-	100	97,401	9,664	-	107,165	112,491
Executive Chamber.....	-	-	-	-	-	-	-	-	-	-	11,725	1,803	-	13,528	13,249
General Services, Office of.....	-	-	-	-	-	-	-	-	-	-	40,350	41,830	-	82,180	101,990
Greenway Heritage Conservancy	-	-	-	-	-	-	-	-	-	-	-	200	-	200	166
Health, Department of.....	-	-	-	13,146,360	698,499	-	-	-	-	13,844,859	121,793	920,806	8,354	14,895,812	16,728,400
Higher Education Services Corp	607,427	-	-	-	-	-	-	-	-	607,427	332	-	-	607,759	950,867
Homeland Security and Emergency Services, Division of.....	-	-	68	-	-	3,412	-	-	-	3,480	266	2	-	3,748	6,552
Housing and Community Renewal, Division of.....	-	-	47,250	-	-	-	2,696	-	-	49,946	2,959	1,168	-	54,073	37,756
Hudson River Valley Greenways	-	114	-	-	-	-	-	-	-	114	80	21	-	215	240
Human Rights, Division of	-	-	-	-	-	-	-	-	-	-	10,188	248	-	10,436	11,049
Information Technology Services, Office of	-	-	-	-	-	-	-	-	-	-	299,727	234,874	-	534,601	540,198
Inspector General, Office of the State.....	-	-	-	-	-	-	-	-	-	-	5,063	986	-	6,049	6,381
Interest on Lawyer Account (IOLA).....	-	-	-	-	-	12,806	-	-	-	12,806	-	-	-	12,806	14,349
Judicial Conduct.....	-	-	-	-	-	-	-	-	-	-	4,364	1,430	-	5,794	5,748
Judicial Nomination	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Judicial Screening	-	-	-	-	-	-	-	-	-	-	-	3	-	3	6
Justice Center for the Protection of People with Special Needs	-	-	-	-	170	-	-	-	-	170	34,407	8,856	-	43,433	41,574
Labor, Department of	-	-	-	-	-	-	8,481	-	-	8,481	66	191	-	8,738	25,758
Law, Department of.....	-	-	-	-	-	-	-	-	-	-	101,716	6,772	-	108,488	110,073
Legislature.....	-	-	-	-	-	-	-	-	-	-	182,377	42,725	-	225,102	227,253
Lieutenant Governor	-	-	-	-	-	-	-	-	-	-	848	38	-	886	815
Medicaid Inspector General, Office of	-	-	-	-	-	-	-	-	-	-	16,792	1,651	-	18,443	17,984
Mental Health, Office of.....	1,547	-	-	120,548	1,127,385	-	-	-	-	1,249,480	1,054,394	303,971	-	2,607,845	2,735,670
Military and Naval Affairs, Division of.....	-	-	-	-	-	950	-	-	-	950	15,388	4,517	-	20,855	20,950
Motor Vehicles, Department of.....	-	-	-	-	-	-	-	-	-	-	9,475	1,781	-	11,256	10,563
National and Community Service	-	-	-	-	-	-	223	-	-	223	293	2	-	518	654
NYS Gaming Commission	-	-	-	-	-	-	-	-	-	-	3,521	2,216	-	5,737	4,525
NYS Joint Commission on Public Ethics	-	-	-	-	-	-	-	-	-	-	4,328	587	-	4,915	5,217

STATE OF NEW YORK
GENERAL FUND
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 21
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment & Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2020-21	2019-20
Parks, Recreation and Historic Preservation, Office of.....	\$ -	\$ 433	\$ 218	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 651	\$ 108,104	\$ 4,853	\$ -	\$ 113,608	\$ 108,354
People With Developmental Disabilities, Office for.....	-	-	5	35,638	351,697	-	-	-	-	387,340	1,139,105	180,726	-	1,707,171	3,098,679
Prevention of Domestic Violence, Office for the	-	-	-	-	-	-	-	890	-	890	1,758	88	-	2,736	2,984
Public Benefits Corporations:															
Dormitory Authority.....	-	-	-	-	-	-	-	-	-	-	-	3,240	-	3,240	-
Energy Research and Development Authority	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Javits Convention Center.....	-	-	-	-	-	-	-	-	-	-	-	37,351	-	37,351	-
Olympic Regional Development Authority	-	-	-	-	-	-	-	-	-	-	7,220	5,328	93	12,641	13,113
Power Authority	-	-	-	-	-	-	-	-	-	-	-	43,000	-	43,000	43,000
Urban Development Corporation (Empire State Dev Corp).....	-	-	1,404	-	-	-	-	-	59,738	61,142	1,000	-	-	62,142	106,110
Public Employment Relations Board	-	-	-	-	-	-	-	-	-	-	3,401	132	-	3,533	3,380
State Comptroller, Office of the.....	-	-	32,025	-	-	-	-	-	-	32,025	114,023	17,759	-	163,807	169,540
State Police, Division of.....	-	-	-	-	-	-	-	-	-	-	329,971	30,170	2	360,143	696,271
State University of New York.....	440,419	-	8	-	50	-	-	-	-	440,477	2,426	5,865	8	448,776	503,509
State, Department of.....	-	-	12,389	-	-	553	275	-	-	13,217	9,156	104	-	22,477	25,156
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	11,684	17,545	-	29,229	31,517
Tax Appeals, Division of	-	-	-	-	-	-	-	-	-	-	2,805	145	-	2,950	2,870
Taxation and Finance, Department of.....	-	-	851	-	-	-	-	-	-	851	251,092	3,415	-	255,358	256,901
Temporary and Disability Assistance, Office of	-	-	391	434,921	8,300	-	1,361,420	-	-	1,805,032	64,863	53,610	-	1,923,505	1,793,009
Transportation, Department of.....	-	-	-	-	-	-	-	106,588	-	106,588	158,588	152,939	-	418,115	445,415
Unified Court System.....	-	-	2,903	-	-	-	-	-	-	2,903	1,699,228	285,456	719,035	2,706,622	2,859,520
Veterans' Services, Division of	-	-	17	-	-	-	8,010	-	-	8,027	5,887	286	32	14,232	14,441
Victim Services, Office of.....	-	-	77	-	-	-	-	-	-	77	-	-	-	77	37
Welfare Inspector General, Office of	-	-	-	-	-	-	-	-	-	-	595	14	-	609	641
All Other.....	-	-	835,711	-	-	-	-	-	-	835,711	-	3,018	6,303,227	7,141,956	7,541,815
Total.....	\$ 28,211,856	\$ 647	\$ 1,010,307	\$ 13,821,708	\$ 2,638,464	\$ 127,166	\$ 2,944,700	\$ 119,363	\$ 106,588	\$ 48,980,799	\$ 7,154,447	\$ 2,950,301	\$ 7,031,571	\$ 66,117,118	\$ 71,370,740

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 22

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2020-21	2019-20
	Education	Recreation													
Addition Services and Supports, Office of.....	\$ 30	\$ -	\$ -	\$ -	\$ 3,001	\$ -	\$ -	\$ -	\$ -	\$ 3,031	\$ -	\$ 4,783	\$ -	\$ 7,814	\$ 9,920
Aging, State Office for the.....	-	-	-	-	250	-	-	-	-	250	136	4	82	472	475
Agriculture and Markets, Department of.....	-	-	-	-	-	-	-	-	-	-	3,346	1,716	1,578	6,640	6,444
Arts, Council on the.....	337	-	-	-	-	-	-	-	-	337	-	-	-	337	-
Alcoholic Beverage Control, Division of.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Budget, Division of the.....	-	-	-	-	-	-	-	-	-	-	1,443	25,007	870	27,320	5,027
Children and Family Services, Office of.....	-	-	-	-	-	-	254	-	-	254	3,221	9,943	1,930	15,348	23,734
City University of New York.....	-	-	-	-	-	-	-	-	-	-	68,578	32,221	-	100,799	101,899
Civil Service, Department of.....	-	-	-	-	-	-	-	-	-	-	95	3	57	155	308
Commission on Quality of Care and Advocacy.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Corrections and Community Supervision, Department of.....	-	-	-	-	-	-	-	-	-	-	231	652	145	1,028	1,504
Criminal Justice Services, Division of.....	-	-	-	-	-	10,431	-	-	-	10,431	125	(111)	-	10,445	29,468
Economic Development, Department of.....	-	-	-	-	-	-	-	-	-	-	29	878	11	918	1,354
Education, State Department of.....	5,696,183	-	-	-	-	-	-	-	-	5,696,183	59,111	21,179	34,823	5,811,296	6,157,367
Elections, Board of.....	-	-	-	-	-	-	-	-	-	-	-	5,521	-	5,521	270
Employee Relations, Office of.....	-	-	-	-	-	-	-	-	-	-	424	13	255	692	852
Environmental Conservation, Department of.....	-	-	-	-	-	-	-	-	-	-	89,700	20,185	47,844	157,729	159,887
Financial Control Board for New York City.....	-	-	-	-	-	-	-	-	-	-	1,240	620	714	2,574	2,574
Financial Services, Department of.....	-	-	-	-	102,100	-	-	16,440	-	118,540	132,917	27,524	79,497	358,478	421,112
General Services, Office of.....	-	-	-	-	-	-	-	-	-	-	5,002	2,948	2,999	10,949	15,868
Health, Department of.....	-	-	-	5,470,905	868,320	-	-	30,183	-	6,369,408	134,769	143,534	36,647	6,684,358	7,127,816
Higher Education Services Corp.....	-	-	-	-	-	1,207	-	-	-	1,207	10,426	15,201	6,228	33,062	38,710
Homeland Security and Emergency Services, Division of.....	-	-	-	-	-	41,700	-	989	-	42,689	40,799	11,776	6,645	101,909	92,635
Housing and Community Renewal, Division of.....	-	-	-	-	-	-	155	-	-	155	40,835	8,453	21,577	71,020	64,519
Indigent Legal Services, Office of.....	-	-	-	-	-	113,060	-	-	-	113,060	2,820	289	1,683	117,852	90,266
Information Technology Services, Office of.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Inspector General, Office of the State.....	-	-	-	-	-	-	-	-	-	-	-	494	-	494	494
Interest on Lawyer Account (IOLA).....	-	-	-	-	-	-	19,890	-	-	19,890	857	553	514	21,814	21,506
Justice Center for the Protection of People with Special Needs.....	-	-	-	-	479	-	-	-	-	479	947	26	565	2,017	2,068
Labor, Department of.....	-	-	-	-	-	-	-	-	-	-	23,336	7,724	13,957	45,017	68,631
Lake George Park Commission.....	-	-	-	-	-	-	-	-	-	-	714	311	393	1,418	1,557
Law, Department of.....	-	-	-	-	-	-	-	-	-	-	41,357	45,373	20,478	107,208	99,472
Legislature.....	-	-	-	-	-	-	-	-	-	-	-	937	-	937	1,179
Medicaid Inspector General, Office of.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Mental Health, Office of.....	-	-	-	-	136	-	-	-	-	136	-	289	-	425	2,800
Military and Naval Affairs, Division of.....	-	-	-	-	-	-	-	-	-	-	-	2,927	-	2,927	5,160
Motor Vehicles, Department of.....	-	-	-	-	-	-	-	-	-	-	31,242	10,931	18,646	60,819	62,126
NYS Gaming Commission.....	-	-	57,163	-	-	-	-	-	-	57,163	28,743	12,568	15,606	114,080	160,613
Parks, Recreation and Historic Preservation, Office of.....	-	4,679	-	-	-	-	-	-	-	4,679	26,172	24,389	4,040	59,280	67,179
People With Developmental Disabilities, Office for.....	-	-	-	-	-	-	-	-	-	-	-	61	-	61	76
Prevention of Domestic Violence, Office for the.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	44

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 22
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2020-21	2019-20
Public Benefits Corporations:															
Energy Research and Development Authority	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 151	\$ -	\$ 151	\$ 187	
Olympic Regional Development Authority	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Public Employment Relations Board	-	-	-	-	-	-	-	-	-	-	58	-	58	20	
Public Service, Department of	-	-	-	-	-	-	1,448	-	1,448	43,402	7,160	25,899	77,909	78,186	
State, Department of	-	-	-	-	-	-	-	-	-	26,517	11,390	16,287	54,194	49,717	
State Comptroller, Office of the	-	-	-	-	-	-	-	-	-	14,824	4,491	1,754	21,069	16,504	
State Police, Division of	-	-	-	-	-	-	-	-	-	46,906	29,033	5,647	81,586	104,169	
State University of New York Construction Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
State University of New York	1,345	-	-	-	-	-	-	-	1,345	4,134,946	2,002,614	420,052	6,558,957	6,768,370	
Statewide Financial System	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Taxation and Finance, Department of	-	-	3,440	-	-	-	-	-	3,440	22,068	42,974	13,656	82,138	96,720	
Temporary and Disability Assistance, Office of	-	-	-	-	(124)	-	-	-	(124)	-	26	10	(88)	2,822	
Transportation, Department of	-	-	-	-	-	-	-	3,541,533	3,541,533	3,038	5,055	1,798	3,551,424	3,389,473	
Unified Court System	-	-	104,332	-	-	-	-	-	104,332	68,946	34,067	29,074	236,419	263,865	
Victim Services, Office of	-	-	-	-	-	16,235	-	-	16,235	3,023	215	1,527	21,000	28,058	
Welfare Inspector General, Office of	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Workers' Compensation Board	-	-	-	-	-	-	-	-	-	87,961	63,296	52,832	204,089	193,376	
Total	\$ 5,697,895	\$ 4,679	\$ 164,935	\$ 5,470,905	\$ 974,162	\$ 202,523	\$ 409	\$ 49,060	\$ 3,541,533	\$ 16,106,101	\$ 5,200,246	\$ 2,639,452	\$ 886,320	\$ 24,832,119	\$ 25,836,381

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 23

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS				TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	Debt Service	2020-21	2019-20
Addiction Services and Supports, Office of.....	\$ -	\$ -	\$ -	\$ -	\$ 114,315	\$ -	\$ -	\$ -	\$ -	\$ 114,315	\$ 5,044	\$ 3,199	\$ 25	\$ -	\$ 122,583	\$ 94,741
Adirondack Park Agency.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Aging, State Office for the.....	-	-	-	-	111,214	1,511	641	-	-	113,366	5,475	1,431	58	-	120,330	118,163
Agriculture and Markets, Department of.....	-	-	-	-	-	-	2,002	-	-	2,002	3,867	7,207	2,237	-	15,313	17,735
Arts, Council on the.....	1,114	-	-	-	-	-	-	-	-	1,114	-	150	-	-	1,264	784
Children and Family Services, Office of.....	-	-	-	-	3,376	1,073	959,731	-	-	964,180	29,754	43,444	11,947	-	1,049,325	1,251,072
City University of New York.....	-	-	-	-	-	-	-	-	-	-	-	1,073	-	-	1,073	1,627
Commission on Quality of Care and Advocacy.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Civil Service, Department of.....	-	-	-	-	-	-	-	-	-	-	226	-	131	-	357	-
Corrections and Community Supervision, Department of.....	-	-	139	-	-	-	-	-	-	139	1,297,220	1,268	747,745	-	2,046,372	4,056
Criminal Justice Services, Division of.....	-	-	-	-	-	12,613	-	-	803	13,416	2,862	4,059	429	-	20,766	22,269
Developmental Disabilities Planning Council.....	-	-	-	-	-	-	-	-	-	-	1,153	2,216	626	-	3,995	4,619
Economic Development, Department of.....	-	-	-	-	-	-	-	6,620	-	6,620	-	185	-	-	6,805	7,620
Education, State Department of.....	3,002,920	-	-	-	-	-	-	-	-	3,002,920	90,995	66,393	50,609	-	3,210,917	3,746,649
Elections, Board of.....	-	-	1,723	-	-	-	-	-	-	1,723	652	26,297	361	-	29,033	9,183
Environmental Conservation, Department of.....	-	-	-	-	-	-	-	-	-	-	25,431	12,585	14,092	-	52,108	56,054
Financial Services, Department of.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
General Services, Office of.....	-	-	-	-	-	-	-	-	-	-	-	27,545	-	-	27,545	11,590
Health, Department of.....	-	-	51,671	43,887,331	6,409,217	-	5,184	-	-	50,353,403	127,688	552,738	55,261	-	51,089,090	46,587,740
Higher Education Services Corp.....	-	-	-	-	-	(69)	-	-	-	(69)	1	1,502	1	-	1,435	3,995
Homeland Security and Emergency Services, Division of.....	-	-	-	-	-	1,862,379	-	-	-	1,862,379	12,304	19,317	5,277	-	1,899,277	1,328,792
Housing and Community Renewal, Division of.....	-	-	-	-	-	-	48,330	-	-	48,330	5,501	2,059	3,035	-	58,925	72,675
Human Rights, Division of.....	-	-	-	-	-	-	-	-	-	-	2,624	1,999	-	-	4,623	4,384
Information Technology Services, Office of.....	-	-	-	-	-	-	-	-	-	-	308	1,891	447	-	2,646	1,281
Justice Center for the Protection of People with Special Needs.....	-	-	-	-	-	-	-	-	-	-	498	1,972	8	-	2,478	2,324
Labor, Department of.....	-	-	4,100,680	-	-	-	130,645	-	-	4,231,325	232,641	334,311	128,740	-	4,927,017	498,198
Law, Department of.....	-	-	-	-	-	-	-	-	-	-	19,224	5,341	10,856	-	35,421	38,214
Medicaid Inspector General, Office of.....	-	-	-	-	-	-	-	-	-	-	16,894	2,742	9,326	-	28,962	27,804
Mental Health, Office of.....	-	-	18	29,735	51,746	-	-	-	-	81,499	40,315	5,038	23,265	-	150,117	76,038
Military and Naval Affairs, Division of.....	-	-	-	-	-	-	-	-	-	-	23,751	33,271	7,878	-	64,900	46,270
Miscellaneous Agencies.....	-	-	-	-	-	-	-	-	-	-	-	-	-	102,221	102,221	-
Motor Vehicles, Department of.....	-	-	-	-	-	-	-	-	14,244	14,244	3,091	1,540	1,233	-	20,108	20,944
National and Community Service.....	-	-	-	-	-	-	-	-	-	-	431	8,448	-	-	8,879	11,308
Parks, Recreation and Historic Preservation, Office of.....	-	1,097	-	-	-	2,203	-	-	-	3,300	4,694	1,381	1,025	-	10,400	7,509
People With Developmental Disabilities, Office for.....	-	-	-	(582)	-	-	-	-	-	(582)	144	269	83	-	(86)	(7,643)
Prevention of Domestic Violence, Office for the.....	-	-	-	-	-	213	-	-	-	213	-	-	-	-	213	59
Public Benefits Corporations:																
Energy Research and Development Authority.....	-	-	-	-	-	-	12,422	-	-	12,422	-	-	-	-	12,422	16,474
Urban Development Corporation (Empire State Dev Corp).....	-	-	-	-	-	-	-	605	-	605	-	-	-	-	605	1,060
Public Service, Department of.....	-	-	-	-	-	5	-	-	-	5	1,756	46	1,039	-	2,846	2,773
State, Department of.....	-	-	72,074	-	-	-	-	-	-	72,074	2,910	1,518	1,615	-	78,117	60,134
State Police, Division of.....	-	-	-	-	-	-	-	-	-	-	354,979	9,381	200,105	-	564,465	28,489
State University of New York.....	-	-	-	-	-	2,288	3,674	-	-	5,962	6,283	467,348	15	-	479,608	351,860
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	352	-	204	-	556	-
Taxation and Finance, Department of.....	-	-	-	-	-	-	-	-	-	-	5,559	50	3,209	-	8,818	148
Temporary and Disability Assistance, Office of.....	-	-	-	391,751	124	-	3,003,009	-	-	3,394,884	99,432	60,083	55,355	-	3,609,754	4,141,769
Transportation, Department of.....	-	-	-	-	-	-	-	-	50,197	50,197	7,950	8,028	4,375	-	70,550	66,366
Unified Court System.....	-	-	-	-	-	-	-	-	-	-	1,953	6,475	605	-	9,033	8,598
Veterans' Services, Division of.....	-	-	-	-	-	-	-	-	-	-	644	165	358	-	1,167	1,058
Victim Services, Office of.....	-	-	-	-	-	93,681	-	-	-	93,681	2,783	552	-	-	97,016	77,649
Workers' Compensation Board.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total.....	\$ 3,004,034	\$ 1,097	\$ 4,226,305	\$ 44,308,235	\$ 6,689,992	\$ 1,975,897	\$ 4,165,638	\$ 7,225	\$ 65,244	\$ 64,443,667	\$ 2,437,389	\$ 1,724,517	\$ 1,341,575	\$ 102,221	\$ 70,049,369	\$ 58,822,432

STATE OF NEW YORK
DEBT SERVICE FUNDS
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in thousands)

SCHEDULE 24

Description	Related Expenses (*)	Debt Service	Total	
			2020-21	2019-20
<u>Special Contractual Financing Obligation Payments:</u>				
To Public Benefit Corporations:				
City University of New York.....	\$ 6,706	\$ 7,116	\$ 13,822	\$ 205,349
Dormitory Authority.....	45,871	11,037,263	11,083,134	2,216,408
Environmental Facilities Corporation.....	95	17,266	17,361	17,365
Housing Finance Agency.....	244	20,325	20,569	31,619
Local Government Assistance Corporation.....	-	79,443	79,443	302,401
Metropolitan Transportation Authority.....	-	-	-	-
New York State Thruway Authority.....	374	172,636	173,010	621,749
Urban Development Corporation (Empire State Dev Corp).....	8,003	1,620,210	1,628,213	1,288,654
Subtotal.....	61,293	12,954,259	13,015,552	4,683,545
Interest on General Obligation Bonds.....	-	76,702	76,702	87,460
Principal on General Obligation Bonds.....	-	165,295	165,295	180,715
Administrative Expenses for Variable Rate General Obligation Bonds.....	116	-	116	643
Total Debt Service Funds Disbursements.....	\$ 61,409	\$ 13,196,256	\$ 13,257,665	\$ 4,952,363

(*) Related expenses include remarketing fees, cost of issuance expenses, administrative fees, capital expenses and other expenses paid to the bank and/or the public benefit corporations issuing the bonds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

SCHEDULE 25

Department/Division	LOCAL ASSISTANCE GRANTS											TOTAL	
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2020-21	2019-20
	Education	Recreation	Government	Medicaid	Public Health	Safety	Welfare	Business	Transportation	Grants	Construction	2020-21	2019-20
Addiction Services and Supports, Office of.....	\$ -	\$ -	\$ 2,324	\$ -	\$ 31,025	\$ -	\$ 2,436	\$ -	\$ -	\$ 35,785	\$ 9,695	\$ 45,480	\$ 31,909
Adirondack Park Agency.....	-	-	-	-	-	-	-	-	-	-	-	-	-
Agriculture and Markets, Department of.....	-	70	-	-	-	-	-	-	-	70	64,671	64,741	68,673
Children and Family Services, Office of.....	-	-	-	-	-	-	-	-	-	-	19,460	19,460	22,729
City University of New York.....	-	-	-	-	-	-	-	-	-	-	34,844	34,844	36,876
Corrections and Community Supervision, Department of.....	-	-	-	-	-	-	-	-	-	-	309,175	309,175	412,425
Criminal Justice Services, Division of.....	-	183	-	-	-	-	-	-	-	183	-	183	4,334
Education, State Department of.....	106,339	-	-	-	-	-	-	-	-	106,339	12,510	118,849	176,484
Environmental Conservation, Department of.....	-	117,428	3,099	-	-	1,197	-	-	-	121,724	328,978	450,702	543,590
General Services, Office of.....	-	-	-	-	-	-	-	-	-	-	276,886	276,886	176,881
Health, Department of.....	-	38,240	17,687	-	459,074	-	4,918	-	-	519,919	78,109	598,028	577,633
Homeland Security and Emergency Services, Division of.....	5,747	-	(10)	-	-	47,206	-	-	-	52,943	99,895	152,838	88,334
Housing and Community Renewal, Division of.....	-	-	9,564	-	-	-	543,353	-	-	552,917	-	552,917	360,115
Information Technology Services, Office of.....	-	-	-	-	-	-	-	-	-	-	97,722	97,722	72,266
Law, Department of.....	-	-	-	-	-	-	-	-	-	-	3,364	3,364	5,041
Mental Health, Office of.....	-	-	1,008	-	22,725	-	1,505	-	-	25,238	292,556	317,794	284,082
Military and Naval Affairs, Division of.....	-	-	-	-	-	-	-	-	-	-	180,951	180,951	90,101
Motor Vehicles, Department of.....	-	-	-	-	-	-	-	-	-	-	309,089	309,089	262,789
Office of the State Inspector General.....	-	-	-	-	-	-	-	-	-	-	544	544	541
Parks, Recreation and Historic Preservation, Office of.....	-	23,313	-	-	-	-	-	-	-	23,313	260,984	284,297	239,979
People With Developmental Disabilities, Office for.....	-	-	154	-	1,064	-	184	-	-	1,402	98,757	100,159	102,180
Public Benefits Corporations:													
Dormitory Authority.....	-	-	140,396	-	-	-	-	33,716	-	174,112	1,006	175,118	188,487
Energy Research and Development Authority.....	-	-	-	-	-	-	-	-	-	-	28,877	28,877	23,991
Environmental Facilities Corporation.....	-	-	-	-	-	-	-	100	-	100	-	100	-
Higher Education Capital Matching Grant Board.....	10,047	-	-	-	-	-	-	-	-	10,047	-	10,047	5,669
Hudson River Park Trust.....	-	-	-	-	-	-	-	-	-	-	6,546	6,546	8,959
Metropolitan Transportation Authority.....	-	-	-	-	-	-	-	201,199	1,369,634	1,570,833	-	1,570,833	606,546
New York State Canal Corporation.....	-	-	-	-	-	-	-	131	-	131	-	131	32
New York State Thruway Authority.....	-	-	-	-	-	-	-	1,600	-	1,600	53,872	55,472	328,472
Olympic Regional Development Authority.....	-	-	-	-	-	-	-	-	-	-	81,488	81,488	99,935
Power Authority.....	-	-	-	-	-	-	-	11,052	-	11,052	28,000	39,052	49,814
Roosevelt Island Operating Corporation.....	-	-	-	-	-	-	-	-	-	-	-	-	-
Urban Development Corporation (Empire State Dev Corp).....	-	-	280,749	-	-	-	-	420,412	-	701,161	-	701,161	1,185,337
State Police, Division of.....	-	-	-	-	-	-	-	-	-	-	40,431	40,431	49,326
State University of New York Construction Fund.....	-	-	-	-	-	-	-	-	-	-	586,072	586,072	719,876
State University of New York.....	-	-	-	-	-	-	-	-	-	-	295,008	295,008	284,107
State, Department of.....	-	13,239	13,646	-	-	-	-	1,898	-	28,783	2,032	30,815	32,180
Temporary and Disability Assistance, Office of.....	-	-	10,130	-	-	-	52,203	1,420	-	63,753	1,282	65,035	74,588
Transportation, Department of.....	-	-	133,886	-	-	-	-	2,572	550,584	687,042	2,289,940	2,976,982	2,837,800
Unified Court System.....	-	-	-	-	-	-	-	-	-	-	28,210	28,210	21,294
All Other.....	-	8	2,586	-	-	-	-	10,888	-	13,482	71,721	85,203	60,623
Total.....	\$ 122,133	\$ 192,481	\$ 615,219	\$ -	\$ 513,888	\$ 48,403	\$ 604,599	\$ 684,988	\$ 1,920,218	\$ 4,701,929	\$ 5,992,675	\$ 10,694,604	\$ 10,133,998

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS
 FISCAL YEAR ENDED MARCH 31, 2021
 (amounts in thousands)

SCHEDULE 26

Department/Division	LOCAL ASSISTANCE GRANTS											TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2020-21	2019-20
	Environmental Conservation, Department of.....	\$ -	\$ 6,666	\$ -	\$ -	\$ -	\$ 4,400	\$ -	\$ -	\$ -	\$ 11,066	\$ 25,075	\$ 36,141
Health, Department of.....	-	-	-	-	3,925	-	-	-	-	3,925	2,460	6,385	47,234
Military and Naval Affairs, Division of.....	-	-	-	-	-	-	-	-	-	-	35,725	35,725	35,292
Parks, Recreation and Historic Preservation, Office of.....	-	-	-	-	-	-	-	-	-	-	12,357	12,357	10,827
Information Technology Services, Office of.....	-	-	-	-	-	-	-	-	-	-	2,718	2,718	-
Transportation, Department of.....	-	-	-	-	-	-	-	-	523,861	523,861	1,019,019	1,542,880	1,559,299
Total.....	\$ -	\$ 6,666	\$ -	\$ -	\$ 3,925	\$ 4,400	\$ -	\$ -	\$ 523,861	\$ 538,852	\$ 1,097,354	\$ 1,636,206	\$ 1,864,452

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND (*)
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THROUGH 2020-21

SCHEDULE 27

Fund Balance		
Cumulative Transfers of General Fund Cash Basis Surplus (**)	\$ 1,247,613,898
Interest Earned on Temporary Investment of Surpluses (1947 - 1963)	10,148,700
Total Fund (Cash) Balance	\$ 1,257,762,598
Account Activity Life-to-Date		
Accounts Receivable		
First Instance Advances (***)	\$ 152,294,394
Repayment of First Instance Advances (****)	(152,294,394)
Total Accounts Receivable	\$ -
Loans Receivable		
Loans to General Fund to Cover Cash Deficits (*****)	\$ 473,298,928
Repayments of Loans to Date (*****)	(473,298,928)
Total Loans Receivable	\$ -

Footnotes:

(*) Pursuant to Article VII of the State Constitution and Section 92 of the State Finance Law, receipts in excess of General Fund requirements not otherwise appropriated are required to be transferred to the Tax Stabilization Reserve Fund at the end of each fiscal year. Loans to finance cash deficits must be repaid within six years in at least three equal annual installments. As of March 31, 2021, there are no loans outstanding.

(**) Transfers of Surplus from the General Fund to the Reserve Fund:		Subtotal (carry forward).....	191,223,898
March 31:			
1946.....	\$ 50,000,000	1996.....	65,000,000
1947.....	58,712,842	1997.....	65,000,000
1948.....	3,917,297	1998.....	68,600,000
1949.....	5,425,258	1999.....	72,962,000
1950.....	1,698,783	2000.....	74,300,000
1951.....	3,381,320	2001.....	79,790,000
1952.....	642,650	2002.....	82,910,000
1953.....	6,992,071	2004.....	84,000,000
1954.....	7,722,315	2005.....	78,000,000
1955.....	1,027,379	2006.....	72,514,000
1956.....	375,882	2007.....	86,951,000
1957.....	269,700	2012.....	100,000,000
1958.....	169,329	2015.....	126,363,000
1959.....	124,878	Total Transfers from the General Fund	\$ 1,247,613,898
1960.....	615,641		
1979.....	49,676,865		
1985.....	234,777		
1986.....	97,732		
1987.....	139,179		
Subtotal (carry forward).....	191,223,898		

STATE OF NEW YORK
TAX STABILIZATION RESERVE FUND
STATEMENT OF NET CASH TRANSACTIONS
FISCAL YEARS 1945-46 THROUGH 2020-21

SCHEDULE 27
(continued)

Footnotes (continued):

(***) First Instance Advances to:

Local Governments.....	\$	6,932,932
Metropolitan Transportation Authority.....		62,238,750
Other Public Authorities.....		6,799,862
State Agencies.....		47,353,816
Miscellaneous Commissions and Funds.....		28,969,034
Total First Instance Advances.....	\$	152,294,394

(****) Repayment of First Instance Advances:

1984.....	\$	50,764,798
1985.....		50,764,798
1986.....		50,764,798
Total Repayment of First Instance Advances.....	\$	152,294,394

(*****) Loans to General Fund to Cover Operating Deficit for Fiscal Year Ended March 31:

1949.....	\$	3,146,805
1950.....		13,929,926
1951.....		12,917,100
1971.....		23,172,661
1972.....		42,700,850
1975.....		18,482,584
1976.....		64,808,688
1983.....		48,606,516
1988.....		132,483,599
1989.....		68,888,999
1992.....		44,161,200
Total Transfers to Cover Operating Deficits.....	\$	473,298,928

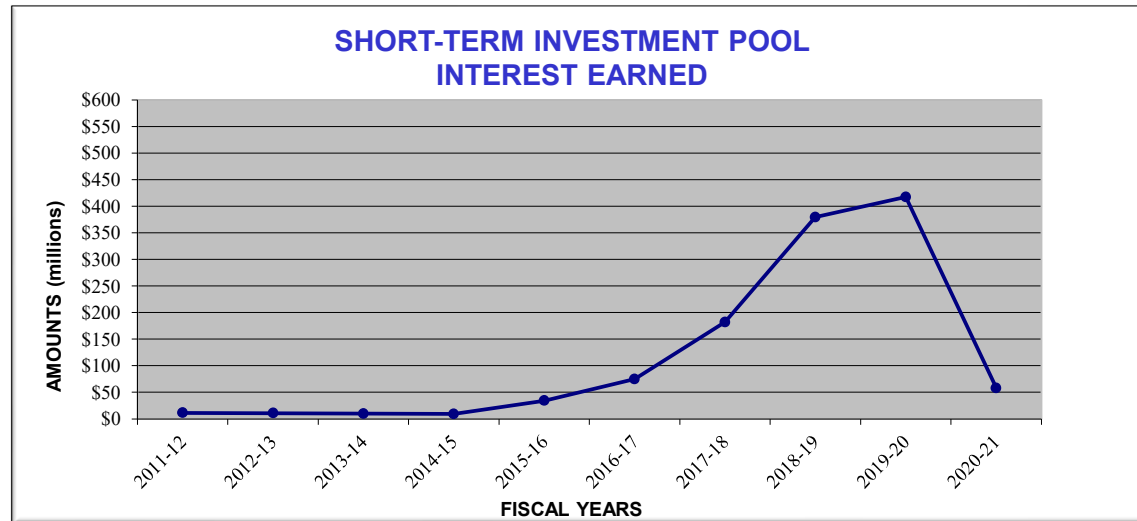
(*****) Repayments from General Fund of Prior Years Withdrawals:

1950.....	\$	3,146,805
1952.....		4,729,660
1953.....		14,253,064
1954.....		7,864,302
1973.....		65,873,511
1978.....		18,482,584
1979.....		16,202,172
1980.....		16,202,172
1981.....		16,202,172
1982.....		16,202,172
1987.....		16,202,172
1988.....		16,202,172
1989.....		16,202,172
1992.....		44,161,200
1993.....		67,124,200
1994.....		67,124,199
1995.....		22,962,999
1996.....		14,720,400
1997.....		14,720,400
1998.....		14,720,400
Total Repayments from General Fund.....	\$	473,298,928

**STATE OF NEW YORK
SHORT-TERM INVESTMENT POOL
FISCAL YEARS 2011-12 THROUGH 2020-21
(amounts in millions)**

SCHEDULE 28

	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>	<u>2020-21</u>
Average Yields	0.136%	0.167%	0.140%	0.117%	0.231%	0.635%	1.341%	2.221%	2.028%	0.181%
Average Portfolio Balance	\$ 7,955.373	\$ 6,494.290	\$ 6,767.400	\$ 8,890.987	\$ 15,000.434	\$ 12,514.837	\$ 13,877.525	\$ 17,647.625	\$ 20,308.120	\$ 30,526.466
Total Interest Income Earned ^(*)	\$ 11.452	\$ 10.852	\$ 9.978	\$ 9.288	\$ 34.283	\$ 75.093	\$ 182.250	\$ 379.425	\$ 417.550	\$ 58.280



(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$362,816.91 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK
INVESTMENT YIELDS, PORTFOLIO BALANCES AND INTEREST EARNINGS
FISCAL YEAR ENDED MARCH 31, 2021
(amounts in millions)**

SCHEDULE 29

	AVERAGE YIELDS	AVERAGE-PORTFOLIO BALANCE	TOTAL INTEREST INCOME EARNED
Short-Term Investment Pool (STIP)	0.181%	\$ 30,526.466	\$ 58.280 (*)
NYC General Debt Service Funds	0.102%	411.595	0.419
Other Funds:			
Fish and Game	1.238%	63.880	0.790
Local Gov't Assist. Tax Fund Debt Service	0.055%	16.274	0.009
Mental Health Services Fund	0.110%	82.451	0.091
Roswell Park - Cancer Institute	0.559%	15.231	0.085

2020-2021 MONTHLY RESULTS

	April	May	June	July	August	September	October	November	December	January	February	March
Short-Term Investment Pool												
Yield	1.037%	0.208%	0.146%	0.150%	0.143%	0.133%	0.122%	0.124%	0.132%	0.126%	0.110%	0.094%
Average Portfolio	\$ 22,580.6	\$ 26,422.2	\$ 25,691.0	\$ 29,095.5	\$ 32,899.7	\$ 34,385.1	\$ 34,725.3	\$ 32,362.5	\$ 33,104.1	\$ 37,077.0	\$ 41,559.7	\$ 37,403.3
NYC General Debt Service Funds												
Yield	0.093%	0.118%	0.092%	0.114%	0.128%	0.128%	0.085%	0.085%	0.091%	0.066%	0.068%	0.066%
Average Portfolio	\$ 289.9	\$ 309.3	\$ 60.5	\$ 2,182.0	\$ 269.9	\$ 252.0	\$ 287.5	\$ 234.1	\$ 33.6	\$ 586.6	\$ 260.3	\$ 132.4
Other Funds												
Yield	1.078%	0.411%	0.279%	0.378%	0.571%	0.516%	0.380%	0.276%	0.232%	0.216%	3.010%	0.339%
Average Portfolio	\$ 118.3	\$ 151.7	\$ 258.2	\$ 174.9	\$ 95.7	\$ 108.6	\$ 161.4	\$ 207.7	\$ 256.2	\$ 286.2	\$ 157.2	\$ 155.3

(*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$362,816.91 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK
INVESTMENT PORTFOLIO BALANCES
AS OF MARCH 31, 2021**

SCHEDULE 30

	Treasury Bills	Government Sponsored Agencies	Repurchase Agreements (*)	Commercial Paper	Savings Account	Certificates of Deposit	Totals	
							2020-21	2019-20
Short-Term Investment Pool (STIP).....	\$ 15,467,588,000	\$ -	\$ 18,083,000	\$ 10,689,031,000	\$ 490,000,000	\$ 500,620,361	\$ 27,165,322,361	\$ 21,480,673,820
NYC General Debt Service Funds.....	-	-	-	-	-	-	-	-
Other Funds:								
Fish and Game.....	63,512,000	-	-	-	-	-	63,512,000	64,270,000
Local Gov't Assist. Tax Fund Debt Service.....	-	-	-	-	-	-	-	-
Mental Health Services Fund.....	22,423,000	-	-	-	-	-	22,423,000	24,190,000
Roswell Park Cancer Institute.....	18,747,000	-	-	-	-	-	18,747,000	18,119,500
TOTAL INVESTMENT PORTFOLIO.....	\$ 15,572,270,000	\$ -	\$ 18,083,000	\$ 10,689,031,000	\$ 490,000,000	\$ 500,620,361	\$ 27,270,004,361	\$ 21,587,253,320

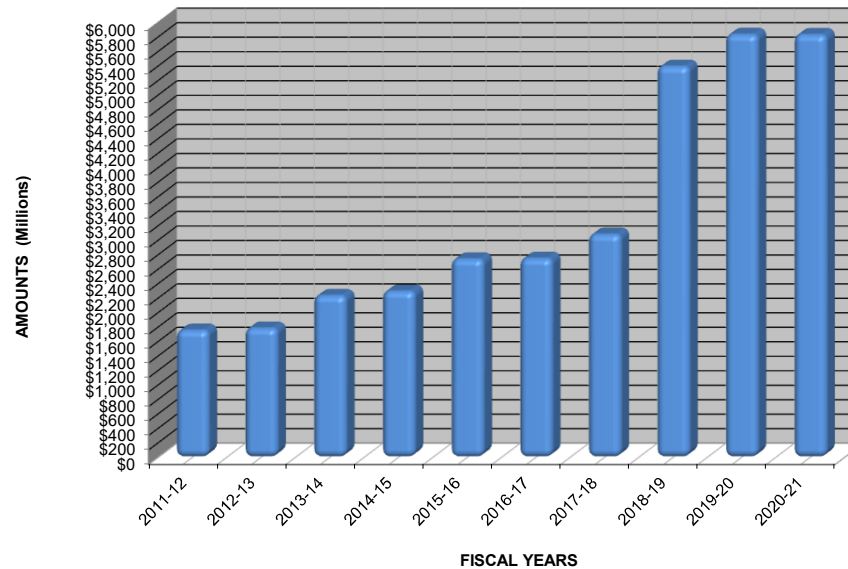
(*) Par value of collateral held

**STATE OF NEW YORK
TEMPORARY LOANS OUTSTANDING (*)
FISCAL YEARS 2011-12 THROUGH 2020-21**

SCHEDULE 31

DESCRIPTION	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
STATE SPECIAL REVENUE FUNDS.....	\$ 455,159,430.76	\$ 419,499,664.90	\$ 442,503,062.90	\$ 323,140,719.98	\$ 345,240,384.02	\$ 298,260,936.34	\$ 312,983,433.23	\$ 349,741,388.88	\$ 378,683,666.13	\$ 431,205,924.88
FEDERAL FUNDS.....	341,941,278.04	322,956,954.98	643,460,447.10	718,739,098.23	1,258,139,231.72	979,612,229.30	1,189,756,139.24	3,416,782,834.39	3,898,120,941.68	3,695,734,808.95
ENTERPRISE FUNDS.....	-	-	-	-	-	72,549.27	88,944.37	335,202.11	415,477.58	1,272,762.65
INTERNAL SERVICE FUNDS.....	37,435,452.57	79,098,819.94	122,773,157.24	233,654,939.37	179,316,239.49	234,500,082.36	301,717,618.43	337,584,993.15	339,943,521.52	432,497,992.24
CAPITAL AND BOND REIMBURSABLE FUNDS...	930,502,988.49	972,368,348.38	1,034,847,615.92	1,029,229,405.60	966,447,979.04	1,246,132,185.60	1,285,872,692.13	1,300,440,314.22	1,225,847,992.33	1,279,340,982.52
AGENCY FUNDS.....	-	-	-	-	-	-	-	-	-	-
	\$ 1,765,039,149.86	\$ 1,793,923,788.20	\$ 2,243,584,283.16	\$ 2,304,764,163.18	\$ 2,749,143,834.27	\$ 2,758,577,982.87	\$ 3,090,418,827.40	\$ 5,404,884,732.75	\$ 5,843,011,599.24	\$ 5,840,052,471.24
CHANGE FROM PRIOR YEAR	6.57%	1.64%	25.07%	2.73%	19.28%	0.34%	12.03%	74.89%	8.11%	-0.05%
CHANGE FROM 2011-12 TO 2020-21										230.87%

TEMPORARY LOANS OUTSTANDING



(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. These loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2021

SCHEDULE 32
 (continued)

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
CAPITAL AND BOND REIMBURSABLE FUNDS			CAPITAL AND BOND REIMBURSABLE FUNDS (continued)		
30051	HIGHWAY AND BRIDGE CAPITAL.....	44,223,561.21	30148	D24RVE - COBLESKILL.....	-
30053	AVIATION PURPOSE ACCOUNT.....	-	30149	REHAB/REPAIR DELHI.....	-
30101	REHAB/REPAIR MARITIME.....	-	30150	D25RVE - DELHI.....	-
30102	D21RVE - MARITIME.....	-	30151	REHAB/REPAIR FARMINGDALE.....	-
30103	D36RVE - CENTRAL ADMIN.....	-	30152	D26RVE - FARMINGDALE.....	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS.....	-	30153	REHAB/REPAIR MORRISVILLE.....	-
30105	REHAB/REPAIR ALBANY.....	-	30154	D27RVE - MORRISVILLE.....	-
30106	D01RVE - ALBANY.....	-	30351	STATE PARK INFRASTRUCTURE.....	35,978,959.86
30107	REHAB/REPAIR BINGHAMTON.....	-	30501	CW/CA IMPLEMENTATION DEC.....	-
30108	D07RVE - BINGHAMTON.....	-	30502	CW/CA IMPLEMENTATION STATE.....	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY.....	-	30503	CW/CA IMPLEMENTATION ERDA.....	-
30110	D28RVE - SUNY BUFFALO.....	-	30504	CW/CA IMPLEMENTATION EFC.....	-
30111	REHAB/REPAIR STONYBROOK.....	-	31506	HAZARDOUS WASTE CLEANUP ACCOUNT.....	110,319,683.26
30112	D13RVE - STONYBROOK.....	-	31701	YOUTH FACILITIES IMPROVEMENT.....	14,290,480.45
30113	REHAB/REPAIR BROOKLYN.....	-	31801	HOUSING ASSISTANCE.....	12,941,967.06
30114	D14RVE - HSC BROOKLYN.....	-	31851	HOUSING PROG FUND - HOUSING TRUST FUND CORPORATION.....	220,626,177.47
30115	REHAB/REPAIR SYRACUSE.....	-	31852	HOUSING PROG FUND - AFFORD HOUSING-CORPORATION.....	40,966,709.72
30116	D15RVE - HSC SYRACUSE.....	-	31853	HOUSING PROG FUND - DEPT OF SOCIAL SERVICES.....	116,146,517.14
30117	REHAB/REPAIR BROCKPORT.....	-	31854	HOUSING PROG FUND - HFA.....	-
30118	D02RVE - BROCKPORT.....	-	31951	HIGHWAY FACILITY PURPOSE.....	11,970,753.74
30119	REHAB/REPAIR BUFFALO COLLEGE.....	-	32213	NY RACING ACCOUNT.....	153,750.00
30120	D03RVE - SUB BUFFALO.....	-	32214	CAPITAL PROJECTS MISCELLANEOUS GIFTS.....	-
30121	REHAB/REPAIR CORTLAND.....	-	32215	IT CAPITAL FINANCING ACCT.....	7,469,744.20
30122	D04RVE - CORTLAND.....	-	32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION.....	-
30123	REHAB/REPAIR FREDONIA.....	-	32301	OPWDD - STATE FACILITIES PRE 12/99.....	-
30124	D05RVE - FREDONIA.....	-	32302	DSAS - COMMUNITY FACILITIES.....	-
30125	REHAB/REPAIR GENESEO.....	-	32303	OMH - COMMUNITY FACILITIES.....	73,198,521.63
30126	D06RVE - GENESEO.....	-	32304	OPWDD - COMMUNITY FACILITIES.....	-
30127	REHAB/REPAIR OLD WESTBURY.....	-	32305	OASAS - COMMUNITY FACILITIES.....	187,018,085.14
30128	D31RVE - OLD WESTBURY.....	-	32306	DASNY - OMH ADMIN.....	-
30129	REHAB/REPAIR NEW PALTZ.....	-	32307	DASNY - OPWDD ADMIN.....	4,369,852.32
30130	D08RVE - NEW PALTZ.....	-	32308	DASNY - OASAS ADMIN.....	1,483,213.09
30131	REHAB/REPAIR ONEONTA.....	-	32309	OMH - STATE FACILITIES.....	131,339,974.32
30132	D09RVE - ONEONTA.....	-	32310	OPWDD - STATE FACILITIES.....	38,392,326.77
30133	REHAB/REPAIR OSWEGO.....	-	32311	OASAS - STATE FACILITIES.....	5,095,981.17
30134	D10RVE - OSWEGO.....	-	32351	CORRECTIONAL FACILITY CAPITAL IMPROVEMENT.....	-
30135	REHAB/REPAIR PLATTSBURGH.....	-	32352	DOCCS - REHABILITATION PROJECTS.....	169,126,625.24
30136	D11RVE - PLATTSBURGH.....	-	32353	CORRECTIONAL FACILITY CAPITAL CLOSURE.....	-
30137	REHAB/REPAIR POTSDAM.....	-	33001	STORM RECOVERY ACCOUNT.....	54,228,098.73
30138	D12RVE - POTSDAM.....	-			
30139	REHAB/REPAIR PURCHASE.....	-		TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS.....	\$ 1,279,340,982.52
30140	D29RVE - PURCHASE.....	-			
30141	REHAB/REPAIR FOR UTICA/ROME.....	-		ENTERPRISE FUNDS	
30142	D27RVE - CAMPUS RESERVE.....	-	50318	OGS CONVENTION CENTER ACCOUNT.....	989,160.13
30143	REHAB/REPAIR ALFRED.....	-	50327	EMPIRE STATE PLAZA GIFT SHOP.....	283,602.52
30144	D22RVE - ALFRED.....	-			
30145	REHAB/REPAIR CANTON.....	-		TOTAL ENTERPRISE FUNDS.....	\$ 1,272,762.65
30146	D23RVE - CANTON.....	-			
30147	REHAB/REPAIR COBLESKILL.....	-			

STATE OF NEW YORK
 TEMPORARY LOANS OUTSTANDING (*)
 AS OF MARCH 31, 2021

SCHEDULE 32
 (continued)

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
INTERNAL SERVICE FUNDS			INTERNAL SERVICE FUNDS (continued)		
55001	CENTRALIZED SERVICES - FLEET MANAGEMENT.....	-	55052	ARCHIVES RECORD MANAGEMENT.....	-
55002	CENTRALIZED SERVICES - INFORMATION RESOURCE MANAGEMENT.....	-	55053	FEDERAL SINGLE AUDIT.....	-
55003	CENTRALIZED SERVICES - PRINTING.....	1,016,572.91	55056	CIVIL SERVICE EHS OCCUPATIONAL HEALTH PROGRAM.....	-
55004	CENTRALIZED SERVICES - REAL PROPERTY LABOR.....	-	55057	BANKING SERVICES ACCOUNT.....	-
55005	CENTRALIZED SERVICES - DONATED FOODS.....	-	55058	CULTURAL RESOURCE SURVEY.....	3,482,424.24
55006	CENTRALIZED SERVICES - FEDERAL PERSONAL PROPERTY.....	53,222.28	55059	NEIGHBOR WORK PROJECT.....	11,370,586.21
55007	CENTRALIZED SERVICES - CONSTRUCTION SERVICES.....	2,247,705.48	55060	AUTOMATIC / PRINT CHARGEBACKS.....	-
55008	CENTRALIZED SERVICES - PASNY.....	-	55061	OFFICE OF INFORMATION TECHNOLOGY SERVICES/ NYT.....	-
55009	CENTRALIZED SERVICES - ADMINISTRATIVE SUPPORT.....	-	55062	DATA CENTER ACCOUNT.....	91,579,457.26
55010	CENTRALIZED SERVICES - DESIGN AND CONSTRUCTION.....	8,473,715.65	55066	CYBER SECURITY INTRUSION ACCOUNT.....	1,261,584.27
55011	CENTRALIZED SERVICES - INSURANCE SERVICE.....	5,640,113.46	55067	DOMESTIC VIOLENCE GRANT.....	98,656.34
55012	CENTRALIZED SERVICES - SECURITY CARD ACCESS.....	166,190.71	55069	CENTRALIZED TECHNOLOGY SERVICES.....	93,610,109.18
55013	CENTRALIZED SERVICES - COPS.....	-	55071	LABOR CONTACT CENTER.....	1,506,512.53
55014	CENTRALIZED SERVICES - FOOD SERVICES.....	-	55072	HUMAN SERVICES CONTACT CENTER.....	3,327,243.37
55015	CENTRALIZED SERVICES - HOMER FOLKS.....	-	55073	TAX CONTACT CENTER.....	-
55016	CENTRALIZED SERVICES - INTERAGENCY MAIL & MESSENGER COURIER SERVICE..	1,382,226.22	55074	CIVIL RECOVERIES ACCOUNT.....	3,178,989.26
55017	DOWNSTATE WAREHOUSE.....	421,903.79	55251	EXECUTIVE DIRECTION INTERNAL AUDIT.....	8,774,839.01
55018	BUILDING ADMINISTRATION ACCOUNT.....	-	55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES.....	31,710,860.42
55019	LEASE SPACE INITIATIVE.....	-	55300	HEALTH INSURANCE INTERNAL SERVICE.....	6,244,995.48
55020	OGS ENTERPRISE CONTRACTING.....	73,938,006.68	55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM ACCOUNT.....	6,873,883.93
55021	NYS MEDIA CENTER.....	11,910,214.54	55350	CORRECTIONAL INDUSTRIES INTERNAL SERVICE.....	32,577,741.51
55022	BUSINESS SERVICES CENTER.....	31,650,237.51			
				TOTAL INTERNAL SERVICE FUNDS.....	\$ 432,497,992.24
AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT.....	-			
60901	MMIS - STATE AND FEDERAL.....	-			
TOTAL AGENCY FUNDS.....		\$ -	GRAND TOTAL.....		\$ 5,840,052,471.24

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 33

This schedule presents balances of petty cash, travel, and other cash advances (i.e., confidential, patient, and inmate work release) issued to State business units pursuant to Section 115 of the State Finance Law. Advances are issued from business unit appropriations and cash is transferred from the State Treasury to a local bank account for appropriate use. Cash advance accounts are reimbursed periodically by vouchers audited and approved by the State Comptroller for payment out of the State Treasury.

<u>BUSINESS UNIT</u>	<u>PETTY CASH</u>	<u>TRAVEL</u>	<u>OTHER</u>	<u>TOTAL ADVANCES</u>
Addiction Services and Supports, Office of:				
Addiction Services and Supports, Office of.....	\$ 2,800	\$ -	\$ -	\$ 2,800
Kingsboro Addiction Treatment Center.....	1,500	-	-	1,500
Adirondack Park Agency.....	4,000	-	-	4,000
Agriculture and Markets, Department of.....	10,000	-	-	10,000
Alcoholic Beverage Control, Division of.....	-	-	2,000	2,000
Budget, Division of the.....	5,000	-	-	5,000
Children and Family Services, Office of:				
Children and Family Services, Office of.....	26,400	-	54,000	80,400
Harriet Tubman Residential Center.....	500	-	-	500
City University of New York:				
College of Staten Island.....	5,500	-	-	5,500
Hunter College.....	55,000	-	-	55,000
John Jay College.....	18,700	-	-	18,700
Lehman College.....	5,000	-	-	5,000
New York City College of Technology.....	5,000	-	-	5,000
School of Journalism.....	5,000	-	-	5,000
School of Law.....	30,000	-	-	30,000
University Accounting Office - Accounts Payable.....	13,000	-	-	13,000
York College.....	10,500	-	-	10,500
Civil Service, Department of.....	3,000	-	-	3,000
Correction, State Commission of.....	2,000	-	-	2,000
Corrections and Community Supervision (Corcraft), Department of.....	1,500	-	-	1,500
Corrections and Community Supervision, Department of:				
Adirondack Correctional Facility.....	500	250	100	850
Albion Correctional Facility.....	2,000	500	2,700	5,200

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 33
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Altona Correctional Facility.....	2,500	600	200	3,300
Attica Correctional Facility.....	1,500	500	200	2,200
Auburn Correctional Facility.....	4,000	1,000	250	5,250
Bare Hill Correctional Facility.....	1,500	500	500	2,500
Bedford Hills Correctional Facility.....	5,000	250	500	5,750
Cape Vincent Correctional Facility.....	1,000	1,000	500	2,500
Cayuga Correctional Facility.....	1,500	100	300	1,900
Central Pharmacy.....	1,000	-	-	1,000
Clinton Correctional Facility.....	10,500	3,000	500	14,000
Collins Correctional Facility.....	2,500	100	2,250	4,850
Coxsackie Correctional Facility.....	2,000	-	700	2,700
Downstate Correctional Facility.....	1,700	100	1,000	2,800
Eastern Correctional Facility.....	2,500	300	50	2,850
Edgecombe Correctional Facility.....	1,000	100	1,900	3,000
Elmira Correctional Facility.....	2,000	300	650	2,950
Fishkill Correctional Facility.....	1,000	1,000	3,500	5,500
Five Points Correctional Facility.....	500	500	250	1,250
Franklin Correctional Facility.....	4,500	300	1,000	5,800
Gouverneur Correctional Facility.....	1,000	250	500	1,750
Gowanda Correctional Facility.....	2,200	500	200	2,900
Great Meadow Correctional Facility.....	5,500	500	300	6,300
Green Haven Correctional Facility.....	2,000	500	300	2,800
Greene Correctional Facility.....	1,000	500	1,000	2,500
Groveland Correctional Facility.....	2,000	100	400	2,500
Hale Creek Alcohol and Substance Abuse Correctional Treatment Center	650	250	25	925
Hudson Correctional Facility.....	3,000	100	2,050	5,150
Lakeview Shock Incarceration Correctional Facility.....	3,000	500	200	3,700
Marcy Correctional Facility.....	3,500	250	500	4,250
Mid-State Correctional Facility.....	1,000	600	300	1,900
Mohawk Correctional Facility.....	2,500	100	250	2,850
Moriah Shock Incarceration Correctional Facility.....	2,000	-	-	2,000
Ogdensburg Correctional Facility.....	2,500	550	250	3,300
Operations - Central Office.....	20,000	5,000	2,500	27,500
Orleans Correctional Facility.....	2,500	250	500	3,250
Otisville Correctional Facility.....	1,000	-	50	1,050
Queensboro Correctional Facility.....	2,000	1,000	8,100	11,100
Riverview Correctional Facility.....	1,000	500	-	1,500
Rochester Correctional Facility.....	1,600	-	7,000	8,600
Shawangunk Correctional Facility.....	1,200	-	100	1,300
Sing Sing Correctional Facility.....	7,000	250	500	7,750

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 33
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Southport Correctional Facility.....	500	100	200	800
Sullivan Correctional Facility.....	2,000	100	250	2,350
Taconic Correctional Facility.....	3,000	700	500	4,200
Ulster Correctional Facility.....	600	-	1,000	1,600
Upstate Correctional Facility.....	1,600	500	500	2,600
Walkill Correctional Facility.....	1,000	250	300	1,550
Washington Correctional Facility.....	2,000	250	200	2,450
Wende Correctional Facility.....	2,500	1,000	250	3,750
Willard Drug Treatment Center.....	600	100	300	1,000
Woodbourne Correctional Facility.....	1,300	200	100	1,600
Wyoming Correctional Facility.....	4,300	1,000	1,000	6,300
Criminal Justice Services, Division of.....	3,000	-	-	3,000
Education, State Department of:				
Batavia School for the Blind.....	4,000	-	-	4,000
Education, State Department of.....	3,000	-	297,000	300,000
Rome School for the Deaf.....	2,000	-	-	2,000
Elections, Board of.....	-	-	5,000	5,000
Employee Relations, Office of.....	2,000	-	-	2,000
Environmental Conservation, Department of.....	30,000	-	37,000	67,000
Financial Control Board for New York City.....	750	-	-	750
Financial Services, Department of.....	6,000	-	10,000	16,000
General Services, Office of:				
Business Service Center - General.....	25,000	-	-	25,000
General Services, Office of.....	20,000	-	3,300,000	3,320,000
General Services New York Power Authority Miscellaneous, Office of.....	-	-	6,000,000	6,000,000
Health, Department of:				
Health, Department of.....	14,950	-	7,000	21,950
Helen Hayes Hospital.....	20,000	-	-	20,000
New York State Veterans' Home at Montrose.....	15,000	-	-	15,000
New York State Veterans' Home at Oxford.....	13,000	-	1,200	14,200

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021**

**SCHEDULE 33
(continued)**

<u>BUSINESS UNIT</u>	<u>PETTY CASH</u>	<u>TRAVEL</u>	<u>OTHER</u>	<u>TOTAL ADVANCES</u>
New York State Veterans' Home at St Albans.....	15,000	-	-	15,000
Western New York Veterans' Home at Batavia.....	4,000	-	1,500	5,500
Homeland Security, Department of.....	-	-	15,000	15,000
Human Rights, Division of.....	4,500	-	-	4,500
Inspector General, Office of the State.....	1,500	-	30,000	31,500
Joint Commission on Public Ethics.....	500	-	-	500
Judicial Conduct.....	4,000	-	-	4,000
Justice Center for the Protection of People with Special Needs.....	2,000	-	-	2,000
Labor, Department of.....	28,000	-	-	28,000
Lake George Park Commission.....	2,000	-	-	2,000
Law, Department of:				
Attorney General, Office of the.....	30,050	-	212,949	242,999
Medicaid Fraud Control.....	8,000	-	40,000	48,000
Legislative Bill Drafting Commission.....	1,000	1,000	-	2,000
Legislature - Assembly:				
Legislative Task Force on Demographic Research and Reapportionment.....	250	-	-	250
New York State Assembly.....	18,750	4,000	-	22,750
Medicaid Inspector General, Office of.....	500	-	1,000	1,500
Mental Health, Office of:				
Brooklyn Children's Psychiatric Center.....	5,000	-	-	5,000
Bronx Children's Psychiatric Center.....	8,000	-	3,025	11,025
Bronx Psychiatric Center.....	5,000	-	19,020	24,020
Buffalo Psychiatric Center.....	25,500	-	30,020	55,520
Capital District Psychiatric Center.....	5,100	-	7,410	12,510
Central New York Psychiatric Center.....	5,550	-	5,225	10,775
Creedmoor Psychiatric Center.....	15,000	-	56,000	71,000
Elmira Psychiatric Center.....	11,000	-	6,350	17,350
Greater Binghamton Health Center.....	3,500	-	8,000	11,500
Kingsboro Psychiatric Center.....	21,793	-	27,545	49,338

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 33
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Kirby Forensic Psychiatric Center.....	18,600	-	-	18,600
Manhattan Psychiatric Center.....	32,000	-	-	32,000
Mental Health, Office of.....	19,500	-	-	19,500
Mid-Hudson Forensic Psychiatric Center.....	16,925	-	-	16,925
Mohawk Valley Psychiatric Center.....	6,000	-	11,000	17,000
Nathan Kline Institute.....	1,300	-	-	1,300
New York State Psychiatric Institute.....	2,000	-	-	2,000
Pilgrim Psychiatric Center.....	5,000	-	60,000	65,000
Queens Facility Wide.....	11,000	-	-	11,000
Richard H. Hutchins Psychiatric Center.....	10,000	-	6,000	16,000
Rochester Psychiatric Center.....	17,024	-	24,093	41,117
Rockland Children's Psychiatric Center.....	19,200	-	800	20,000
Rockland Psychiatric Center.....	35,000	-	41,500	76,500
Sagamore Children's Psychiatric Center.....	3,500	-	2,275	5,775
South Beach Psychiatric Center.....	9,000	-	16,500	25,500
St. Lawrence Psychiatric Center.....	7,350	-	13,534	20,884
Western New York Children's Psychiatric Center.....	2,000	-	1,325	3,325
Military and Naval Affairs, Division of.....	20,000	-	-	20,000
Motor Vehicles, Department of.....				
Expenditures.....	10,000	-	-	10,000
Field Operations.....	716,830	-	-	716,830
Statewide.....	70,875	1,000	28,000	99,875
NYS Gaming Commission.....	1,000	-	-	1,000
Parks, Recreation and Historic Preservation, Office of.....	40,000	-	273,090	313,090
People With Developmental Disabilities, Office for:				
Bernard M Fineson DDSO.....	12,000	-	-	12,000
Brooklyn DDSO.....	34,000	-	-	34,000
Broome DDSO.....	45,675	-	1,450	47,125
Capital District DDSO.....	50,000	-	-	50,000
Central New York DDSO.....	40,000	-	-	40,000
Finger Lakes DDSO.....	78,100	-	34,500	112,600
Hudson Valley DDSO.....	40,302	-	-	40,302
Institute for Basic Research in Development Disabilities.....	3,000	-	-	3,000
Long Island DDSO.....	25,000	-	-	25,000
Metro New York DDSO.....	38,505	-	-	38,505

**STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021**

**SCHEDULE 33
(continued)**

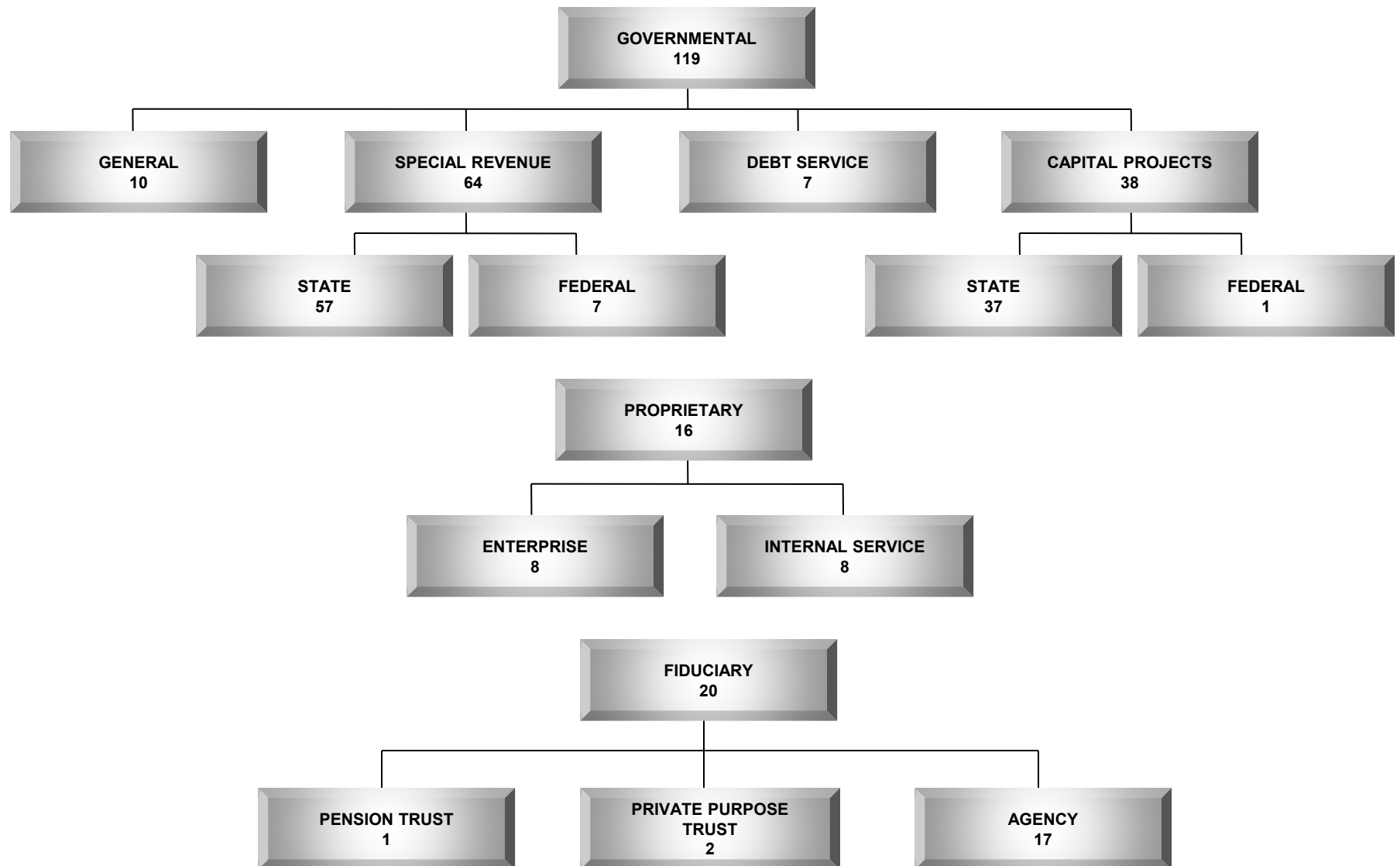
BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
People With Developmental Disabilities, Office for.....	2,000	-	-	2,000
Staten Island DDSO.....	19,000	-	-	19,000
Sunmount DDSO.....	26,000	-	-	26,000
Taconic DDSO.....	67,000	-	-	67,000
Western New York DDSO.....	60,000	-	50,000	110,000
Public Employment Relations Board.....	1,500	-	-	1,500
Public Service, Department of.....	4,000	-	-	4,000
State Comptroller, Office of the.....	9,400	13,900	-	23,300
State Police, Division of:				
New York State Police - Troop A.....	1,000	-	14,500	15,500
New York State Police - Troop B.....	1,000	-	12,000	13,000
New York State Police - Troop C.....	1,000	-	14,500	15,500
New York State Police - Troop D.....	1,000	-	14,500	15,500
New York State Police - Troop E.....	1,000	-	14,500	15,500
New York State Police - Troop F.....	1,000	-	12,000	13,000
New York State Police - Troop G.....	1,000	-	20,000	21,000
New York State Police - Troop K.....	1,000	-	12,000	13,000
New York State Police - Troop L.....	-	-	8,000	8,000
New York State Police - Troop New York City.....	-	-	500	500
OCT-Response & Training Sect/HQ.....	-	-	4,000	4,000
State Police, Division of.....	2,000	-	121,000	123,000
State University of New York:				
Central Administration.....	2,200,000	-	2,500,000	4,700,000
State University College Maritime.....	-	-	100,000	100,000
State, Department of:				
State, Department of.....	17,000	-	-	17,000
Tug Hill Commission.....	1,750	-	-	1,750
Taxation and Finance, Department of.....	15,000	-	30,000	45,000
Temporary and Disability Assistance, Office of.....	10,000	-	-	10,000
Transportation, Department of.....	30,000	23,100	-	53,100

STATE OF NEW YORK
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT
FISCAL YEAR ENDED MARCH 31, 2021

SCHEDULE 33
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Unified Court System:				
Appellate Division - 1st Judicial Department.....	300	-	-	300
Court Administration Budget and Finance, Office of.....	2,500	-	-	2,500
Court of Appeals.....	50	-	-	50
Lawyers' Fund for Client Protection.....	5,000	-	-	5,000
2nd Judicial District Kings County.....	900	-	-	900
4th District Administration.....	415	-	-	415
5th District Administration.....	655	-	-	655
6th District Administration.....	800	-	-	800
7th District Administration.....	450	-	-	450
8th District Administration.....	1,350	-	-	1,350
9th District Administration.....	900	-	-	900
10th Judicial District Nassau County.....	500	-	-	500
10th Judicial District Suffolk County.....	950	-	-	950
Victim Services, Office of.....	1,550	-	170,000	171,550
Welfare Inspector General, Office of.....	-	-	15,000	15,000
Total of Cash Advance Accounts by Business Unit and Department.....	\$ 4,650,199	\$ 69,300	\$ 13,849,486	\$ 18,568,985

**STATE OF NEW YORK
FUND STRUCTURE
NUMBER OF MAJOR FUNDS PER FUND TYPE
AS OF MARCH 31, 2021**



STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2021

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
10000-10049	Local Assistance Account	General	1981	State Finance Law, §72 (2a)
10050-10099	State Operations Account	General	1981	State Finance Law, §72 (2b)
10100-10149	Tax Stabilization Reserve	General	1984	State Finance Law, §92
10150-10199	Contingency Reserve	General	1993	Laws of 1993, Chapter 60, §41
10200-10249	Universal Pre-Kindergarten Reserve	General	2000	State Finance Law, §97-vvv
10250-10299	Community Projects	General	1996	State Finance Law, §99-d
10300-10349	Rainy Day Reserve	General	2007	State Finance Law, §92-cc
10400-10449	Refund Reserve Account	General	1978	State Finance Law, §71 and Tax Law, §171-a
10500-10549	Fringe Benefit Escrow Account	General	1970	State Finance Law, §8-b and Civil Service Law, §168
10550-10599	Tobacco Revenue Guarantee	General	2003	State Finance Law, §97-cccc
20000-20099	Mental Health Gifts and Donations	Special Revenue - State	1984	Mental Hygiene Law, §7.29 and §13.29
20100-20299	Combined Expendable Trust	Special Revenue - State	1982	State Finance Law, §71 and State Finance Law, §11
20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue - State	1983	State Finance Law, §97-v
20350-20399	New York State Archives Partnership Trust	Special Revenue - State	1992	Laws of 1992, Chapter 758, §7
20400-20449	Child Performer's Protection	Special Revenue - State	2003	State Finance Law, §99-j
20450-20499	Tuition Reimbursement	Special Revenue - State	1990	State Finance Law, §97-hh
20500-20549	Local Government Records Management Improvement	Special Revenue - State	1989	State Finance Law, §97-i
20550-20599	School Tax Relief	Special Revenue - State	1998	State Finance Law, §97-rrr
20600-20649	Charter Schools Stimulus	Special Revenue - State	1999	State Finance Law, §97-sss
20650-20699	Not-For-Profit Short-Term Revolving Loan	Special Revenue - State	1991	State Finance Law, §97-jj
20800-20849	Health Care Reform Act Resources	Special Revenue - State	2000	State Finance Law, §92-dd
20850-20899	Dedicated Mass Transportation Trust	Special Revenue - State	1991	State Finance Law, §89-c
20900-20949	State Lottery	Special Revenue - State	1967	State Finance Law, §92-c
20950-20999	Combined Student Loan	Special Revenue - State	1982	State Finance Law, §71
21000-21049	Sewage Treatment Program Management and Administration	Special Revenue - State	1989	State Finance Law, §97-l
21050-21149	ENCON Special Revenue	Special Revenue - State	1992	State Finance Law, §71
21150-21199	Conservation	Special Revenue - State	1940	State Finance Law, §83
21200-21249	Environmental Protection and Oil Spill Compensation	Special Revenue - State	1978	Navigation Law, §179
21250-21299	Training and Education Program on Occupational Safety and Health	Special Revenue - State	1985	State Finance Law, §97-c and Labor Law, §887
21300-21349	Lawyers' Fund For Client Protection	Special Revenue - State	1981	State Finance Law, §97-t
21350-21399	Equipment Loan Fund for the Disabled	Special Revenue - State	1985	Social Services Law, §326-b
21400-21449	Mass Transportation Operating Assistance	Special Revenue - State	1981	State Finance Law, §88-a
21450-21499	Clean Air	Special Revenue - State	1993	State Finance Law, §97-oo
21500-21549	New York State Infrastructure Trust	Special Revenue - State	1987	State Finance Law, §88
21550-21599	Legislative Computer Services	Special Revenue - State	1984	State Finance Law, §97-uu
21600-21649	Biodiversity Stewardship and Research	Special Revenue - State	1993	State Finance Law, §97-oo
21650-21699	Combined Non-Expendable Trust	Special Revenue - State	1982	State Finance Law, §71
21700-21749	Winter Sports Education Trust	Special Revenue - State	1977	Education Law, §495-a
21750-21799	Musical Instrument Revolving	Special Revenue - State	1983	State Finance Law, §97-v
21850-21899	Arts Capital Grants	Special Revenue - State	1987	State Finance Law, §97-z
21900-22499	Miscellaneous State Special Revenue	Special Revenue - State	1982	State Finance Law, §71
22500-22549	Court Facilities Incentive Aid	Special Revenue - State	1987	State Finance Law, §94
22550-22599	Employment Training	Special Revenue - State	1983	State Finance Law, §71

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2021

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
22650-22699	State University Income	Special Revenue - State	1959	Education Law, §355 (4)
22700-22749	Chemical Dependence Service	Special Revenue - State	1984	State Finance Law, §97-w
22750-22799	Lake George Park Trust	Special Revenue - State	1987	State Finance Law, §97-h
22800-22849	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue - State	1992	State Finance Law, §89-d and §97-mm
22850-22899	New York Great Lakes Protection	Special Revenue - State	1990	State Finance Law, §97-ee
22900-22949	Federal Revenue Maximization Contract	Special Revenue - State	1996	State Finance Law, §97-ttt
22950-22999	Housing Development	Special Revenue - State	1968	Private Housing Finance Law, §574
23000-23049	NYS DOT Highway Safety Program	Special Revenue - State	1990	State Finance Law, §90
23050-23099	Vocational Rehabilitation	Special Revenue - State	1969	State Finance Law, §97-lll
23100-23149	Drinking Water Program Management and Administration	Special Revenue - State	1997	State Finance Law, §97-ddd
23150-23199	New York City County Clerks' Operations Offset	Special Revenue - State	1992	State Finance Law, §94-a
23200-23249	Judiciary Data Processing Offset	Special Revenue - State	1992	State Finance Law, §94-b
23250-23449	City University Tuition Reimbursement (CUTRA)	Special Revenue - State	1995	State Finance Law, §71
23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue - State	1995	State Finance Law, §84
23550-23599	Indigent Legal Services	Special Revenue - State	2003	State Finance Law, §98-b
23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue - State	1952	Labor Law, §552
23650-23699	MTA Financial Assistance	Special Revenue - State	2009	State Finance Law, §92-ff
23700-23749	Commercial Gaming Revenue	Special Revenue - State	2013	State Finance Law, §97-nnm
23750-23799	Medical Marijuana Trust	Special Revenue - State	2014	State Finance Law, §89-h
23800-23899	Dedicated Miscellaneous State Special Revenue	Special Revenue - State	2016	State Finance Law, §71
24850-24899	Health Care Transformation	Special Revenue - State	2018	State Finance Law, §92-hh
24900-24949	Charitable Gifts Trust	Special Revenue - State	2018	State Finance Law, §92-gg
24950-24999	Interactive Fantasy Sports	Special Revenue - State	2016	Racing, Pari-Mutuel Wagering and Breeding Law, Article 14
40350-40399	State University Dormitory Income	Special Revenue - State	1955	Education Law, §355 (8)
25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue - Federal	1988	State Finance Law, §71
25100-25199	Federal Health and Human Services	Special Revenue - Federal	1972	State Finance Law, §71
25200-25249	Federal Education	Special Revenue - Federal	1998	State Finance Law, §71
25300-25899, 25951	Federal Miscellaneous Operating Grants	Special Revenue - Federal	1981	State Finance Law, §71
25900-25949	Unemployment Insurance Administration	Special Revenue - Federal	1936	Labor Law, §551, §552-a, §552-b and §530
25950, 25952-25999	Unemployment Insurance Occupational Training	Special Revenue - Federal	1967	State Finance Law, §71
26000-26049	Federal Employment and Training Grants	Special Revenue - Federal	1983	State Finance Law, §71
30000-30049	State Capital Projects	Capital Projects - State	1982	State Finance Law, §93
30050-30099	Dedicated Highway and Bridge Trust	Capital Projects - State	1991	State Finance Law, §89-b
30100-30299	State University Residence Halls Rehabilitation and Repair	Capital Projects - State	1991	State Finance Law, §71
30300-30349	New York State Canal System Development	Capital Projects - State	1992	State Finance Law, §92-u
30350-30399	State Park Infrastructure	Capital Projects - State	1993	State Finance Law, §97-mm
30400-30449	Passenger Facility Charge	Capital Projects - State	1993	State Finance Law, §90-a
30450-30499	Environmental Protection	Capital Projects - State	1993	State Finance Law, §92-s
30500-30549	Clean Water/Clean Air Implementation	Capital Projects - State	1997	State Finance Law, §97-eee
30600-30609	Energy Conservation Through Improved Transportation Bond	Capital Projects - State	1980	State Finance Law, §57 (5), §76 (2) and §76 (3)
30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects - State	1960	State Finance Law, §57 (5)
30620-30629	Pure Waters Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30630-30639	Transportation Capital Facilities Bond	Capital Projects - State	1967	State Finance Law, §57 (5)

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2021

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
30640-30649	Environmental Quality Protection Bond Act (1972)	Capital Projects - State	1973	State Finance Law, §97-a
30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects - State	2005	State Finance Law, §97-eeee
30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects - State	1983	State Finance Law, §74
30670-30679	Environmental Quality Bond Act (1986)	Capital Projects - State	1986	State Finance Law, §97-d
30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects - State	1988	State Finance Law, §77
30690-30699	Clean Water/Clean Air Bond	Capital Projects - State	1996	State Finance Law, §97-aaa
30700-30709	State Housing Bond	Capital Projects - State	1939	State Finance Law, §60 (5)
30710-30719	Smart Schools Bond	Capital Projects - State	2014	State Finance Law, §97-oooo
30750-30799	Outdoor Recreation Development Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30900-30949	Rail Preservation and Development Bond	Capital Projects - State	1974	State Finance Law, §76
31350-31449	Federal Capital Projects	Capital Projects - Federal	1982	State Finance Law, §71
31450-31499	Forest Preserve Expansion	Capital Projects - State	1958	State Finance Law, §97-e
31500-31549	Hazardous Waste Remedial	Capital Projects - State	1982	State Finance Law, §97-b
31650-31699	Suburban Transportation	Capital Projects - State	1987	State Finance Law, §88-b
31700-31749	Division For Youth Facilities Improvement	Capital Projects - State	1990	State Finance Law, §97-gg
31800-31849	Housing Assistance	Capital Projects - State	1988	State Finance Law, §92-q
31850-31899	Housing Program	Capital Projects - State	1990	State Finance Law, §71
31900-31949	Natural Resource Damages	Capital Projects - State	1994	State Finance Law, §71
31950-31999	Department of Transportation Engineering Services	Capital Projects - State	1989	State Finance Law, §71
32200-32249	Miscellaneous Capital Projects	Capital Projects - State	1988	State Finance Law, §71
32250-32299	City University of New York Capital Projects	Capital Projects - State	1987	State Finance Law, §71 and Education Law, §377
32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32350-32399	Correctional Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32400-32999	State University Capital Projects	Capital Projects - State	1977	State Finance Law, §71
33000-33049	New York State Storm Recovery	Capital Projects - State	2013	State Finance Law, §93-a
33050-33099	Dedicated Infrastructure Investment Fund	Capital Projects - State	2015	State Finance Law, §93-b
40000-40049	Debt Reduction Reserve	Debt Service	1998	State Finance Law, §97-rrr
40100-40149	Mental Health Services	Debt Service	1987	State Finance Law, §97-f
40150-40199	General Debt Service	Debt Service	1982	State Finance Law, §71, §72, §73, §92-h and §92-z
40250-40299	Housing Debt	Debt Service	1940	State Finance Law, §97
40300-40349	Department of Health Income	Debt Service	1971	Public Health Law, §409
40400-40449	Clean Water/Clean Air	Debt Service	1996	State Finance Law, §97-bbb
40450-40499	Local Government Assistance Tax	Debt Service	1990	State Finance Law, §92-r
50000-50049	Youth Commissary	Enterprise	1970	Executive Law, §517
50050-50099	State Exposition Special	Enterprise	1927	Agriculture and Markets Law, §31-c
50100-50299	Correctional Services Commissary	Enterprise	1948	Correction Law, §26
50300-50399	Agency Enterprise	Enterprise	1983	State Finance Law, §71
50400-50449	Sheltered Workshop	Enterprise	1984	State Finance Law, §71
50450-50499	Patient Workshop	Enterprise	1984	State Finance Law, §71
50500-50599	Mental Hygiene Community Stores	Enterprise	1965	Mental Hygiene Law, §7.27(c) and §13.27(c)
50650-50699	Unemployment Insurance Benefit	Enterprise	1938	Labor Law, §550
55000-55049	Centralized Services	Internal Service	1964	State Finance Law, §97-g
55050-55099	Agency Internal Service	Internal Service	1983	State Finance Law, §71
55100-55149	Mental Hygiene Revolving	Internal Service	1953	State Finance Law, §71

STATE OF NEW YORK
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS
 AS OF MARCH 31, 2021

APPENDIX
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
55150-55199	Youth Vocational Education	Internal Service	1983	Executive Law, §513
55200-55249	Joint Labor and Management Administration	Internal Service	1986	State Finance Law, §71
55250-55299	Audit and Control Revolving	Internal Service	1982	State Finance Law, §71
55300-55349	Health Insurance Revolving	Internal Service	1982	State Finance Law, §71
55350-55399	Correctional Industries Revolving	Internal Service	1982	State Finance Law, §71
60050-60149	School Capital Facilities Financing Reserve	Agency	1988	Education Law, §407-a and §407-b
60150-60199	Child Performer's Holding	Agency	2004	State Finance Law, §99-k
60200-60249	Employees Health Insurance	Agency	1956	Civil Service Law, §167 (6)
60250-60299	Social Security Contribution	Agency	1953	Retirement and Social Security Law, §134 and §141
60300-60399	Employee Payroll Withholding	Agency	1982	State Finance Law, §71
60400-60449	Employees Dental Insurance	Agency	1971	State Finance Law, §71
60450-60499	Management Confidential Group Insurance	Agency	1971	State Finance Law, §71
60500-60549	Lottery Prize	Agency	1967	Tax Law, §1612
60550-60599	Health Insurance Reserve Receipts	Agency	1977	State Finance Law, §99-c (3)
60600-60799	Miscellaneous New York State Agency	Agency	1982	State Finance Law, §71
60800-60849	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency	1987	State Finance Law, §71
60850-60899	CUNY Senior College Operating	Agency	1977	Education Law, §6221
60900-60949	Medicaid Management Information System (MMIS) Escrow	Agency	1977	Social Services Law, §367-b
60950-60999	Special Education	Agency	1985	Civil Service Law, §154-b, §154-c, and Executive Law, §227-a (3)
61000-61099	State University of New York Revenue Collection	Agency	1976	State Finance Law, §71
61100-61999	State University Federal Direct Lending Program	Agency	1995	State Finance Law, §71
62000-62049	SSI SSP Payment	Agency	2014	State Finance Law, §71
65000-65049	Common Retirement Administration	Pension Trust	1967	Retirement and Social Security Law, §422
66000-66049	Agriculture Producers' Security	Private Purpose Trust	1992	Agriculture and Markets Law, §250
66050-66099	Milk Producers' Security	Private Purpose Trust	1987	Agriculture and Markets Law, §258-b (4)(a)

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**

**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

Terri B. Crowley
David J. Hasso, CPA, CGFM, CGMA
Sharon M. Buck

Executive Deputy Comptroller, Office of Operations
Deputy Comptroller, Payroll, Accounting and Revenue Services
Assistant Comptroller, Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

Deborah J. Hilson
Maria Guzman, CPA
Carrie Piser
Rosemary Liss

Director
Assistant Director
Assistant Chief Accountant, Cash Basis Financial Reporting
Principal Accountant, Cash Basis Financial Reporting

Report Contributors

Priscilla Amrock
Carol Bruso
Rachel Byron
Khushbu Chechani
Matthew Donohue
Jonathan Golden, CPA
Donna Greenberg, CPA, CGFM
Maria Harasimowicz

Laura Hennessey, CGFM
Thomas Hickey
Beverley Lucas
Stephen Raptoulis, CPA
David Richter
Agata Roberts
Ryan Romanski
Eric Roosevelt

Trevor Skiba
Christopher Smith
Jennifer Spencer
Desiree Stratton
Kristen Testo
Chuck Trimbach
Sandra Trzcinski, CGFM, CGAP, APM
Dainelle Whitman
Amanda Zibella